Early and Late Complications Following Dynamic Stereotactic Radiosurgery and Fractionated Stereotactic Radiotherapy

Michael R. McKenzie, Luis Souhami, Jean-Louis Caron, André Olivier, Jean-Guy Villemure and Ervin B. Podgorsak

ABSTRACT: Between December 1986 and June 1990, 112 patients (116 lesions), underwent treatment with dynamic stereotactic radiosurgery at McGill University. Of the treated lesions, 59 were arteriovenous malformations and 53 were a variety of other neoplastic or non-neoplastic conditions. In 86 lesions, the treatment was delivered in a single fraction and the treatment of the remaining 30 lesions was fractionated. Complications attributed to treatment developed in seven of the 112 patients (6.3%). No relationship was found between complications and prescribed dose, fractionation, collimator diameter, type and anatomical region of the lesion that was treated, or previous irradiation. Although extensive clinical experience will be necessary to determine optimal total doses, the potential role of fractionated treatment, and the tolerance of critical structures to radiosurgery, the relatively low incidence of complications in our series allows us to conclude that radiosurgery is well tolerated by the vast majority of patients.

RESUME: Complications précoces et tardives de la radiochirurgie stéréotaxique dynamique et de la radiothérapie stéréotaxique fractionnée. Entre décembre 1986 et juin 1990, 112 patients (116 lesions) ont été traités par radiochirurgie stéréotaxique dynamique à l’Université McGill. 59 des lésions traitées étaient des malformations artério-veneuses et 53 étaient d’autres lésions néoplasiques ou non néoplasiques variées. Pour 86 lésions, le traitement a été délivré en une seule fraction et il a été fractionné pour les 30 autres lésions. Des complications attribuées au traitement sont survenues chez 7 des 112 patients (6.3%). Il n’existait pas de relation entre les complications et la dose prescrite, le fractionnement, le diamètre du collimateur, le type et le site anatomique de la lésion traitée ou une irradiation antérieure. Même si une expérience clinique considérable sera nécessaire pour déterminer les doses totales optimales, le rôle potentiel du traitement fractionné et la tolérance à la radiochirurgie de structures critiques, l’incidence relativement basse de complications dans nos séries nous permet de conclure que la radiochirurgie est bien tolérée par la grande majorité des patients.

The term radiosurgery has been used to describe a variety of techniques which accurately deliver high single doses of radiation to stereotactically defined intracranial targets in such a way that the dose fall-off outside the targeted volume is very sharp. Since Leksell pioneered the use of these techniques in the 1950s and developed the gamma unit in the mid-1960s, hundreds of patients have been treated with radiosurgery in Stockholm. Although the first patients were treated with protons in Uppsala, Boston and Berkeley in the 1950s, it was not until the 1980s that the excellent results of radiosurgical treatment of arteriovenous malformations (AVMs) and acoustic schwannomas and the potential benefit of applying these techniques to the management of other lesions led to the development of other radiosurgical techniques. These are based primarily on the gamma unit and isocentric linear accelerators (linacs) which are in general clinical use. Since radiosurgical facilities are proliferating rapidly in North America and the number of radiosurgically treated patients is increasing, it is of great importance that the risk of significant adverse effects of radiosurgery be fully studied, defined and understood.

In conventional external beam radiotherapy, brain tolerance is related to the volume of tissue irradiated, the total dose administered, the size of dose fractions, and the total time over which the irradiation is delivered. Despite the very small volumes that are treated in radiosurgery, the single high dose fractions that are usually administered have been of concern to some radiation oncologists. Nevertheless, significant acute and late effects of radiosurgery have been uncommon. The relationships between the risk of these effects and factors, such as the prescribed dose, targeted volume, location of this volume

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relative to critical structures, any previous irradiation, and particular patient characteristics, have been difficult to elucidate.

The aim of this study was to evaluate the first 112 patients who had been treated with linac-based radiosurgery (single treatment) or stereotactic radiotherapy (fractionated treatment) in our center to determine the overall incidence of complications and to ascertain whether or not any relationship between the rate of complications and any of the above factors could be established.

**Materials and Methods**

Between December 1986 and June 1990, 112 patients (62 females and 50 males, ranging in age from 5 to 59 years, median 35 years) were treated with dynamic stereotactic radiosurgery at McGill University. The technical details of our radiosurgical technique based on a 10 MV isocentric linac were described elsewhere. One hundred-and-nine patients had radiosurgical treatment based on a 10 MV isocentric linac were described elsewhere. One hundred-and-nine patients had radiosurgical treatment to only one lesion, while two patients had treatment to separate separate cerebral metastatic lesions and one other patient had treatment to each of three feeding vessels of a large right frontoparietal AVM. A listing of the 116 lesions treated is given in Table 1.

