

# THERMODYNAMIC FORMALISM FOR AMENABLE GROUPS AND COUNTABLE STATE SPACES

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(Received 18 July 2023; revised 3 February 2024; accepted 6 February 2024)

*Abstract* Given the full shift over a countable state space on a countable amenable group, we develop its thermodynamic formalism. First, we introduce the concept of pressure and, using tiling techniques, prove its existence and further properties, such as an infimum rule. Next, we extend the definitions of different notions of Gibbs measures and prove their existence and equivalence, given some regularity and normalization criteria on the potential. Finally, we provide a family of potentials that nontrivially satisfy the conditions for having this equivalence and a nonempty range of inverse temperatures where uniqueness holds.

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*Key words and phrases:* Gibbs measure; amenable group; pressure; countable state space; thermodynamic formalism

*2020 Mathematics subject classification:* Primary 37D35; 82B05; 37A35  
Secondary 37B10; 82B20; 60B15

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## 1. Introduction

There are two general ways to describe a system composed of many particles: microscopically and macroscopically. The first one makes use of the exact positions of the particles, as well as their local interactions. The second one, in turn, is usually outlined by thermodynamic quantities, such as energy and entropy. One could say that statistical mechanics — originated from the works of Boltzmann [10] and Gibbs [32] — is the bridge between the microscopic and the macroscopic descriptions of these kinds of systems. In this connection, *Gibbs measures* are a central object. It is fair to say that Gibbs measures are at the core of the ‘conceptual basis of equilibrium statistical mechanics’ [52]. Relevant examples are the Ising model, which tries to capture the magnetic properties of certain materials; the hard-core model, that describes the distribution of gas particles in a given environment; among many others [29, 30, 31]. In these cases, it is customary to consider that the many particles interacting are infinite, take a value from a state space  $A$  (also called *alphabet* when  $A$  is countable), and they are disposed in a crystalline structure. This structure and its symmetries are usually represented by a countable group  $G$ , possibly with some Cayley graph associated with it. A particular case is the *hypercubic  $d$ -dimensional lattice*, which can be understood as the Cayley graph of the finitely generated Abelian group  $G = \mathbb{Z}^d$  according to its canonical generators. Then, it is natural to represent an arrangement of particles as an element of the space of configurations  $X = A^G$ , the  *$G$ -full shift*. Considering this, one is interested in certain measures  $\mu$  in the space  $\mathcal{M}(X)$  of Borel probability measures supported on  $X$ . More

specifically, the measures of interest are the ones that describe these kinds of systems when they are in thermal equilibrium, where the energy of configurations is given by some potential  $\phi : X \rightarrow \mathbb{R}$ . However, there are many mathematically consistent ways to represent that situation by choosing an appropriate measure  $\mu \in \mathcal{M}(X)$ . As the theory evolved, it drew the attention from different areas of expertise, such as probability [27, 51] and ergodic theory [14, 56]. Consequently, the very concept of Gibbs measure started to develop in more abstract and not always equivalent directions.

We focus mainly on four conceptualizations of the idea of thermal equilibrium, namely, Dobrushin-Lanford-Ruelle (DLR), conformal, Bowen-Gibbs, and equilibrium measures. We now proceed to briefly describe each of them.

Dating back to the 1960s, Dobrushin [22, 23] and, independently, Lanford and Ruelle [41] proposed a concept of Gibbs measure that extended the usual Boltzmann-Gibbs formalism to the infinite particles setting. Roughly, the idea involved looking for probability distributions compatible with a family of maps — sometimes called *specification* — that prescribe conditional distributions inside finite subsets of  $G$  given some fixed configuration outside. More specifically, given a collection  $\gamma = (\gamma_K)_{K \in \mathcal{F}(G)}$  of probability kernels  $\gamma_K : \mathcal{B} \times X \rightarrow [0, 1]$ , with  $\mathcal{F}(G)$  the set of finite subsets of  $G$  and  $\mathcal{B}$  the Borel  $\sigma$ -algebra, one is interested in finding measures  $\mu \in \mathcal{M}(X)$ , such that  $\mu\gamma_K = \mu$  for every  $K \in \mathcal{F}(G)$ , where  $\mu\gamma_K$  is a new measure (a priori, different from  $\mu$ ) obtained from  $\mu$  via  $\gamma_K$ . Those distributions are called *DLR measures* after the above cited authors, and they have received considerable attention from both mathematical physicists and probabilists (see, for example [30, 31, 38, 52]).

Another rather classical way to define a Gibbs measure, which does not involve conditional distributions, was introduced by Capocaccia in [17]. Given a class  $\mathcal{E}$  of local homeomorphisms  $\tau : X \rightarrow X$  and a potential  $\phi : X \rightarrow \mathbb{R}$ , one is interested in measures  $\mu$ , such that  $\frac{d(\mu \circ \tau^{-1})}{d\mu} = \exp(\phi_\tau^*)$  for every  $\tau \in \mathcal{E}$ , where  $\phi_\tau^* : X \rightarrow \mathbb{R}$  is a function representing the energy difference between a configuration  $x$  and  $\tau(x)$  (e.g. see [38, Definition 5.2.1]). This kind of measures fits in the more general context of  $(\Psi, \mathcal{R})$ -conformal measures explored in [1], where  $\mathcal{R}$  is a Borel equivalence relation and  $\Psi : \mathcal{R} \rightarrow \mathbb{R}_+$  is a measurable function. Then, Capocaccia’s measures, that we simply call *conformal measures*, can be recovered by taking a function  $\Psi$  related to the given potential and  $\mathcal{R}$  the tail relation in the space of configurations. By considering other particular Borel relations  $\mathcal{R}$  and measurable functions  $\Psi$ , one can recover other relevant notions of conformal measures, such as the ones presented in [20, 49, 53], that are mainly adapted to the one-dimensional setting, that is, when  $G = \mathbb{Z}$  or, considering also semigroups, when  $G = \mathbb{N}$ .

A third possibility, introduced by Rufus Bowen in a one-dimensional and ergodic theoretical context [14], is to define Gibbs measures by specifying bounds for the probability of cylindrical events. More concretely, one is interested in the measures  $\mu \in \mathcal{M}(X)$  for which there exists constants  $C > 0$  and  $p \in \mathbb{R}$ , such that

$$C^{-1} \leq \frac{\mu([a_0 a_1 \cdots a_{n-1}])}{\exp(\sum_{i=0}^{n-1} \phi(T^i x) - pn)} \leq C \quad \text{for } x \in X.$$

As in [7], we call those measures *Bowen-Gibbs measures* to avoid confusion. This definition has been considered in the literature [18, 36, 38, 52] and also relaxed versions of it, such as

the so-called *weak Gibbs measures* [58, 60], where the constant  $C$  is replaced by a function that grows sublinearly in  $n$ . This and further relaxations have also played a relevant role in the multidimensional case, this is to say, when  $G = \mathbb{Z}^d$  and  $d > 1$ , for finite state spaces (e.g. see [38, Theorem 5.2.4]).

The last important definition considered in this work is the one of *equilibrium measure*. When  $X$  is a finite configuration space, equilibrium measures are simply probability vectors that maximize the sum (or difference) of an entropy- and an energy-like quantity, that is, a quantity like

$$H(p) + p \cdot (\phi(x_1), \dots, \phi(x_k)) = - \sum_{i=1}^k p_i \log p_i + \sum_{i=1}^k p_i \phi(x_i),$$

where  $k = |X|$ ,  $x_i \in X$ ,  $\phi : X \rightarrow \mathbb{R}$  is a potential,  $p = (p_1, \dots, p_k)$  is a probability vector with  $p_i$  the probability associated with  $x_i$ , and  $H(p)$  is the *Shannon entropy* of  $p$ . These measures were considered, for example, in [31, 38, 52]. On the other hand, when  $X$  is an infinite configuration space and there is a robust notion of specific entropy, let's say  $h(\mu)$ , we are interested in studying measures  $\mu \in \mathcal{M}(X)$  that maximize the quantity  $h(\mu) + \int \phi d\mu$  for a continuous potential  $\phi : X \rightarrow \mathbb{R}$ . This notion tries to capture the macroscopic behaviour of the system without making any assumption of the microscopic structure.

The problem of proving equivalences among these and other related notions has already been studied in different settings. We mention some relevant results that can be found in the literature.

