



Derivations on Toeplitz Algebras

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Abstract. Let $H^2(\Omega)$ be the Hardy space on a strictly pseudoconvex domain $\Omega \subset \mathbb{C}^n$, and let $A \subset L^\infty(\partial\Omega)$ denote the subalgebra of all L^∞ -functions f with compact Hankel operator H_f . Given any closed subalgebra $B \subset A$ containing $C(\partial\Omega)$, we describe the first Hochschild cohomology group of the corresponding Toeplitz algebra $\mathcal{T}(B) \subset B(H^2(\Omega))$. In particular, we show that every derivation on $\mathcal{T}(A)$ is inner. These results are new even for $n = 1$, where it follows that every derivation on $\mathcal{T}(H^\infty + C)$ is inner, while there are non-inner derivations on $\mathcal{T}(H^\infty + C(\partial\mathbb{B}_n))$ over the unit ball \mathbb{B}_n in dimension $n > 1$.

1 Introduction

A recent result of Cao [2, Theorem 3] describes the first Hochschild cohomology group of the Toeplitz C^* -algebra generated by all Toeplitz operators with continuous symbol on the Hardy space over a strictly pseudoconvex domain in \mathbb{C}^n . Using a modification of Cao's arguments and a result of Davidson from 1977 [4, Corollary 4], the first author showed in [6] that every continuous derivation of the Toeplitz algebra $\mathcal{T}(H^\infty + C)$ on the Hardy space of the unit disc \mathbb{D} is inner. It seems natural to ask if the first Hochschild cohomology group vanishes in this case, that is, if the latter result remains true without the continuity assumption, and, secondly, if a generalization to higher dimensions is possible. In this note we answer both questions in the affirmative by establishing a description of the first Hochschild cohomology group for a variety of Hardy-space Toeplitz algebras on strictly pseudoconvex domains, including the cases mentioned above.

Throughout this paper, we fix a bounded strictly pseudoconvex domain $\Omega \subset \mathbb{C}^n$ with C^∞ -boundary. The Hardy space $H^2(\sigma)$ with respect to the normalized surface measure σ on $\partial\Omega$ can be defined as the norm closure of the set

$$A(\partial\Omega) = \{f|_{\partial\Omega} : f \in C(\overline{\Omega}), f|_{\Omega} \text{ holomorphic}\}$$

in $L^2(\sigma)$. As usual, the Toeplitz operator $T_f \in B(H^2(\sigma))$ with symbol $f \in L^\infty(\sigma)$ is given by the formula

$$T_f = PM_f|_{H^2(\sigma)},$$

where $P: L^2(\sigma) \rightarrow H^2(\sigma)$ denotes the orthogonal projection and $M_f: L^2(\sigma) \rightarrow L^2(\sigma), g \mapsto fg$, is the operator of multiplication with f . A natural question to ask is to what extent the membership of a function f to some special symbol class $S \subset L^\infty(\sigma)$ determines the behaviour of the corresponding Toeplitz operator T_f .

Received by the editors November 27, 2012.

Published electronically February 8, 2013.

AMS subject classification: 47B47, 47B35, 47L80.

Keywords: derivations, Toeplitz algebras, strictly pseudoconvex domains.

Besides this single-operator point of view, one may ask for the properties of the so-called Toeplitz algebra

$$\mathcal{T}(S) = \overline{\text{alg}}\{T_f : f \in S\} \subset B(H^2(\sigma))$$

associated with the symbol class S . Among the most important choices for S are the bounded holomorphic functions on Ω (more precisely, their non-tangential boundary values), which will be denoted by $H^\infty(\sigma)$ in the sequel, and the continuous functions $C(\partial\Omega)$, which give rise to the algebra of all analytic Toeplitz operators $\mathcal{T}(H^\infty(\sigma))$ and the Toeplitz C^* -algebra $\mathcal{T}(C(\partial\Omega))$, respectively. Another natural symbol class arising intrinsically in the theory of Toeplitz operators can best be expressed in terms of the corresponding Hankel operators