<table>
<thead>
<tr>
<th>Lesion</th>
<th>Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arteriovenous malformation</td>
<td>59</td>
</tr>
<tr>
<td>Cavernous angioma</td>
<td>11</td>
</tr>
<tr>
<td>Brain metastasis</td>
<td>13</td>
</tr>
<tr>
<td>Glioma</td>
<td>11</td>
</tr>
<tr>
<td>Meningioma</td>
<td>4</td>
</tr>
<tr>
<td>Acoustic schwannoma</td>
<td>3</td>
</tr>
<tr>
<td>Craniopharyngioma</td>
<td>3</td>
</tr>
<tr>
<td>Pineal tumour</td>
<td>3</td>
</tr>
<tr>
<td>Chordoma</td>
<td>2</td>
</tr>
<tr>
<td>Pituitary adenoma</td>
<td>2</td>
</tr>
<tr>
<td>Trigeminal neurinoma</td>
<td>2</td>
</tr>
<tr>
<td>Cerebellar hemangioblastoma</td>
<td>1</td>
</tr>
<tr>
<td>Seizure disorder</td>
<td>1</td>
</tr>
<tr>
<td>Trigeminal neuralgia</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>116</td>
</tr>
</tbody>
</table>

The majority of patients had no previous cranial radiotherapy, but eleven patients, nine with brain metastases and two with high grade astrocytomas, had had previous conventional external beam radiotherapy 0 to 84 months (median 6 months) prior to radiosurgery (10 patients) or stereotactic radiotherapy (one patient). Nine of these 11 patients had treatment to the whole brain with total dose ranging from 18 to 50 Gy (median 30 Gy). Of these nine patients, one had a boost to a portion of the treated volume to a dose of 15 Gy (total dose 60 Gy), and two other patients had partial brain treatments for disease recurrent following whole brain irradiation to a further dose of 25 Gy (total dose 45 Gy). One of the 11 patients was treated with a large field to the base of the tongue which included the base of the skull to a dose of 60 Gy. One patient was treated with craniospinal irradiation to a dose of 36 Gy to the whole brain, with radiosurgery administered as a boost to the pineal region.

In preparation for radiosurgery, all patients were planned using stereotactic target localization with angiography, computerized tomography (CT) or magnetic resonance imaging (MRI).

In all 112 patients, the target volume was assumed to be spherical and therefore only one isocenter was used for the radiosurgical treatment of a given volume. The maximum (100%) in the radiosurgical dose distribution occurs at the treatment isocenter and the 90% and 80% isodoses surfaces are spherical with a diameter depending on the treatment collimator used. The lower level isodose surfaces, such as 50% and 20%, are no longer spherical and exhibit shapes peculiar to the dynamic rotation technique. The treatment dose was prescribed to the 90% isodose surface in 73 lesions; dose prescription was at the target center (100%) in 42 lesions and at the 85% isodose surface in one. In all patients, treatment was planned such that the 90% isodose surface covered the maximum diameter of the lesion on the localization images, resulting in the inclusion of minimal amounts of normal brain and other tissue in the high dose volume.

In 82 patients, radiosurgical treatment was administered in a single session. In these patients, the dose administered to the 90% isodose surface ranged from 15 to 50 Gy (median 38.25 Gy) and the collimator diameter ranged from 5 to 30 mm (median 11.25 mm). The treatment policy was to treat vascular lesions with a single session. Initially the prescribed dose was 50 Gy and later on it was dropped to 25 Gy. Isolated metastatic tumors were also treated with a single session but with a dose between 15 Gy and 25 Gy depending on tumour size and location. Figure 1 is a scattergram of dose vs. collimator diameter for the 82 patients (86 lesions) in whom the treatment was administered in a single session.

In the remaining 30 patients, the treatment course was administered in several sessions or fractions using radiosurgical techniques and immobilization by a halo frame. Details of the fractionated stereotactic technique were described elsewhere. The fractionated treatment was introduced in an attempt to improve the therapeutic ratio by exploring the known differences in the response to fractionated irradiation between tumor and normal tissue. In most patients, the fractionated treatment was prescribed for primary lesions larger than 25 mm in diameter, in particular for lesions located in critical brain structures. Typical fractionated regimen consisted of six treatments of 7 Gy
Complications attributable to treatment occurred in seven of 112 patients (6.3%) and in seven of 116 lesions treated (6.0%). None of the patients developed alopecia. Table 3 gives treatment data and summarizes the clinical outcome for the seven patients who developed complications.