In the one-dimensional case, for finite state spaces, Meyerovitch [44] proved the equivalence between conformal measures and DLR measures for some families of proper subshifts. Also, Sarig [54, Theorem 3.6] proved that any DLR measure on a mixing subshift of finite type is a conformal measure, for a different but related notion of conformal, restricted to the one-dimensional setting. In the same work, for one-sided and countably infinite state spaces, Sarig [54, Proposition 2.2] proved that conformal measures — according to his definition — are DLR measures for topological Markov shifts. In this same setting, Mauldin and Urbański [43] proved the existence of equilibrium measures and that any equilibrium measure satisfies a Bowen-Gibbs equation. Moreover, if the topological Markov shift satisfies the big images and preimages (BIP) property and the potential has summable variation, Beltrán et al. [6] proved that DLR measures and conformal measures — in the same sense as Sarig — are equivalent. Finally, for potentials with summable variation on sofic subshifts, Borsato and MacDonald [12] proved the equivalence between DLR and equilibrium measures. There are also other classes of measures in the one-dimensional case which we do not treat here, such as *g-measures* [37, 59] and *eigenmeasures* associated with the Ruelle operator [14, 52]. When the state space is finite, it is known that the set of DLR measures and *g-measures* do not contain each other [9, 28], but there is a characterization for when a *g-measure* is a DLR measure [7]. In addition, eigenmeasures coincide with DLR measures for continuous potentials in the one-sided setting, as proven by Cioletti et al. in [19]. Pioneering works in the one-dimensional countably infinite state space setting can be found in [33, 34]. In the multidimensional case, some results regarding the equivalences among the four notions

of Gibbs measures have been proved for finite state spaces. A first important reference is Keller [38, Theorems 5.2.4 and 5.3.1], where it is proven that when  $\phi : X \rightarrow \mathbb{R}$  is *regular* (which includes the case of local and Hölder potentials, and well-behaved interactions), then the four definitions are equivalent. Here, by regular, we mean that

$$\sum_{n=1}^{\infty} n^{d-1} \delta_n(\phi) < \infty,$$

where  $\delta_n(\phi)$  is the oscillation of  $\phi$  when considering configurations that coincide in a specific finite box, namely,  $[-n, n]^d \cap \mathbb{Z}^d$ . Other classical references in this setting are due to Dobrushin [21] and Lanford and Ruelle [41], which, combined, establish the equivalence between DLR measures and equilibrium measures for a general class of subshifts of finite type. Kimura [40] generalized the equivalence between DLR and conformal measures for subshifts of finite type, and some of the implications are true for more general proper subshifts. In the countably infinite state space setting, Muir [45, 46] obtained all equivalences for the  $G$ -full shift when  $G = \mathbb{Z}^d$ . In order to do this, it was required that the potential  $\phi : X \rightarrow \mathbb{R}$  is regular and satisfies a normalization criterion, namely, *exp-summability*:

$$\sum_{a \in \mathbb{N}} \exp(\sup \phi([a])) < \infty.$$

This last condition is automatically satisfied when  $A$  is finite.

Results proving equivalences between different kinds of Gibbs measures go beyond the amenable [2, 5, 15, 55] and even the symbolic setting to general topological dynamical systems [3, 36].

One of our main contributions is to exhibit conditions to guarantee that the four notions of Gibbs measures presented above are equivalent, when considering the state space  $A = \mathbb{N}$  and an arbitrary countable amenable group  $G$ , thus extending Muir’s methods to the more general amenable case. Countable amenable groups play a fundamental role in ergodic theory [48] and include many relevant classes of groups, such as Abelian (so, in particular,  $G = \mathbb{Z}^d$ ), nilpotent, and solvable groups and are closed under many natural operations, namely, products, extensions, etc. (e.g. see [42]). In the more general group and finite state space setting, the equivalence between DLR and conformal measures was extended to general subshifts over a countable discrete group  $G$  with a special growth property by Borsato-MacDonald [13, Theorems 5 and 6]. Recently, a different proof for the equivalence between DLR and conformal measures for any proper subshift was given by Pfister in [50]. Also, in [4], a Dobrushin-Lanford-Ruelle type theorem is proven in the case that the group is amenable and a topological Markov property holds, which is satisfied, in particular, by subshifts of finite type. Here, as Muir, we focus on the  $G$ -full shift case. We consider the configuration space  $X = \mathbb{N}^G$ , for  $G$  an arbitrary countable amenable group, and an exp-summable potential  $\phi : X \rightarrow \mathbb{R}$  with *summable variation (according to some exhausting sequence)*. The concept of summable variation extends the one of regular potential presented before. More precisely, a potential  $\phi$  has summable variation if

$$\sum_{m=1}^{\infty} |E_{m+1}^{-1} \setminus E_m^{-1}| \delta_{E_m}(\phi) < \infty,$$

where  $\{E_m\}_m$  is an exhausting sequence for  $G$  and  $\delta_{E_m}(\phi)$  is a standard generalization of  $\delta_m(\phi)$ .

The paper is organized as follows. First, in Section 2, we present some preliminary notions about amenable groups  $G$ , the corresponding symbolic space  $\mathbb{N}^G$ , and potentials. Later, in Section 3, we introduce the concept of pressure in our framework, and we prove its existence. Also, we prove that it satisfies an infimum rule and that it can be obtained as the supremum of the pressures associated with finite alphabet subsystems. In order to achieve this, we use relatively new techniques for tilings of amenable groups [26] and, inspired by ideas for entropy from [25], we develop a generalization of Shearer's inequality for pressure. In Section 4, we introduce spaces of permutations and Gibbsian specifications in order to pave the way for the definitions of conformal and DLR measures, respectively. Next, in Section 5, we prove the equivalence between the four notions of Gibbs measures mentioned above given some conditions on the potential, such as exp-summability and summable variation. We also prove related results involving equilibrium measures. In order to prove the equivalence between DLR and conformal measures, we rely on the strategies presented in [45] for the  $G = \mathbb{Z}^d$  case, which already considers an infinite state space. Moreover, using Prokhorov's theorem and relying on the existence of conformal measures in the compact setting [20], we prove the existence of a conformal (and DLR) measure in our context. We also prove that DLR measures are Bowen-Gibbs. If it is also the case that the measure is invariant under shift actions of the group, we prove that any Bowen-Gibbs measure is an equilibrium measure and that any equilibrium measure is a DLR measure. At last, in Section 6, we show how to recover previous results from ours and, inspired by the Potts model and considering a version of it with countably many states, we exhibit a family of examples for which all our results apply nontrivially and, in addition, a version of Dobrushin's uniqueness theorem adapted to our setting holds, thus providing a regime where the uniqueness of a Gibbs measure is satisfied.

## 2. Preliminaries

### 2.1. Amenable groups and the space $\mathbb{N}^G$

Let  $G$  be a countable discrete group with identity element  $1_G$  and  $\mathbb{N}$  be the set of nonnegative integers. Consider the  $G$ -full shift over  $\mathbb{N}$ , that is, the set  $\mathbb{N}^G = \{x : G \rightarrow \mathbb{N}\}$  of  $\mathbb{N}$ -colourings of  $G$ , endowed with the product topology. We abbreviate the set  $\mathbb{N}^G$  simply by  $X$ . Given a set  $A$ , denote by  $\mathcal{F}(A)$  the set of nonempty finite subsets of  $A$ .

Consider a sequence  $\{E_m\}_m$  of finite sets of  $G$ , such that  $E_0 = \emptyset$ ,  $1_G \in E_1$ ,  $E_m \subseteq E_{m+1}$  for all  $m \in \mathbb{N}$ , and  $\bigcup_{m \in \mathbb{N}} E_m = G$ . We will call such a sequence an **exhaustion of  $G$**  or an **exhausting sequence for  $G$** . Throughout this paper, we will consider a particular type of exhausting sequences: we will assume further that  $E_1 = \{1_G\}$  and  $\{E_m\}_m$  strictly increasing.

Given a fixed exhaustion  $\{E_m\}_m$ , the topology of  $X$  is metrizable by the metric  $d : X \times X \rightarrow \mathbb{R}$  given by  $d(x, y) = 2^{-\inf\{m \in \mathbb{N} : x_{E_m} \neq y_{E_m}\}}$ , where  $x_F$  denotes the restriction of  $x$  to  $F$ .

configuration  $x$  to a set  $F \subseteq G$ . Denote by  $X_F = \{x_F : x \in X\}$  the set of restrictions of  $x \in X$  to  $F$ . The sets of the form  $[w] = \{x \in X : x_F = w\}$ , for  $w \in X_F, F \in \mathcal{F}(G)$ , are called **cylinder sets**. The family of such sets is the standard basis for the product topology of  $\mathbb{N}^G$ .

Let  $\mathcal{B}$  be the  $\sigma$ -algebra generated by the cylinder sets, and let  $\mathcal{M}(X)$  be the space of probability measures on  $X$ . Consider also  $\mathcal{M}_G(X)$  the subspace of  $G$ -invariant probability on  $X$ .

The group  $G$  acts by translations on  $X$  as follows: for every  $x \in X$  and every  $g, h \in G$ ,

$$(g \cdot x)(h) = x(hg).$$

This action is also referred, in the literature, as the shift action. Moreover, it can be verified that  $g \cdot [x_F] = [(g \cdot x)_{Fg^{-1}}]$ , for every  $x \in X, g \in G$ , and  $F \subseteq G$ .

Given  $K, F \in \mathcal{F}(G)$  and  $\delta > 0$ , we say that  $F$  is  $(K, \delta)$ -invariant if  $|KF\Delta F| < \delta|F|$ , where  $KF = \{kf : k \in K, f \in F\}$ . A group  $G$  is called **amenable** if for every  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , there exists a  $(K, \delta)$ -invariant set  $F$ .

For  $K, F \in \mathcal{F}(G)$ , define:

- i) the  **$K$ -interior of  $F$**  as  $\text{Int}_K(F) = \{g \in G : Kg \subseteq F\}$ ,
- ii) the  **$K$ -exterior of  $F$**  as  $\text{Ext}_K(F) = \{g \in G : Kg \subseteq G \setminus F\}$ , and
- iii) the  **$K$ -boundary of  $F$**  as  $\partial_K(F) = \{g \in G : Kg \cap F \neq \emptyset, Kg \cap F^c \neq \emptyset\}$ .