$$H_f : H^2(\sigma) \rightarrow L^2(\sigma), \quad h \mapsto (1 - P)(fh).$$

From the work of Davidson [4] for the unit disc, Ding and Sun [8] for the unit ball, and Didas et al. [7] for the strictly pseudoconvex case, it is known that an operator $S \in B(H^2(\sigma))$ commutes modulo the compact operators with all analytic Toeplitz operators if and only if $S = T_f + K$, where K is compact and f belongs to the class

$$A = \{f \in L^\infty(\sigma) : H_f \text{ is compact}\}.$$

The identity $H_{fg} = H_f T_g + (1 - P)M_f H_g$ valid for $f, g \in L^\infty(\sigma)$ shows that A is a closed subalgebra of $L^\infty(\sigma)$. Moreover, since Hankel operators with continuous symbol are compact in our setting ([13, Theorem 4.2.17]), A always contains the space $H^\infty(\sigma) + C(\partial\Omega)$. According to [1] (see also [10, Theorem 20]), the latter space is also a closed subalgebra of $L^\infty(\sigma)$. By a classical result of Hartman [9], the equality $A = H^\infty + C$ holds on the open unit disc in \mathbb{C} , while the inclusion $H^\infty(\sigma) + C(\partial\mathbb{B}_n) \subset A$ is known to be strict in the case of the open unit ball $\mathbb{B}_n \subset \mathbb{C}^n$ for $n > 1$ [5].

Given any closed subalgebra $B \subset L^\infty(\sigma)$ with $C(\partial\Omega) \subset B \subset A$, our main result characterizes the first Hochschild cohomology group of the Toeplitz algebra $\mathcal{T}(B)$. We briefly recall the definition of the first Hochschild cohomology. Let \mathcal{A} be a Banach algebra and let \mathcal{E} be a Banach- \mathcal{A} -bimodule. A derivation from \mathcal{A} into \mathcal{E} is a (not necessarily continuous) linear map $D : \mathcal{A} \rightarrow \mathcal{E}$ satisfying the identity

$$D(AB) = D(A)B + AD(B) \quad (A, B \in \mathcal{A}).$$

For a given element $S \in \mathcal{E}$, the commutator with S ,

$$D : \mathcal{A} \rightarrow \mathcal{E}, \quad D(X) = [X, S] = XS - SX$$

defines a derivation from \mathcal{A} into \mathcal{E} . Derivations arising in this way are called inner. Writing $Z^1(\mathcal{A}, \mathcal{E})$ for the space of all derivations from \mathcal{A} into \mathcal{E} and $N^1(\mathcal{A}, \mathcal{E}) \subset Z^1(\mathcal{A}, \mathcal{E})$ for the subspace consisting of all inner derivations, the first Hochschild cohomology group can be defined as the quotient

$$H^1(\mathcal{A}, \mathcal{E}) = Z^1(\mathcal{A}, \mathcal{E})/N^1(\mathcal{A}, \mathcal{E}).$$

In particular, $H^1(\mathcal{A}, \mathcal{E})$ vanishes if and only if every derivation from \mathcal{A} into \mathcal{E} is inner.

Let us finally mention that, for a given Hilbert space H , we write $\mathcal{K}(H)$ for the ideal of all compact operators on H and that, for a subset $\mathcal{S} \subset B(H)$, we denote its essential commutant by

$$\mathcal{S}^{ec} = \{X \in B(H) : [X, S] \in \mathcal{K}(H) \text{ for all } S \in \mathcal{S}\}.$$

We have now gathered all the notation required for an adequate formulation of our main result.

2 A Description of H^1 for Toeplitz Algebras

The following theorem can be thought of as a Banach-algebra version of Cao’s result [2, Theorem 3] on the Toeplitz C^* -algebra.

Theorem 2.1 *Let $B \subset L^\infty(\sigma)$ be a closed subalgebra with $C(\partial\Omega) \subset B \subset A$. Then every derivation $D: \mathcal{T}(B) \rightarrow B(H^2(\sigma))$ is inner and the map*

$$\delta: H^1(\mathcal{T}(B), \mathcal{T}(B)) \longrightarrow \mathcal{T}(B)^{ec}/\mathcal{T}(B), \quad \delta([D]) = [S] \quad \text{if } D = [\cdot, S],$$

is a well-defined isomorphism of linear spaces.