Early complications developed in only one patient. He (Patient 1 in Table 3) was treated for a left occipital AVM, and within two to three hours developed a headache accompanied by a right homonymous hemianopsia. A subsequent CT scan of the head revealed no evidence of hemorrhage, and, within six to eight hours of their development, his symptoms resolved completely. The patient developed no further complications and remains well. Follow-up angiogram at one and 2 years post-treatment shows no change in the AVM.

One of the three patients treated for acoustic schwannoma developed complications. He (Patient 2) had had a previous subtotal excision of his tumour but, three years later, developed radiological evidence of local progression of the lesion and was treated with radiosurgery. Two months later, he developed worsening of an ipsilateral partial facial nerve palsy. At seven months following treatment, the facial nerve had recovered its pre-treatment function and a CT scan of the head revealed a slight reduction in the size of the lesion.

Five patients developed intermediate or late adverse effects involving brain tissue. Patient 3 was treated for a cavernous angioma of the left thalamus abutting the internal capsule presenting with a subarachnoid hemorrhage which left him with a residual right hemiparesis. Twenty-one months following treatment, he developed worsening of his hemiparesis and a right homonymous hemianopsia, which both were progressive. Successive imaging of the brain with MRI and CT revealed extensive edema of the left thalamus and cerebral peduncle. Although his clinical deficits stabilized seven months later, he died of a massive left thalamic bleed at 35 months after therapy. An autopsy revealed that, in addition to a bleed, there were foci of sclerosis of small vessels and deposition of an extracellular amorphous proteinaceous material in the temporal horn, superior and medial to the most lateral portion of the hemorrhage. Although it was felt that the latter could be compatible with radiation necrosis, it was also felt that this change was non-specific in nature, and could be related to the hemorrhage itself.

Patient 4 was treated for a right parietal AVM. Ten months later, he developed progressive left hemiparesis, with CT

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**Table 2. Lesions Types and Anatomical Regions** for Patients With and Without Brain Parenchymal Complications

<table>
<thead>
<tr>
<th>Lesion Type</th>
<th>Complications</th>
<th>No Complications</th>
<th>Complications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single</td>
<td>Fractionated</td>
<td>Single</td>
<td>Fractionated</td>
</tr>
<tr>
<td>Vascular</td>
<td>66</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Other</td>
<td>17</td>
<td>26</td>
<td>1</td>
</tr>
<tr>
<td>Anatomical Region*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>47</td>
<td>6</td>
<td>2</td>
</tr>
<tr>
<td>2</td>
<td>21</td>
<td>11</td>
<td>1</td>
</tr>
<tr>
<td>3</td>
<td>15</td>
<td>11</td>
<td>0</td>
</tr>
</tbody>
</table>

*Anatomical regions: 1- Telencephalon; 2- Diencephalon; 3- Mesencephalon, Metencephalon, Myelencephalon.
scan-determined extensive edema of the right frontoparietal-temporal region. He improved markedly with the administration of tapering doses of oral steroids. Angiogram at 12 months post-treatment showed a complete obliteration of his AVM with concurrent restoration of normal brain circulation. However, at 18 months after treatment he died of pneumonia; no autopsy was performed. Patient 5 was treated for an AVM in the left internal capsule. Seven months later, she developed right hemiparesis and extensive edema in the region of the left internal capsule on CT scan. Angiogram showed a 60% obliteration. Her symptoms failed to respond to the administration of oral steroids, and she died of a large left frontoparietal bleed eight months after radiosurgery. No autopsy was performed. Patient 6 received fractionated treatment for a grade I astrocytoma of the left lenticular nucleus. Twelve months following therapy she developed progressive left hemiparesis; a CT scan revealed the presence of extensive edema in the region of the left basal ganglia. Her symptoms improved markedly with oral steroids, and, at 26 months after treatment, she had minimal motor deficits, with disappearance of edema and substantial reduction of tumour on CT scanning.