### 2.2. Potentials and variations

A function  $\phi : X \rightarrow \mathbb{R}$  is called a **potential**. Given  $E \subseteq G$ , the **variation of  $\phi$  on  $E$**  is given by

$$\delta_E(\phi) := \sup\{|\phi(x) - \phi(y)| : x_E = y_E\}.$$

Notice that if  $E \subseteq E'$ , then  $\delta_{E'}(\phi) \leq \delta_E(\phi)$ . If  $E = \{1_G\}$ , we denote  $\delta_E(\phi)$  simply by  $\delta(\phi)$ . We say that  $\phi$  has **finite oscillation** if  $\delta(\phi) < \infty$ .

Let  $\{E_m\}_m$  be an exhausting sequence for  $G$ . Given a potential  $\phi : X \rightarrow \mathbb{R}$ , it is not difficult to see that  $\phi$  is uniformly continuous if, and only if,  $\lim_{m \rightarrow \infty} \delta_{E_m}(\phi) = 0$ . In this context, given  $F \in \mathcal{F}(G)$ , we define the  **$F$ -sum of variations of  $\phi$  (according to  $\{E_m\}_m$ )** as

$$V_F(\phi) := \sum_{m \geq 1} |E_{m+1}^{-1}F \setminus E_m^{-1}F| \cdot \delta_{E_m}(\phi).$$

If  $F = \{1_G\}$ , we denote  $V_F(\phi)$  simply by  $V(\phi)$ . We say that  $\phi : X \rightarrow \mathbb{R}$  has **summable variation (according to  $\{E_m\}_m$ )** if  $V(\phi) < \infty$ .

**Remark 2.1.** For any exhausting sequence  $\{E_m\}_m$  and any  $F \in \mathcal{F}(G)$ , the sequence  $\{E_{m+1}^{-1}F \setminus E_m^{-1}F\}_m$  is a partition of  $G$ . Moreover,  $E_{m+1}^{-1}F \setminus E_m^{-1}F \subseteq (E_{m+1}^{-1} \setminus E_m^{-1})F$ , so

$$|E_{m+1}^{-1}F \setminus E_m^{-1}F| \leq |E_{m+1}^{-1} \setminus E_m^{-1}| |F|,$$

and  $V_F(\phi) \leq V(\phi)|F|$ . In particular, if  $\phi$  has summable variation,  $V_F(\phi) < \infty$  for all  $F \in \mathcal{F}(G)$ .

**Proposition 2.2.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential such that the  $F$ -sum of variation of  $\phi$  is finite for some  $F \in \mathcal{F}(G)$ . Then  $\phi$  is a uniformly continuous potential.*

**Proof.** Let  $\{E_m\}_m$  be an exhausting sequence for  $G$ . Since, in particular,  $E_m \subseteq E_{m+1}$  for every  $m \geq 1$ , we have that  $0 \leq \delta_{E_{m+1}}(\phi) \leq \delta_{E_m}(\phi)$  for every  $m \geq 1$ . Then, for every  $M \geq 1$ ,

$$\begin{aligned} V_F(\phi) &\geq \sum_{m=1}^M |E_{m+1}^{-1}F \setminus E_m^{-1}F| \cdot \delta_{E_m}(\phi) \\ &\geq \delta_{E_M}(\phi) \cdot \sum_{m=1}^M |E_{m+1}^{-1}F \setminus E_m^{-1}F| \\ &= \delta_{E_M}(\phi) |E_{M+1}^{-1}F \setminus E_1^{-1}F|, \end{aligned}$$

where the last line follows from Remark 2.1. Therefore,

$$0 \leq \lim_{M \rightarrow \infty} \delta_{E_M}(\phi) \leq \lim_{M \rightarrow \infty} \frac{V_F(\phi)}{|E_{M+1}^{-1}F \setminus E_1^{-1}F|} = 0,$$

and the result follows. □

**Definition 2.1.** Let  $\varphi: \mathcal{F}(G) \rightarrow \mathbb{R}$  be a function. Given  $L \in \mathbb{R}$ , we say that  $\varphi(F)$  **converges to  $L$  as  $F$  becomes more and more invariant** if for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that  $|\varphi(F) - L| < \epsilon$  for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ . We will abbreviate such a fact as  $\lim_{F \rightarrow G} \varphi(F) = L$ .

A sequence  $\{F_n\}_n$  in  $\mathcal{F}(G)$  is **(left) Følner** for  $G$  if

$$\lim_{n \rightarrow \infty} \frac{|gF_n \setminus F_n|}{|F_n|} = 0, \text{ for any } g \in G.$$

For example, if  $G = \mathbb{Z}^d$  and  $F_n = [-n, n]^d \cap \mathbb{Z}^d$ , then  $\{F_n\}_n$  is a Følner sequence for  $\mathbb{Z}^d$ . It is not difficult to see that if  $\lim_{F \rightarrow G} \varphi(F) = L$ , then  $\lim_{n \rightarrow \infty} \varphi(F_n) = L$  for every Følner sequence  $\{F_n\}_n$ . In particular, when  $G = \mathbb{Z}^d$ , convergence as  $F$  becomes more and more invariant implies convergence along  $d$ -dimensional boxes, which is a common condition in the multidimensional framework. It is not difficult to see that a group is amenable if, and only if, it has Følner sequence. Moreover, for every amenable group  $G$ , there exists a Følner sequence that is also an exhaustion.

**Proposition 2.3.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then,*

$$\lim_{F \rightarrow G} \frac{V_F(\phi)}{|F|} = 0.$$



**Proof.** Let  $\epsilon > 0$ . Since  $\phi: X \rightarrow \mathbb{R}$  has summable variation, there exists  $m_0 \geq 1$ , such that

$$\sum_{m > m_0} |E_{m+1}^{-1} \setminus E_m^{-1}| \cdot \delta_{E_m}(\phi) < \epsilon.$$

Then, for every  $F \in \mathcal{F}(G)$ ,

$$\begin{aligned} V_F(\phi) &\leq \sum_{m=1}^{m_0} |E_{m+1}^{-1}F \setminus E_m^{-1}F| \cdot \delta_{E_m}(\phi) + \sum_{m > m_0} |E_{m+1}^{-1} \setminus E_m^{-1}| |F| \cdot \delta_{E_m}(\phi) \\ &\leq \sum_{m=1}^{m_0} |E_{m+1}^{-1}F \setminus E_m^{-1}F| \cdot \delta_{E_m}(\phi) + |F| \cdot \epsilon. \end{aligned}$$

Due to the amenability of  $G$ , for any given  $m_0 \geq 1$ , we have that, for all  $m \leq m_0$ ,

$$|F| \leq |E_{m+1}^{-1}F| \leq |E_{m_0+1}^{-1}F| \leq (1 + \epsilon)|F|$$

for every  $(E_{m_0+1}, \epsilon)$ -invariant set  $F$ . Therefore, for every  $\epsilon > 0$ , there exists  $m_0 \geq 1$  and  $K \in \mathcal{F}(G)$ , such that for every  $(K, \epsilon)$ -invariant set  $F$ ,

$$V_F(\phi) \leq \sum_{m=1}^{m_0} ((1 + \epsilon)|F| - |F|) \cdot \delta_{E_m}(\phi) + \epsilon \cdot |F| = \epsilon \cdot |F| \sum_{m=1}^{m_0} \delta_{E_m}(\phi) + \epsilon \cdot |F|,$$

so

$$\frac{V_F(\phi)}{|F|} \leq \epsilon \cdot C,$$

where  $C = 1 + V(\phi)$ . Since  $\epsilon$  was arbitrary, we conclude. □

Given a potential  $\phi: X \rightarrow \mathbb{R}$ , for each  $F \in \mathcal{F}(G)$ , define  $\phi_F: X \rightarrow \mathbb{R}$  as  $\phi_F(x) = \sum_{g \in F} \phi(g \cdot x)$  and  $\Delta_F(\phi) = \delta_F(\phi_F)$ . Notice that  $\Delta_{Fg}(\phi) = \Delta_F(\phi)$  for every  $g \in G$ .