We postpone the proof of this theorem for a moment in order to demonstrate some of its consequences. Let us first remark that, as A contains $C(\partial\Omega)$, the algebra $\mathcal{T}(A)$ contains the Toeplitz C^* -algebra $\mathcal{T}(C(\partial\Omega))$ and hence all compact operators on $H^2(\sigma)$ ([13, Theorem 4.2.24]). Together with the description of $\mathcal{T}(H^\infty(\sigma))^{ec}$ established in [7, Corollary 4.8], we obtain the chain of inclusions

$$\mathcal{T}(A)^{ec} \subset \mathcal{T}(H^\infty(\sigma))^{ec} = \{T_f + K : f \in A, K \in \mathcal{K}(H^2(\sigma))\} \subset \mathcal{T}(A).$$

The identity $T_{fg} - T_f T_g = PM_f H_g$ for $f, g \in L^\infty(\sigma)$ shows that $\mathcal{T}(A)$ is essentially commutative. Hence we can complete the above chain with the inclusion $\mathcal{T}(A) \subset \mathcal{T}(A)^{ec}$, which shows that in fact equality holds at each stage. In particular, we have

$$\mathcal{T}(A)^{ec} = \mathcal{T}(H^\infty(\sigma))^{ec} = \mathcal{T}(A).$$

As a consequence we obtain the following special case of Theorem 2.1, which applies, for example, to the algebra $B = H^\infty(\sigma) + C(\partial\Omega)$.

Corollary 2.2 *If the algebra $B \subset A$ from Theorem 2.1 contains $H^\infty(\sigma)$, then we have $H^1(\mathcal{T}(B), \mathcal{T}(B)) \cong \mathcal{T}(A)/\mathcal{T}(B) \cong A/B$ as linear spaces.*

Proof For the first identification, it suffices to observe that

$$\mathcal{T}(A) = \mathcal{T}(A)^{ec} \subset \mathcal{T}(B)^{ec} \subset \mathcal{T}(H^\infty(\sigma))^{ec} = \mathcal{T}(A)$$

holds and to apply Theorem 2.1. The second identification is given by the map $A/B \rightarrow \mathcal{T}(A)/\mathcal{T}(B)$, $[f] \mapsto [T_f]$, which is easily seen to be a vector-space isomorphism. For the details, see the remarks following Lemma 3.4. ■

For $B = A = \{f \in L^\infty(\sigma) : H_f \text{ compact}\}$ the assertion of Corollary 2.2 deserves to be stated separately.

Corollary 2.3 *The first Hochschild cohomology group $H^1(\mathcal{T}(A), \mathcal{T}(A))$ vanishes on every bounded strictly pseudoconvex domain $\Omega \subset \mathbb{C}^n$ with C^∞ -boundary. In particular, every derivation on $\mathcal{T}(H^\infty(\sigma) + C(\partial\mathbb{D}))$ is inner on the unit disc \mathbb{D} .*

As mentioned before, it was observed by Davie and Jewell in [5] that the inclusion $H^\infty(\sigma) + C(\partial\mathbb{B}_n) \subset A$ is strict for every $n > 1$. Thus, in contrast to the case $n = 1$, by Corollary 2.2, there exist non-inner derivations on the Toeplitz algebra $\mathcal{T}(H^\infty(\sigma) + C(\partial\mathbb{B}_n))$ for every $n > 1$.

Finally, we obtain the result of Cao mentioned at the beginning, which was the starting point of our considerations. Note that $\mathcal{T}(C(\partial\Omega))^{ec} = \{T_{z_1}, \dots, T_{z_n}\}^{ec}$ (see, e.g., [7, Lemma 4.1]).