Patient 7 was treated for palliation of a lesion in the right parietal lobe metastatic from a carcinoma of the lung. The lesion recurred five months following conventional whole brain irradiation to a midplane dose of 30 Gy in ten fractions given over a two week period. Five months after radiosurgery, she developed progression of her left hemiparesis, which improved modestly with administration of oral steroids. At that time, CT scan of the head revealed disappearance of the metastatic lesion, with marked edema of the right parietal lobe. At seven months following radiosurgery, in addition to the edema, an area of linear contrast enhancement was seen in the treated area. A subsequent CT scan at 11 months after therapy revealed persistent edema with near complete disappearance of enhancement. Figure 3 shows the series of pre-treatment and post-treatment CT scans. The patient remains hospitalized with hemiparesis and a progressive globally dementing illness, for which extensive investigation has failed to reveal a cause, and which may be related to her previous whole brain irradiation.

Table 4 gives the details of statistical comparisons for various treatment and patient-related parameters. There was no significant difference in the incidence of intermediate or late brain parenchymal complications between the patients that were treated with a single treatment and those that received fractionated therapy, or between those who had received previous irradiation and those who had not. There was no significant difference between patients with and without brain parenchymal complications with respect to collimator diameter, total dose administered to the 90% isodose surface, the type of lesion, or the anatomical region that was treated for either the single or the fractionated treatment groups.

**DISCUSSION**

Significant acute effects of radiosurgery, i.e., those occurring within days to weeks of treatment, appear to be uncommon. Kjellberg et al. reported that headache, elevated temperature (with treatment of diencephalic AVMs) and increased risk of seizures occurred in a few patients treated with protons. Loeffler et al. reported transient aphasia beginning 12 hours post-treatment in one patient who received radiosurgical therapy using a linac-based technique. Alexander et al. correlated the incidence of acute nausea in linac radiosurgery patients with the dose that had been administered to the vomiting center, located in the floor of the fourth ventricle. In our series, only one patient treated with dynamic rotational radiosurgery developed transient early adverse effects.

In Stockholm, 18% of patients treated with the gamma unit for acoustic schwannoma developed trigeminal neuropathy, almost always involving the sensory division, and 15% developed facial neuropathy. Five percent of patients developed symptomatic peritumoural edema 6 to 12 months following radiosurgical treatment. In Pittsburgh, the incidence of trigeminal
and facial neuropathies at one year following therapy with the gamma unit was 37% and 33%, respectively. Although the higher incidence of complications led to a reduction in the minimum target dose, on subsequent analysis no significant relationship could be detected between treatment dose or minimal tumour isodose and the incidence of trigeminal or facial nerve dysfunction. In our series, one patient developed a transient facial neuropathy. The early Stockholm experience confirmed that gamma unit radiosurgery with doses 180 Gy or higher produced small, well demarcated areas of brain necrosis (gamma lesions) when it was administered to treat functional disorders. Wennerstrand and Ungerstedt sectioned nine brains of patients who had received 180 to 250 Gy for pain syndromes and found necrosis of brain tissue as early as three weeks following treatment. Leksell et al. found that these lesions could be seen on MRI as early as 24 hours post-treatment. Arndt et al. examined gamma lesions in animal brains and divided pathological changes into stages: the first or necrotic stage, at three to four weeks after treatment; the second or resorption stage, with chronic inflammatory cell infiltrate at up to one year following therapy; and the third or late stage, with prominent glial formation at one year and beyond. Histological sections of brain have revealed well circumscribed areas of radiation necrosis in patients receiving much less than 180 Gy using protons and gamma unit radiosurgery. The etiopathogenesis of the radiosurgery-induced imaging changes and the adverse reactions are not clearly understood. Blood-brain-barrier breakdown with subsequent edema and/or radiation injury of the adjacent brain tissue resulting in edema, ischemia or reactive astrogliosis have all been considered as possible mechanisms in the development of late complications.

With helium ion radiosurgery, Steinberger et al. reported the development of symptomatic white matter change or vasculopathy in 17 of 86 patients (20%), three to 33 months after radiosurgical treatment of AVMs. In 20 other patients who were asymptomatic, evidence of white matter change was also seen on follow-up MRI or CT scanning. Steiner et al. reported an overall incidence of 7/300 (2.3%) of delayed brain necrosis three to 11 months following treatment of AVMs with the gamma unit. Coffey et al. reported complications in general in 6/213 (2.8%) of their own gamma unit patients. Kjellberg et al. reported an incidence of complications of 10/709 (1.4%) in patients with AVMs treated with protons. In the series of Loeffler et al., 24/44 patients (4.5%) developed delayed radiation necrosis one at six and the other at 28 months following treatment with a linac-based technique. In our series, overall complications developed in seven of 112 patients (6.3%).