**Lemma 2.4.** *Let  $\{E_m\}_m$  be an exhausting sequence for  $G$ ,  $\phi: X \rightarrow \mathbb{R}$  be a potential that has finite oscillation and such that  $\liminf_{m \rightarrow \infty} \delta_{E_m}(\phi) = 0$ . Then,*

$$\lim_{F \rightarrow G} \frac{\Delta_F(\phi)}{|F|} = 0. \tag{2.1}$$

*In particular, if  $\phi$  has summable variation according to an exhausting sequence  $\{E_m\}_m$ , then equation (2.1) holds.*

**Proof.** Let  $\epsilon > 0$ . Since  $\liminf_{m \rightarrow \infty} \delta_{E_m}(\phi) = 0$ , there exists  $m_0 \geq 1$ , such that  $\delta_{E_{m_0}}(\phi) \leq \epsilon$ . Denote  $E_{m_0}$  by  $K$ . Due to amenability, we can find  $K' \supseteq K$  and  $0 < \epsilon' \leq \epsilon$ , such that if  $F$  is  $(K', \epsilon')$ -invariant, we have that

$$|\text{Int}_K(F)\Delta F| < \epsilon \cdot |F|.$$

Considering this, if  $x, y \in X$  are such that  $x_F = y_F$ , we have that

$$\begin{aligned}
 |\phi_F(x) - \phi_F(y)| &\leq \sum_{g \in F} |\phi(g \cdot x) - \phi(g \cdot y)| \\
 &= \sum_{g \in \text{Int}_K(F) \cap F} |\phi(g \cdot x) - \phi(g \cdot y)| + \sum_{g \in F \setminus \text{Int}_K(F)} |\phi(g \cdot x) - \phi(g \cdot y)| \\
 &\leq \sum_{g \in \text{Int}_K(F) \cap F} \delta_K(\phi) + \sum_{g \in F \setminus \text{Int}_K(F)} \delta(\phi) \\
 &\leq |\text{Int}_K(F)| \cdot \epsilon + |F \setminus \text{Int}_K(F)| \cdot \delta(\phi) \\
 &\leq |F| \cdot (1 + \epsilon) \cdot \epsilon + |F| \cdot \epsilon \cdot \delta(\phi) \\
 &= |F| \cdot \epsilon \cdot (1 + \epsilon + \delta(\phi)),
 \end{aligned}$$

and the result follows.  $\square$

### 3. Pressure

We dedicate this section to introduce the *pressure* of a potential. We define and work on the setting of exp-summable potentials with summable variation on a countable alphabet. The pressure — basically equivalent to the *specific Gibbs free energy* — is a very relevant thermodynamic quantity that helps to capture the concept of Gibbs measure in a quantitative way.

First, we prove that the pressure, which we define through a limit over sets that are becoming more and more invariant, exists in the finite alphabet case. The definition of the pressure is often done in terms of a particular Følner sequence, which is a, *a priori*, less robust and less overarching approach. Existence of the limit for a particular Følner sequence  $\{F_n\}_n$  and the fact that it is independent on the choice of such sequence is well-known (see, for example [16, 35, 57], in the context of absolutely summable interactions). Here, we prove something stronger: that our definition of pressure obeys the infimum rule — which is a refinement of the Ornstein-Weiss lemma (see, for example [39, Section 4.5]) — this is to say, it can be expressed as an infimum over all finite sets of  $G$ . In order to conclude this, we extend the results about Shearer's inequality in [25] for topological entropy to pressure.

Now, in the countable alphabet context, we take a similar approach. First, we consider again a definition of pressure in terms of sets that are becoming more and more invariant. Next, we prove that the infimum rule still holds, and, finally, we prove that the pressure can be obtained as the supremum of the pressures associated with finite alphabet subsystems. A related result was obtained by Muir in [45] for the  $\mathbb{Z}^d$  group case, where the pressure was defined as a limit over a particular type of Følner sequence, namely, open boxes centred at the origin of radius  $n$ . The existence of this limit was proven through a subadditivity argument that exploits the property that large boxes can be partitioned into many equally sized ones, which might not be valid in more general groups. In order to generalize this idea of partitioning sets, we make use of tiling techniques introduced in [26], which, together to what is done in the finite alphabet case, allow us to prove the

infimum rule for infinite alphabets over a countable amenable group. This type of result was not considered in [45].

We begin by introducing some definitions. Given a potential  $\phi: X \rightarrow \mathbb{R}$  and  $F \in \mathcal{F}(G)$ , define the **partition function for  $\phi$  on  $F$**  as

$$Z_F(\phi) := \sum_{w \in X_F} \exp(\sup \phi_F([w])),$$

where  $\sup \phi_F([w]) = \sup\{\phi_F(x) : x \in [w]\}$ . We define the **pressure of  $\phi$** , which we denote by  $p(\phi)$ , as

$$p(\phi) := \lim_{F \rightarrow G} \frac{1}{|F|} \log Z_F(\phi),$$

whenever such limit as  $F$  becomes more and more invariant exists. In addition, given a finite subset  $A \in \mathcal{F}(\mathbb{N})$ , we define  $Z_F(A, \phi)$  as the partition function associated with the restriction of  $\phi$  to  $A^G$ . More precisely,

$$Z_F(A, \phi) := \sum_{w \in X_F \cap A^F} \exp \sup(\phi_F([w] \cap A^G)).$$

Similarly, we define  $p(A, \phi)$  as

$$p(A, \phi) := \lim_{F \rightarrow G} \frac{1}{|F|} \log Z_F(A, \phi),$$

whenever such limit exists.

### 3.1. Infimum rule for finite alphabet pressure

The main goal of this subsection is to prove the following theorem.

**Theorem 3.1.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a continuous potential. Then, for any finite alphabet  $A \subseteq \mathbb{N}$ ,  $p(A, \phi)$  exists and*

$$p(A, \phi) = \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi).$$

In order to prove this result, we require some definitions. A function  $\varphi: \mathcal{F}(G) \rightarrow \mathbb{R}$  is

- **$G$ -invariant** if  $\varphi(Fg) = \varphi(F)$  for every  $F \in \mathcal{F}(G)$  and  $g \in G$ ;
- **monotone** if  $\varphi(E) \leq \varphi(F)$  for every  $E, F \in \mathcal{F}(G)$ , such that  $E \subseteq F$ ; and
- **subadditive** if  $\varphi(E \cup F) \leq \varphi(E) + \varphi(F)$  for any  $E, F \in \mathcal{F}(G)$ .

A  **$k$ -cover  $\mathcal{K}$**  of a set  $F \in \mathcal{F}(G)$  is a family  $\{K_1, K_2, \dots, K_r\} \subseteq \mathcal{F}(G)$  (with possible repetitions), such that each element of  $F$  belongs to  $K_i$  for at least  $k$  indices  $i \in \{1, \dots, r\}$ . We say that  $\varphi$  satisfies **Shearer’s inequality** if for any  $F \in \mathcal{F}(G)$  and any  $k$ -cover  $\mathcal{K}$  of  $F$ , it holds that

$$\varphi(F) \leq \frac{1}{k} \sum_{K \in \mathcal{K}} \varphi(K).$$

Notice that Shearer’s inequality implies subadditivity. Considering this, we have the following key lemma.

**Lemma 3.2** [39, Section 4]. *Let  $\varphi: \mathcal{F}(G) \rightarrow \mathbb{R}$  be a nonnegative monotone  $G$ -invariant subadditive function. Then there exists  $\alpha \in [0, \infty)$ , such that*

$$\lim_{F \rightarrow G} \frac{\varphi(F)}{|F|} = \alpha.$$

Moreover, if  $\varphi$  satisfies Shearer’s inequality, then

$$\alpha = \inf_{E \in \mathcal{F}(G)} \frac{\varphi(E)}{|E|}.$$

In this last case, we say that  $\varphi$  satisfies the *infimum rule*.

Now, fix a finite alphabet  $A \in \mathcal{F}(\mathbb{N})$ . For a continuous potential  $\phi: X \rightarrow \mathbb{R}$ , we denote by  $\|\phi\|_A$  the supremum norm of  $\phi$  over the compact set  $X \cap A^G$ , that is,  $\|\phi\|_A = \sup_{x \in X \cap A^G} |\phi(x)|$ . Next, given a set  $E \subseteq G$ ,  $F \in \mathcal{F}(G)$ , and  $u_E \in X_E \cap A^E$ , we define

$$Z_F^{u_E} := \sum_{w_{F \setminus E} \in A^{F \setminus E}} \exp(\sup \phi_F([w_{F \setminus E} u_E])),$$

where the supremum is over  $x \in X \cap A^G$  and, if  $[v] = \emptyset$ , then  $\sup \phi([v]) = -\infty$  and  $\exp(-\infty) = 0$ . Notice that  $Z_F = Z_F^{u_\emptyset}$  for  $E = \emptyset$ .

Now, suppose that  $\phi|_{X \cap A^G}$  is nonnegative. Then, it is easy to check that for any  $E \subseteq G$  and  $u_E \in A^E$ , the function  $\tilde{\varphi}: \mathcal{F}(G) \rightarrow \mathbb{R}$  given by  $\tilde{\varphi}(F) = Z_F^{u_E}$  satisfies that

- i)  $\tilde{\varphi}(F) \geq 1$  for every  $F \in \mathcal{F}(G)$  and
- ii)  $\tilde{\varphi}$  is monotone, that is, if  $F_1 \subseteq F_2$ , then  $\tilde{\varphi}(F_1) \leq \tilde{\varphi}(F_2)$ .

Next, consider the function  $\varphi: \mathcal{F}(G) \rightarrow \mathbb{R}$  defined as  $\varphi(F) = \log Z_F$ . From the properties above and properties of the  $\log(\cdot)$  function, it follows that  $\varphi$  is nonnegative and monotone. Moreover,  $\varphi$  is  $G$ -invariant. The following lemma is a generalization of [25, Lemma 6.1] designed to address the pressure case instead of just the topological entropy and, in particular, it claims that  $\varphi$  satisfies Shearer’s inequality.