Corollary 2.4 (Cao) *For the Toeplitz C^* -algebra on a bounded strictly pseudoconvex domain $\Omega \subset \mathbb{C}^n$ with C^∞ -boundary, we have the isomorphism*

$$H^1\left(\mathcal{T}(C(\partial\Omega)), \mathcal{T}(C(\partial\Omega))\right) \cong \{T_{z_1}, \dots, T_{z_n}\}^{ec} / \mathcal{T}(C(\partial\Omega)).$$

3 Proof of Theorem 2.1

Since in the setting of Theorem 2.1 the Toeplitz algebra $\mathcal{T}(B)$ contains the ideal of compact operators, a well-known standard argument implies that every derivation $D: \mathcal{T}(B) \rightarrow B(H^2(\sigma))$ is inner. For completeness sake we indicate the main ideas.

Proposition 3.1 *Let H be a Hilbert space. If \mathcal{B} is a closed subalgebra of $B(H)$ containing the compact operators $\mathcal{K}(H)$, then every derivation from \mathcal{B} into $B(H)$ is inner, that is, $D = [\cdot, S]$ for some operator $S \in B(H)$.*

Proof Since the ideal $\mathcal{K}(H) \subset B(H)$ has the property that $\mathcal{K}(H) = \mathcal{K}(H)^2$, where the right-hand side consists of all finite sums of products of two compact operators, the identity

$$D(XY) = D(X)Y + XD(Y)$$

shows that D maps $\mathcal{K}(H)$ into itself. Hence the restriction of D onto $\mathcal{K}(H)$ can be written as the commutator with some fixed operator $S \in B(H)$ ([11, Corollary 4.1.7]). More explicitly, there exists an operator $S \in B(H)$ such that

$$D(K) = KS - SK \quad (K \in \mathcal{K}(H)).$$

Since the identity

$$\begin{aligned} D(A)K + AD(K) &= D(AK) = AKS - SAK = (AKS - ASK) + (ASK - SAK) \\ &= AD(K) + (AS - SA)K \end{aligned}$$

holds for every $A \in \mathcal{B}$ and $K \in \mathcal{K}(H)$, it follows that $D = [\cdot, S]$. This observation completes the proof. ■

Corollary 3.2 *If in the setting of the last proposition the quotient algebra $\mathcal{B}/\mathcal{K}(H)$ is commutative and semi-simple, then every derivation $D: \mathcal{B} \rightarrow \mathcal{B}$ has the form $D(X) = XS - SX$ ($X \in \mathcal{B}$) for some fixed operator $S \in \mathcal{B}^{ec}$ in the essential commutant of \mathcal{B} .*

Proof By the preceding proposition, there is an operator $S \in B(H)$ with $D = [\cdot, S]$. In particular, D induces a continuous derivation

$$\widehat{D}: \mathcal{B}/\mathcal{K}(H) \rightarrow \mathcal{B}/\mathcal{K}(H), \quad [X] \mapsto [D(X)].$$

Since $\mathcal{B}/\mathcal{K}(H)$ is supposed to be commutative and semi-simple, the Singer–Wermer theorem ([12, Theorem 1] or [3, Corollary 2.7.20]) implies that $\widehat{D} = 0$. Hence $D(\mathcal{B}) \subset \mathcal{K}(H)$ and $S \in \mathcal{B}^{ec}$. ■

Corollary 3.3 *Let $\mathcal{B} \subset B(H)$ be a unital closed subalgebra containing the compact operators $\mathcal{K}(H)$ such that the quotient algebra $\mathcal{B}/\mathcal{K}(H)$ is commutative and semi-simple. Then the mapping*

$$\delta: H^1(\mathcal{B}, \mathcal{B}) \rightarrow \mathcal{B}^{ec}/\mathcal{B}, \quad [D] \mapsto [S], \text{ where } D = [\cdot, S]$$

is a well-defined vector-space isomorphism.