As important as they are in conventional external beam irradiation, dose and volume considerations are likely also to be important in the incidence of late adverse effects of radiosurgery. Steiner et al. reviewed gamma unit radiosurgical data and found more frequent complications at higher doses, suggesting a possible threshold prescribed dose of 20 Gy with an 8 mm or 14 mm collimator. Nedzi et al. found that an increased incidence of complications in patients with intracranial tumours treated with linac-based radiosurgery was associated with maximum tumour doses greater than 25 Gy and normal tissue doses greater than 23 Gy, as well as with treated tumour volume greater than 10 cm³. Steinberger et al. found that clinical complications were more frequent with prescribed doses greater than 18.5 Gy or with volumes of treated tissue greater than 13 cm³. Kjellberg established isoeffect curves for proton beams, plotting 1% and 99% brain necrosis incidence lines with respect to dose and beam diameter. Flickinger identified the difficulties in applying the proton beam curves to radiosurgery with photon beams and introduced the integrated logistic model for the prediction of complication rates with respect to dose and treatment volume. Using this model, Flickinger et al. later generated dose-volume isoeffect curves for linac-based and gamma unit radiosurgery and found results for the two photon beam techniques similar. Flickinger and Steiner modified the formula for response to dose higher than 130 Gy, using data from the production of gamma lesions. It has not been established yet whether any of these curves actually predicts the incidence of complications, although in some centers the curves may be used to select a dose that is appropriate for a particular treatment volume.
Other factors may influence the risk of significant late effects. Nedzi et al. found that tumour dose inhomogeneity and the number of isocenters used in linac-based radiosurgery correlated with the incidence of adverse late effects in patients treated for intracranial tumours. Kjellberg et al. found that they were able to reduce the incidence of complications over time by reducing the prescribed dose in patients with prior neurologic deficits, in whom injured brain may have a lower threshold for damage, and in patients with AVMs that were large or adjacent to neurologically active areas. Backlund et al. considered the tolerance of any portion of the optic tracts to radiosurgery to be 10 Gy and the brain stem, thalamus, or basal ganglia than in those with lesions in other sites. Loeffler et al. were not prepared to use the brain stem, thalamus, or basal ganglia than in those with lesions in other sites. Loeffler et al. were not prepared to use radiosurgery to treat lesions in previously irradiated critical regions of the brain. Nevertheless, there are no data on the tolerance of such structures as brain stem and optic nerve to the very small volumes that are treated in radiosurgery.

Interstitial brachytherapy with high-activity removable iodine-125 implants has been used as a boost to external beam irradiation in patients with malignant gliomas. Like radiosurgery, interstitial implant can deliver a large dose to the target volume while sparing adjacent normal tissue. Interstitial implant is well tolerated and appears to prolong survival. However, recent reports show that about 40% of the patients treated with this technique will require a reoperation for removal of radiation-induced necrosis, tumour progression, or a combination of both. Radiation-induced necrosis was indistinguishable from tumour progression on imaging studies. The clinical and radiologic findings on late complications following interstitial implants are similar to those seen following radiosurgery.

At McGill University intermediate or late adverse brain parenchymal effects in the form of cerebral edema, of linac radiosurgery and fractionated stereotactic radiotherapy were seen in five of 112 patients (4.5%). In three of these patients they were severe, causing significant morbidity. No relationship was found between the incidence of edema and dose, fractionation, collimator diameter, region of the brain or lesion type treated, or history of previous irradiation. This negative finding may be attributed to the very small numbers of patients who developed significant complications, precluding a more sophisticated statistical analysis.

In general, both radiosurgery and fractionated stereotactic radiotherapy appear to be well tolerated by the vast majority of patients, although the procedures are not without risk. The consideration of the lesion type, any previous irradiation, volume, total dose and fractionation, dose homogeneity, and the presence of adjacent critical structures are likely to be important in planning therapy with any radiosurgical technique. However, extensive clinical experience will be required to define optimal prescriptions of dose related to volume, the potential role of fractionated treatment using stereotactic techniques, and the tolerance to radiosurgery of brain stem, optic nerve, and other critical structures, so that the incidence of significant toxicity may be further minimized. We continue to accrue patients to our program using the same dose prescription-target volume parameters described in this paper and hopefully with a sufficiently large patient population, some of the unsolved issues related to delayed complications may be clarified in the future.

Based on our 6 year experience with radiosurgery and fractionated stereotactic radiotherapy, we currently reserve radiosurgery for treatment of AVMs, metastatic brain tumours and acoustic schwannomas, while for the treatment of the selected group of patients with well-circumscribed, unsectable, primary brain tumours we use fractionated stereotactic radiotherapy.

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REFERENCES