**Lemma 3.3.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential and  $A \in \mathcal{F}(\mathbb{N})$ , such that  $\phi|_{X \cap A^G}$  is nonnegative. Then, for every  $E \subseteq G$ ,  $u_E \in X_E \cap A^E$ ,  $F \in \mathcal{F}(G)$ , and any  $k$ -cover  $\mathcal{K}$  of  $F$ , it holds that*

$$Z_F^{u_E} \leq \prod_{K \in \mathcal{K}} (Z_K^{u_E})^{1/k}.$$

In particular,  $\varphi$  satisfies Shearer’s inequality.

**Proof.** Given a  $k$ -cover  $\mathcal{K}$  of  $F$ , notice that, since  $\phi|_{X \cap A^G}$  is nonnegative,

$$\phi_F(x) = \sum_{g \in F} \phi(g \cdot x) \leq \frac{1}{k} \sum_{K \in \mathcal{K}} \sum_{g \in K} \phi(g \cdot x) = \frac{1}{k} \sum_{K \in \mathcal{K}} \phi_K(x)$$

for any  $x \in X \cap A^G$ . We proceed by induction on the size of  $F \setminus E$ . First, suppose that  $|F \setminus E| = 0$ . Then,  $F \setminus E = \emptyset$  and

$$\begin{aligned} Z_F^{u_E} &= \exp(\sup \phi_F([u_E])) \\ &\leq \exp\left(\sup \frac{1}{k} \sum_{K \in \mathcal{K}} \phi_K([u_E])\right) \\ &\leq \exp\left(\sum_{K \in \mathcal{K}} \frac{1}{k} \sup \phi_K([u_E])\right) \\ &= \prod_{K \in \mathcal{K}} (\exp \sup \phi_K([u_E]))^{1/k} \\ &\leq \prod_{K \in \mathcal{K}} \left(\sum_{w_{K \setminus E}} \exp \sup \phi_K([w_{K \setminus E} u_E])\right)^{1/k} \\ &= \prod_{K \in \mathcal{K}} (Z_K^{u_E})^{1/k}. \end{aligned}$$

Now, suppose that  $Z_F^{u_E} \leq \prod_{K \in \mathcal{K}} (Z_K^{u_E})^{1/k}$  for every  $E \subseteq G, u_E \in X_E \cap A^E, F \in \mathcal{F}(G)$  with  $|F \setminus E| \leq n$ , and every  $k$ -cover  $\mathcal{K}$  of  $F$ . We will show that the same holds for  $E, F$  with  $|F \setminus E| = n + 1$ . Fix  $g \in F \setminus E$ , and notice that  $|F \setminus (E \cup \{g\})| = n$ . Then,

$$\begin{aligned} Z_F^{u_E} &= \sum_{a \in A} Z_F^{a^g u_E} \\ &\leq \sum_{a \in A} \prod_{K \in \mathcal{K}} (Z_K^{a^g u_E})^{1/k} \\ &= \sum_{a \in A} \prod_{K \in \mathcal{K}: g \notin K} (Z_K^{a^g u_E})^{1/k} \cdot \prod_{K \in \mathcal{K}: g \in K} (Z_K^{a^g u_E})^{1/k} \\ &\leq \prod_{K \in \mathcal{K}: g \notin K} (Z_K^{u_E})^{1/k} \sum_{a \in A} \prod_{K \in \mathcal{K}: g \in K} (Z_K^{a^g u_E})^{1/k} \\ &\leq \prod_{K \in \mathcal{K}: g \notin K} (Z_K^{u_E})^{1/k} \cdot \prod_{K \in \mathcal{K}: g \in K} \left(\sum_{a \in A} Z_K^{a^g u_E}\right)^{1/k} \\ &= \prod_{K \in \mathcal{K}: g \notin K} (Z_K^{u_E})^{1/k} \cdot \prod_{K \in \mathcal{K}: g \in K} (Z_K^{u_E})^{1/k} \\ &= \prod_{K \in \mathcal{K}} (Z_K^{u_E})^{1/k}. \end{aligned}$$

Notice that the first inequality follows from the induction hypothesis and the third inequality follows from the generalized Hölder inequality. Indeed, consider  $p \leq 1$ , such

that  $\sum_{K \in \mathcal{K}: g \in K} \frac{1}{k} = \frac{1}{p}$  and the functions  $f_K: A \rightarrow \mathbb{R}$  given by  $f_K(a) = \left(Z_K^{a^g u_E}\right)^{1/k}$ . By the generalized Hölder inequality,

$$\left\| \prod_{K \in \mathcal{K}: g \in K} f_K \right\|_p \leq \prod_{K \in \mathcal{K}: g \in K} \|f_K\|_k,$$

where

$$\begin{aligned} \prod_{K \in \mathcal{K}: g \in K} \|f_K\|_k &= \prod_{K \in \mathcal{K}: g \in K} \left( \sum_{a \in A} ((Z_K^{a^g u_E})^{1/k})^k \right)^{1/k} \\ &= \prod_{K \in \mathcal{K}: g \in K} \left( \sum_{a \in A} Z_K^{a^g u_E} \right)^{1/k} \\ &= \prod_{K \in \mathcal{K}: g \in K} (Z_K^{u_E})^{1/k} \end{aligned}$$

and, since  $\|\cdot\|_p$  is monotonically decreasing in  $p$  for any fixed  $|A|$ -dimensional vector,

$$\left\| \prod_{K \in \mathcal{K}: g \in K} f_K \right\|_p \geq \left\| \prod_{K \in \mathcal{K}: g \in K} f_K \right\|_1 = \sum_{a \in A} \prod_{K \in \mathcal{K}: g \in K} \left( Z_K^{a^g u_E} \right)^{1/k}.$$

Therefore,  $Z_F^{u_E} \leq \prod_{K \in \mathcal{K}} (Z_K^{u_E})^{1/k}$ . In particular, if  $E = \emptyset$ ,  $Z_F \leq \prod_{K \in \mathcal{K}} (Z_K)^{1/k}$ . □

**Proof (of Theorem 3.1).** As a consequence of Lemma 3.3, we have that if  $\phi|_{X \cap A^G}$  is nonnegative, then  $\varphi$  satisfies Shearer’s inequality. Thus, by the Ornstein-Weiss lemma,  $p(A, \phi)$  exists, and it satisfies the infimum rule, that is,

$$p(A, \phi) = \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi).$$

Finally, in order to deal with the general case, it suffices to apply the previous result to  $\phi + \|\phi\|$  and then observe that  $p(A, \phi + C) = p(A, \phi) + C$  for any constant  $C$ . □

**Remark 3.4.** Notice that the previous results (namely, Lemma 3.3 and Theorem 3.1) also hold for  $G$ -subshifts, this is to say, any closed and  $G$ -invariant subset  $X$  of  $\mathbb{N}^G$ .

### 3.2. Tilings

Pressure is one of the most important notions in thermodynamic formalism. One key technique to properly define pressure is subadditivity, which is based on our ability to partition a system in smaller and representative pieces. In the context of countable amenable groups, it appears to be necessary to generalize tools that are classically used in the  $\mathbb{Z}^d$  case (e.g. [45, 52]). In order to do this, we will begin by exploring the concept of (exact) tilings of amenable groups and the relatively recent techniques introduced in [26].

**Definition 3.1.** Given

1. a finite collection  $\mathcal{S}(\mathcal{T})$  of finite subsets of  $G$  containing the identity  $1_G$ , called **the shapes**, and
2. a finite collection  $\mathcal{C}(\mathcal{T}) = \{C(S) : S \in \mathcal{S}(\mathcal{T})\}$  of disjoint subsets of  $G$ , called **centre sets** (for the shapes),

the family  $\mathcal{T} = \{(S, c) : S \in \mathcal{S}(\mathcal{T}), c \in C(S)\}$  is called a **tiling** if the map  $(S, c) \mapsto Sc$  is injective and  $\{Sc\}_{S \in \mathcal{S}(\mathcal{T}), c \in C(S)}$  is a partition of  $G$ . In addition, by the **tiles** of  $\mathcal{T}$  (usually denoted by the letter  $T$ ), we will mean either the sets  $Sc$  or the pairs  $(S, c)$ , depending on the context.

We say that a sequence  $\{\mathcal{T}_n\}_n$  of tilings is **congruent** if, for each  $n \geq 1$ , every tile of  $\mathcal{T}_{n+1}$  is equal to a (disjoint) union of tiles of  $\mathcal{T}_n$ . The following theorem is the main result in [26], which gives sufficient conditions so that we can guarantee the existence of such sequence with extra invariance properties.

**Theorem 3.5** [26, Theorem 5.2]. *Let  $\{\epsilon_n\}_n$  be a sequence of positive real numbers converging to zero and  $\{K_n\}_n$  be a sequence of finite subsets of  $G$ . Then, there exists a congruent sequence  $\{\mathcal{T}_n\}_n$  of tilings of  $G$ , such that the shapes of  $\mathcal{T}_n$  are  $(K_n, \epsilon_n)$ -invariant.*

Given a tiling  $\mathcal{T}$ , we define  $S_{\mathcal{T}} = \bigcup_{S \in \mathcal{S}(\mathcal{T})} SS^{-1}$ . Notice that  $S_{\mathcal{T}}$  contains every shape  $S \in \mathcal{S}(\mathcal{T})$ ,  $S_{\mathcal{T}}^{-1} = S_{\mathcal{T}}$ , and  $1_G \in S_{\mathcal{T}}$ . Given a tiling, the next lemma provides a way to approximate any sufficiently invariant shape by a union of tiles.