Proof Let $D: \mathcal{B} \rightarrow \mathcal{B}$ be a given derivation. By Corollary 3.2 there is an operator $S \in \mathcal{B}^{ec}$ in the essential commutant of \mathcal{B} such that $D = [\cdot, S]$. If $T \in B(H)$ is another operator with $D = [\cdot, T]$, then $T - S \in \mathcal{B}^c \subset \mathcal{K}(H)^c = \mathcal{C}1_H \subset \mathcal{B}$, and hence the equivalence classes of T and S in $\mathcal{B}^{ec}/\mathcal{B}$ coincide. If D is inner, then it follows that the operator S chosen above belongs to \mathcal{B} . Thus the map δ is well defined. Obviously, it is linear and injective. To complete the proof, observe that every operator $S \in \mathcal{B}^{ec}$ in the essential commutant of \mathcal{B} induces a well-defined derivation $D: \mathcal{B} \rightarrow \mathcal{B}$, $A \mapsto [A, S]$. Hence δ is also surjective. ■

In the setting of Corollary 3.3, the first Hochschild cohomology group $H^1(\mathcal{B}, \mathcal{B})$ of \mathcal{B} vanishes if and only if \mathcal{B} is equal to its essential commutant \mathcal{B}^{ec} in $B(H)$. It is elementary to check that this happens if and only if the quotient algebra $\mathcal{B}/\mathcal{K}(H)$ is a maximal abelian subalgebra of the Calkin algebra $\mathcal{C}(H) = B(H)/\mathcal{K}(H)$. This remark shows in particular that the quotient $\mathcal{T}(A)/\mathcal{K}(H^2(\sigma))$ is a maximal abelian subalgebra of the Calkin algebra $\mathcal{C}(H^2(\sigma))$.

Moreover, the proof of the main theorem can be completed by showing that the Toeplitz algebra $\mathcal{T}(B)$ induced by the symbol class $B \subset L^\infty(\sigma)$ occurring in the statement of Theorem 2.1 satisfies the requirements of Corollary 3.3. This will be done in the following lemma.

Lemma 3.4 *Let $B \subset L^\infty(\sigma)$ be a closed subalgebra with $C(\partial\Omega) \subset B \subset A$. Then the mapping $\tau: B \rightarrow \mathcal{T}(B)/\mathcal{K}(H^2(\sigma))$ defined by*

$$\tau(f) = T_f + \mathcal{K}(H^2(\sigma))$$

is an isometric isomorphism between commutative semi-simple Banach algebras.

Proof Obviously the map τ is linear. By [7, Corollary 3.6] the equality of norms

$$\|f\|_{L^\infty(\sigma)} = \|T_f + \mathcal{K}(H^2(\sigma))\|$$

holds for every function $f \in L^\infty(\sigma)$. Hence τ is isometric. Since $B \subset A$, the formula

$$T_f T_g - T_{fg} = -PM_f H_g \quad (f, g \in L^\infty(\sigma))$$

shows that τ is an algebra homomorphism. The identity

$$T_{f_1} \cdots T_{f_r} - T_{f_1 \cdots f_r} = T_{f_1}(T_{f_2} \cdots T_{f_r} - T_{f_2 \cdots f_r}) + (T_{f_1} T_{f_2 \cdots f_r} - T_{f_1 \cdots f_r})$$

together with an elementary induction implies that

$$T_{f_1} \cdots T_{f_r} + \mathcal{K}(H^2(\sigma)) = T_{f_1 \cdots f_r} + \mathcal{K}(H^2(\sigma))$$

belongs to the range of τ for all $f_1, \dots, f_r \in B$. Since the range of τ is closed, this argument yields the surjectivity of τ . As a unital closed subalgebra of the commutative C^* -algebra $L^\infty(\sigma)$, the Banach algebra B is semi-simple. This observation completes the proof. ■

Let $B \subset L^\infty(\sigma)$ be a closed subalgebra as in Lemma 3.4. If $f \in L^\infty(\sigma)$ is a function with $T_f + \mathcal{K}(H^2(\sigma)) \in \mathcal{T}(B)/\mathcal{K}(H^2(\sigma))$, then there is a function $g \in B$ with $T_{f-g} = T_f - T_g \in \mathcal{K}(H^2(\sigma))$ and hence $f = g \in B$. Therefore in the setting of Corollary 2.2, the mapping

$$A/B \rightarrow \mathcal{T}(A)/\mathcal{T}(B), \quad f + B \mapsto T_f + \mathcal{T}(B)$$

is a vector-space isomorphism as we claimed.

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