**Lemma 3.6.** *Given  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , consider a tiling  $\mathcal{T}$  with  $(K, \delta)$ -invariant shapes. Then, for any  $\epsilon > 0$  and any  $(S_{\mathcal{T}}, \epsilon)$ -invariant set  $F \in \mathcal{F}(G)$ , there exist centre sets  $C_F(S) \subseteq C(S)$  for  $S \in \mathcal{S}(\mathcal{T})$ , such that*

$$F \supseteq \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \quad \text{and} \quad \left| F \setminus \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \right| \leq \epsilon |F|.$$

**Proof.** Consider a tiling  $\mathcal{T}$  made of  $(K, \delta)$ -invariant shapes and  $\epsilon > 0$ . Suppose that  $F$  is  $(S_{\mathcal{T}}, \epsilon)$ -invariant. Consider the sets  $C_F(S) = C(S) \cap \text{Int}_S(F)$  and  $\overline{C}_F(S) = C(S) \cap S^{-1}F$  for  $S \in \mathcal{S}(\mathcal{T})$ . Notice that, since  $\mathcal{T}$  induces a partition,  $|SC_F(S)| = |S||C_F(S)|$ ,  $|\overline{C}_F(S)| = |S||\overline{C}_F(S)|$ , and

$$\bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \subseteq F \subseteq \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} S\overline{C}_F(S).$$

Therefore,

$$\begin{aligned} F \setminus \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) &\subseteq \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} S\overline{C}_F(S) \setminus \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \\ &= \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} S(\overline{C}_F(S) \setminus C_F(S)) \subseteq \partial_{S_{\mathcal{T}}}(F). \end{aligned}$$

Indeed, to check the last inclusion, notice that if  $g \in \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} S(\overline{C}_F(S) \setminus C_F(S))$ , then  $g = sc$ , where  $s \in S$  and  $c \in \overline{C}_F(S) \setminus C_F(S)$  for some  $S \in \mathcal{S}(\mathcal{T})$ . Therefore, since  $c \in \overline{C}_F(S)$ ,

$$S_{\mathcal{T}}g \cap F \supseteq SS^{-1}sc \cap F \supseteq Sc \cap F \neq \emptyset.$$

Similarly, since  $c \notin C_F(S)$ ,

$$S_{\mathcal{T}}g \cap F^c \supseteq SS^{-1}sc \cap F^c \supseteq Sc \cap F^c \neq \emptyset,$$

so that  $g \in \partial_{S_{\mathcal{T}}}(F)$ . Then,

$$\left| F \setminus \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \right| \leq |\partial_{S_{\mathcal{T}}}(F)| \leq |S_{\mathcal{T}}F \Delta F| \leq \epsilon \cdot |F|,$$

where we have used that  $|\partial_K(F)| \leq |(K \cup K^{-1} \cup \{1_G\})F \Delta F|$  for any  $K \in \mathcal{F}(G)$  and that  $S_{\mathcal{T}}^{-1} = S_{\mathcal{T}}$  and  $1_G \in S_{\mathcal{T}}$ . □

### 3.3. Infimum rule for countable alphabet pressure

We say that  $\phi: X \rightarrow \mathbb{R}$  is **exp-summable** if  $Z_{1_G}(\phi) < \infty$ . Notice that  $Z_F(\phi)$  is submultiplicative, that is, if  $E, F \in \mathcal{F}(G)$  are disjoint, then  $Z_{E \cup F}(\phi) \leq Z_E(\phi) \cdot Z_F(\phi)$ . Also, notice that  $Z_F(\phi)$  is  $G$ -invariant, namely, for any  $g \in G$ ,  $Z_F(\phi) = Z_{Fg}(\phi)$ . Then, in particular,  $Z_F(\phi) \leq Z_{1_G}(\phi)^{|F|}$ , so  $\phi$  is exp-summable if, and only if,  $Z_F(\phi) < \infty$  for every  $F \in \mathcal{F}(G)$ . Finally, observe that if  $\phi$  is exp-summable, then it must be bounded from above.

Before stating the main result of this section, we begin by the next lemma, that guarantees that given a finite shape  $F$ , one can approximate the partition function on  $F$  using a finite alphabet.

**Lemma 3.7.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable and uniformly continuous potential. Then, for every  $\epsilon > 0$  and every  $F \in \mathcal{F}(G)$ , such that  $|F| \geq -\frac{1}{\epsilon} \log(1 - \epsilon)$ , there exists  $A_F \in \mathcal{F}(\mathbb{N})$ , such that*

$$Z_F(A_F, \phi) \geq (1 - \epsilon)Z_F(\phi).$$

**Proof.** Let  $\epsilon > 0$  and  $F \in \mathcal{F}(G)$  be such that  $|F| \geq -\frac{1}{\epsilon} \log(1 - \epsilon)$ . For every such  $F$ , there exists a finite set of words  $W_F \subseteq X_F$ , such that

$$\sum_{w \in W_F} \exp(\sup \phi_F) \geq Z_F(\phi) \sqrt{1 - \epsilon}.$$

On the other hand, since  $\phi$  is uniformly continuous, there must be an index  $m \geq 1$  for which

$$\delta_{E_m}(\phi) \leq \frac{1}{3|F|} \log \left( \frac{1}{\sqrt{1 - \epsilon}} \right).$$

For each  $w \in W_F$ , pick a word  $w' \in \mathbb{N}^{E_m F}$ , such that  $w'_F = w$  and

$$\sup \phi_F[w'] \geq \sup \phi_F[w] - \frac{1}{3} \log \left( \frac{1}{\sqrt{1 - \epsilon}} \right).$$



In addition, for each such  $w'$ , pick a configuration  $x_w \in [w']$ , such that

$$\phi_F(x_w) \geq \sup \phi_F[w'] - \frac{1}{3} \log \left( \frac{1}{\sqrt{1-\epsilon}} \right).$$

Define  $A_F$  to be  $\bigcup_{w \in W_F} w'(E_m F)$ , where  $w'(E_m F) = \bigcup_{g \in E_m F} \{w'(g)\}$ . It is direct that  $A_F$  is a finite subset of  $\mathbb{N}$ . Pick  $y \in [w'] \cap A_F^G$ , and notice that  $(g \cdot x_w)_{E_m} = (g \cdot y)_{E_m}$  for all  $g \in F$ . Then, for every  $w \in W_F$ ,

$$\begin{aligned} \sup \phi_F[[w'] \cap A_F^G] &\geq \phi_F(y) \\ &\geq \phi_F(x_w) - \sum_{g \in F} |\phi(g \cdot x_w) - \phi(g \cdot y)| \\ &\geq \phi_F(x_w) - |F| \delta_{E_m}(\phi) \\ &\geq \phi_F(x_w) - \frac{1}{3} \log \left( \frac{1}{\sqrt{1-\epsilon}} \right) \\ &\geq \sup \phi_F[w'] - \frac{2}{3} \log \left( \frac{1}{\sqrt{1-\epsilon}} \right) \\ &\geq \sup \phi_F[w] - \log \left( \frac{1}{\sqrt{1-\epsilon}} \right). \end{aligned}$$

Hence,

$$\begin{aligned} Z_F(A_F, \phi) &= \sum_{w \in A_F^G} \exp(\sup \phi_F[[w] \cap A_F^G]) \\ &\geq \sum_{w \in W_F} \exp(\sup \phi_F[[w] \cap A_F^G]) \\ &\geq \sum_{w \in W_F} \exp(\sup \phi_F[[w'] \cap A_F^G]) \\ &\geq \sum_{w \in W_F} \exp \left( \sup \phi_F[w] - \log \left( \frac{1}{\sqrt{1-\epsilon}} \right) \right) \\ &= \sqrt{1-\epsilon} \sum_{w \in W_F} \exp(\sup \phi_F[w]) \\ &\geq (1-\epsilon) Z_F(\phi). \end{aligned} \quad \square$$

The next proposition establishes a fundamental connection between the partition function for sufficiently invariant sets  $F \in \mathcal{F}(G)$  and the pressure for a sufficiently large finite alphabet  $A$ .

**Proposition 3.8.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable and uniformly continuous potential with finite oscillation. Then, for every  $\frac{1}{2} > \epsilon > 0$ , there exist  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ , it holds that*

$$\frac{1}{|F|} \log Z_F(\phi) \leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi) + \epsilon. \tag{3.1}$$

**Proof.** Fix  $1/2 > \epsilon > 0$  and an exhausting sequence  $\{E_m\}_m$  for  $G$ . Since  $\phi$  is uniformly continuous, we have that  $\lim_{F \rightarrow G} \frac{\Delta_F(\phi)}{|F|} = 0$ , by Lemma 2.4. Therefore, there exist  $K' \in \mathcal{F}(G)$  and  $\delta' > 0$ , such that  $\Delta_F(\phi) < \epsilon|F|$  for every finite  $(K', \delta')$ -invariant set  $F$ .

By Theorem 3.5, there exists a tiling  $\mathcal{T}'$ , such that its shapes are  $(K', \delta')$ -invariant. Without loss of generality, by possibly readjusting  $K'$  and  $\delta'$ , assume that  $|S'| \geq -\frac{1}{\epsilon} \log(1 - \epsilon)$  for every  $S' \in \mathcal{S}(\mathcal{T}')$ . Therefore, by Lemma 3.7, for every  $S' \in \mathcal{S}(\mathcal{T}')$ , there exists  $A_{S'} \in \mathbb{N}$ , such that  $Z_{S'}(A_{S'}, \phi) \geq (1 - \epsilon)Z_{S'}(\phi)$ . Define  $A$  to be  $\bigcup_{S' \in \mathcal{S}(\mathcal{T}')} A_{S'}$ . Then,  $A$  is a finite subset of  $\mathbb{N}$ . Moreover, since  $A_{S'} \subseteq A$ , for each  $S' \in \mathcal{S}(\mathcal{T}')$ , we have that

$$Z_{S'}(A, \phi) \geq (1 - \epsilon)Z_{S'}(\phi), \tag{3.2}$$

for every  $S' \in \mathcal{S}(\mathcal{T}')$ .

Now, by Theorem 3.1,  $p(A, \phi) = \lim_{F \rightarrow G} \frac{1}{|F|} \log Z_F(A, \phi)$  exists, so we can pick  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that  $K \supseteq K'$ ,  $\delta < \delta'$ , and

$$\log Z_F(A, \phi) \leq |F|(p(A, \phi) + \epsilon) \tag{3.3}$$

for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ .

Next, by Theorem 3.5, we can obtain a tiling  $\mathcal{T}$  of  $(K, \delta)$ -invariant sets, such that every tile in  $\mathcal{T}$  is a union of tiles in  $\mathcal{T}'$ , that is,  $S = \bigsqcup_{S' \in \mathcal{S}(\mathcal{T}')} \bigsqcup_{c' \in C_S(S')} S'c'$ . Furthermore, by Lemma 3.6, for every  $(S_{\mathcal{T}}, \epsilon)$ -invariant set  $F \in \mathcal{F}(G)$ , there exist centre sets  $C_F(S) \subseteq C(S) \in \mathcal{C}(\mathcal{T})$  for  $S \in \mathcal{S}(\mathcal{T})$ , such that

$$F \supseteq T_F \quad \text{and} \quad |F \setminus T_F| \leq \epsilon|F|,$$

where  $T_F = \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S)$ .

Furthermore, for every  $S \in \mathcal{S}(\mathcal{T})$ , we have that

$$\begin{aligned} Z_S(A, \phi) &= \sum_{w_S \in A^S} \exp(\sup \phi_S([w_S] \cap A^G)) \\ &\geq \sum_{w_S \in A^S} \exp(\inf \phi_S([w_S] \cap A^G)) \\ &\geq \prod_{S' \in \mathcal{S}(\mathcal{T}')} \prod_{c' \in C_S(S')} \sum_{w_{S'c'} \in A^{S'c'}} \exp(\inf \phi_{S'c'}([w_{S'c'}] \cap A^G)) \\ &\geq \prod_{S' \in \mathcal{S}(\mathcal{T}')} \prod_{c' \in C_S(S')} \sum_{w_{S'c'} \in A^{S'c'}} \exp(\sup \phi_{S'c'}([w_{S'c'}] \cap A^G) - \Delta_{S'c'}(\phi)) \\ &= \prod_{S' \in \mathcal{S}(\mathcal{T}')} \exp(-\Delta_{S'}(\phi)|C_S(S')|) \prod_{c' \in C_S(S')} Z_{S'c'}(A, \phi) \\ &= \prod_{S' \in \mathcal{S}(\mathcal{T}')} \exp(-\Delta_{S'}(\phi)|C_S(S')|) Z_{S'}(A, \phi)^{|C_S(S')|}, \end{aligned}$$

where we used that, for every  $g \in G$ ,  $Z_F(A, \phi) = Z_{Fg}(A, \phi)$  and that  $\Delta_F(\phi) = \Delta_{Fg}(\phi)$ . Thus,

$$\prod_{S' \in \mathcal{S}(\mathcal{T}')} Z_{S'}(A, \phi)^{|C_S(S')|} \leq Z_S(A, \phi) \cdot \prod_{S' \in \mathcal{S}(\mathcal{T}')} \exp(|C_S(S')|\Delta_{S'}(\phi)). \tag{3.4}$$

Now, given a  $(S_{\mathcal{T}}, \epsilon)$ -invariant set  $F \in \mathcal{F}(G)$ , we have that

$$\begin{aligned} Z_{T_F}(\phi) &\leq \prod_{S \in \mathcal{S}(\mathcal{T})} \prod_{c \in C_F(S)} Z_{S_c}(\phi) \\ &= \prod_{S \in \mathcal{S}(\mathcal{T})} Z_S(\phi)^{|C_F(S)|} \\ &\leq \prod_{S \in \mathcal{S}(\mathcal{T})} \left( \prod_{S' \in \mathcal{S}(\mathcal{T}')} \prod_{c' \in C_{S'}(S')} Z_{S'_c'}(\phi) \right)^{|C_F(S)|} \\ &= \prod_{S \in \mathcal{S}(\mathcal{T})} \prod_{S' \in \mathcal{S}(\mathcal{T}')} (Z_{S'}(\phi)^{|C_{S'}(S')|})^{|C_F(S)|}. \end{aligned}$$

Therefore, from equation (3.2), we obtain that

$$\begin{aligned} &\prod_{S \in \mathcal{S}(\mathcal{T})} \prod_{S' \in \mathcal{S}(\mathcal{T}')} (Z_{S'}(\phi)^{|C_{S'}(S')|})^{|C_F(S)|} \\ &\leq \prod_{S \in \mathcal{S}(\mathcal{T})} \prod_{S' \in \mathcal{S}(\mathcal{T}')} \left( \frac{1}{1-\epsilon} Z_{S'}(A, \phi) \right)^{|C_{S'}(S')||C_F(S)|} \\ &\leq \left( \frac{1}{1-\epsilon} \right)^{|T_F|} \prod_{S \in \mathcal{S}(\mathcal{T})} \left( Z_S(A, \phi) \exp \left( \sum_{S' \in \mathcal{S}(\mathcal{T}')} |C_{S'}(S')| \Delta_{S'}(\phi) \right) \right)^{|C_F(S)|} \\ &\leq \left( \frac{1}{1-\epsilon} \right)^{|F|} \prod_{S \in \mathcal{S}(\mathcal{T})} \exp \left( |S|(p(A, \phi) + \epsilon) + \sum_{S' \in \mathcal{S}(\mathcal{T}')} |C_{S'}(S')| \Delta_{S'}(\phi) \right)^{|C_F(S)|}, \end{aligned}$$

where the second inequality follows from equation (3.4) and the third from equation (3.3). Hence, if  $0 < \epsilon < \frac{1}{2}$ , we have that  $\log \left( \frac{1}{1-\epsilon} \right) \leq 2\epsilon$ , so

$$\begin{aligned} &\frac{1}{|F|} \log Z_{T_F}(\phi) \\ &\leq \log \left( \frac{1}{1-\epsilon} \right) + \frac{1}{|F|} \sum_{S \in \mathcal{S}(\mathcal{T})} |C_F(S)| \left( |S|(p(A, \phi) + \epsilon) + \sum_{S' \in \mathcal{S}(\mathcal{T}')} |C_{S'}(S')| \Delta_{S'}(\phi) \right) \\ &= \log \left( \frac{1}{1-\epsilon} \right) + \frac{|T_F|}{|F|} (p(A, \phi) + \epsilon) + \sum_{S \in \mathcal{S}(\mathcal{T})} \sum_{S' \in \mathcal{S}(\mathcal{T}')} \frac{|C_F(S)||C_{S'}(S')||S'|}{|F|} \frac{\Delta_{S'}(\phi)}{|S'|} \\ &\leq 2\epsilon + (p(A, \phi) + \epsilon) + \sum_{S \in \mathcal{S}(\mathcal{T})} \sum_{S' \in \mathcal{S}(\mathcal{T}')} \frac{|C_F(S)||C_{S'}(S')||S'|}{|F|} \epsilon \\ &= p(A, \phi) + 3\epsilon + \frac{|T_F|}{|F|} \epsilon \\ &\leq p(A, \phi) + 4\epsilon. \end{aligned}$$

In addition,

$$Z_F(\phi) \leq Z_{T_F}(\phi)Z_{F \setminus T_F}(\phi) \leq Z_{T_F}(\phi)Z_{1_G}(\phi)^{|F \setminus T_F|} \leq Z_{T_F}(\phi)Z_{1_G}(\phi)^{\epsilon|F|},$$

so, considering that  $p(A, \phi) = \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi)$  by Theorem 3.1, we have that

$$\frac{1}{|F|} \log Z_F(\phi) \leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi) + 4\epsilon + \epsilon \cdot \log Z_{1_G}(\phi).$$

We conclude that, for every  $0 < \epsilon < \frac{1}{2}$ , there exist  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ ,

$$\frac{1}{|F|} \log Z_F(\phi) \leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi) + \epsilon \cdot C,$$

where  $C = 4 + \log Z_{1_G}(\phi)$ . Since  $\epsilon$  was arbitrary, we conclude the result. □

Now we can prove the following generalization of Theorem 3.1.

**Theorem 3.9.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable and uniformly continuous potential with finite oscillation. Then,  $p(\phi)$  exists and  $p(\phi) = \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(\phi)$ . Moreover,  $p(\phi) = \sup_{A \in \mathcal{F}(\mathbb{N})} p(A, \phi)$ .*

**Proof.** By Proposition 3.8, for every  $\frac{1}{2} > \epsilon > 0$ , there exist  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ ,

$$\frac{1}{|F|} \log Z_F(\phi) \leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi) + \epsilon.$$

Therefore, for every such  $F$ ,

$$\begin{aligned} \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(\phi) &\leq \frac{1}{|F|} \log Z_F(\phi) \\ &\leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(A, \phi) + \epsilon \\ &\leq \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(\phi) + \epsilon. \end{aligned}$$

Thus,  $\lim_{F \rightarrow G} \frac{1}{|F|} \log Z_F(\phi) = \inf_{E \in \mathcal{F}(G)} \frac{1}{|E|} \log Z_E(\phi)$ ,  $p(\phi)$  exists, and there exists  $A \in \mathcal{F}(\mathbb{N})$ , such that

$$p(\phi) \leq p(A, \phi) + \epsilon \leq p(\phi) + \epsilon,$$

so  $p(\phi) = \sup_{A \in \mathcal{F}(\mathbb{N})} p(A, \phi)$ . □

#### 4. Permutations and specifications

In order to define conformal and DLR measures, it will be crucial to introduce coordinate-wise permutations and specifications. We begin by describing and exploring some properties of coordinate-wise permutations.

### 4.1. Coordinate-wise permutations

Let  $S_{\mathbb{N}}$  be the set of all permutations of  $\mathbb{N}$ . Following [38, 45], we now introduce a class of local maps on  $X$ . Given an exhausting sequence  $\{E_m\}_m$ , this class will allow us to understand how  $\phi_{E_m}(x)$  behaves if  $x$  is changed at finitely many sites, and it will be central when defining conformal measures in §5.

**Definition 4.1.** Given  $K \in \mathcal{F}(G)$ , denote by  $\mathcal{E}_K$  the set of all maps  $\tau: X \rightarrow X$ , such that

$$\tau(x)_g = \begin{cases} \tau_g(x_g), & \text{if } g \in K; \\ x_g, & \text{if } g \notin K; \end{cases}$$

where  $\tau_g \in S_{\mathbb{N}}$ . We usually denote  $\tau$  by  $\tau_K$  to emphasize the set  $K$ .

Let  $\mathcal{E} = \bigcup_{K \in \mathcal{F}(G)} \mathcal{E}_K$ , and notice that there is a natural action of  $G$  on  $\mathcal{E}$  given by

$$(g \cdot \tau_K)(x) = g \cdot \tau_K(g^{-1} \cdot x),$$

where  $g \in G$ ,  $x \in X$ ,  $K \in \mathcal{F}(G)$ ,  $\tau_K \in \mathcal{E}_K$ , and  $g \cdot \tau_K \in \mathcal{E}_{Kg^{-1}}$ . In order to avoid ambiguity, we will denote  $g \cdot \tau_K$  by  $\tau_{Kg^{-1}}$  and that will be enough for our purposes.

We can also restrict ourselves to permutations over a finite alphabet. More explicitly, for  $A \in \mathcal{F}(\mathbb{N})$  and  $K \in \mathcal{F}(G)$ , define

$$\mathcal{E}_{K,A} = \{\tau \in \mathcal{E}_K : \forall h \in K, \tau_h|_{A^c} = \text{Id}_{\mathbb{N}|_{A^c}}\}.$$

Notice that  $\mathcal{E}$  is a group with the composition generated by single-site permutations  $\tau_g$ , where  $\mathcal{E}_K$  and  $\mathcal{E}_{K,A}$  are subgroups. Moreover, observe that if  $g \neq h$ , then  $\tau_g \tau_h = \tau_h \tau_g$ . We will also consider particular types of permutations, which are defined below.

**Definition 4.2.** Given  $K \in \mathcal{F}(G)$  and  $w, w' \in X_K$ , let  $\tau_{w,w'}: X \rightarrow X$  be the map defined as

$$\tau_{w,w'}(x) = \begin{cases} wx_{K^c}, & \text{if } x_K = w'; \\ w'x_{K^c}, & \text{if } x_K = w; \\ x, & \text{otherwise.} \end{cases}$$

It is clear that  $\tau_{w,w'} \in \mathcal{E}_K$ ,  $\tau_{w,w'} = \tau_{w',w}$  and that  $\tau_{w,w'}$  is an involution, that is, it is its own inverse. Moreover, there exists  $A \in \mathcal{F}(\mathbb{N})$ , namely,  $A = w(K) \cup w'(K)$ , such that  $\tau_{w,w'} \in \mathcal{E}_{K,A}$ . For  $\tau \in \mathcal{E}$  and  $F \in \mathcal{F}(G)$ , define  $\phi_F^\tau: X \rightarrow \mathbb{R}$  as

$$\phi_F^\tau(x) = \phi_F \circ \tau^{-1}(x) - \phi_F(x). \tag{4.1}$$

Notice that, for  $\tau \in \mathcal{E}_K$ ,

$$\begin{aligned} \phi_F^\tau(x) &= \sum_{g \in F} \phi(g \cdot \tau_K^{-1}(x)) - \phi(g \cdot x) \\ &= \sum_{g \in F} \phi(g \cdot \tau_K^{-1}(g^{-1} \cdot (g \cdot x))) - \phi(g \cdot x) \\ &= \sum_{g \in F} \phi(\tau_{Kg^{-1}}^{-1}(g \cdot x)) - \phi(g \cdot x). \end{aligned}$$

**Lemma 4.1.** *Let  $K \in \mathcal{F}(G)$  and  $\phi: X \rightarrow \mathbb{R}$  be a potential. Then, for every  $\tau_K \in \mathcal{E}_K$  and every  $E, F \in \mathcal{F}(G)$  with  $F \subseteq E$ ,*

$$\|\phi_E^{\tau_K} - \phi_F^{\tau_K}\|_\infty \leq \sum_{g \in G \setminus F} \left\| \phi \circ \tau_{Kg^{-1}}^{-1} - \phi \right\|_\infty.$$

**Proof.** Let  $K, E, F \in \mathcal{F}(G)$  and  $\tau_K \in \mathcal{E}_K$  be as in the statement of the lemma. Then, it is easy to verify that, for any  $x \in X$ ,  $(\phi_E^{\tau_K} - \phi_F^{\tau_K})(x) = \sum_{g \in E \setminus F} \left[ \phi \left( \tau_{Kg^{-1}}^{-1}(g \cdot x) \right) - \phi(g \cdot x) \right]$ . Thus,

$$\begin{aligned} \|\phi_E^{\tau_K} - \phi_F^{\tau_K}\|_\infty &= \sup_{x \in X} |\phi_E^{\tau_K}(x) - \phi_F^{\tau_K}(x)| \\ &= \sup_{x \in X} \left| \sum_{g \in E \setminus F} \left[ \phi \left( \tau_{Kg^{-1}}^{-1}(g \cdot x) \right) - \phi(g \cdot x) \right] \right| \\ &\leq \sup_{x \in X} \sum_{g \in E \setminus F} \left| \phi \left( \tau_{Kg^{-1}}^{-1}(g \cdot x) \right) - \phi(g \cdot x) \right| \\ &\leq \sum_{g \in E \setminus F} \sup_{x \in X} \left| \phi \left( \tau_{Kg^{-1}}^{-1}(g \cdot x) \right) - \phi(g \cdot x) \right| \\ &= \sum_{g \in E \setminus F} \left\| \phi \circ \tau_{Kg^{-1}}^{-1} - \phi \right\|_\infty \\ &\leq \sum_{g \in G \setminus F} \left\| \phi \circ \tau_{Kg^{-1}}^{-1} - \phi \right\|_\infty. \quad \square \end{aligned}$$

Given a potential  $\phi: X \rightarrow \mathbb{R}$  with summable variation according to an exhausting sequence  $\{E_m\}_m$ , the next theorem tells us that the asymptotic behaviour of  $\phi_{E_m}(x)$  is essentially independent of the value of the configuration  $x$  at finite sets  $K \in \mathcal{F}(G)$ . The reader can compare the next result with [38, Lemma 5.1.6].

**Theorem 4.2.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, given any (possibly different) exhausting sequence  $\{\tilde{E}_m\}_m$ , for all  $K \in \mathcal{F}(G)$  and for all  $\tau_K \in \mathcal{E}_K$ , the limit*

$$\phi_*^{\tau_K} := \lim_{m \rightarrow \infty} \phi_{\tilde{E}_m}^{\tau_K}$$

*exists uniformly on  $X$  and on  $\mathcal{E}_K$ . Moreover, such limit does not depend on the exhausting sequence.*

**Proof.** First, suppose that  $K$  is a singleton  $\{h\}$  for some  $h \in G$ , and let  $\epsilon > 0$ . Since  $\phi$  has summable variation according to  $\{E_m\}_m$ , there exists  $m_0 \in \mathbb{N}$ , such that  $\sum_{m \geq m_0} |E_{m+1}^{-1} \setminus E_m^{-1}| \delta_{E_m}(\phi) < \epsilon$ . Now, consider  $\{\tilde{E}_m\}_m$  another (possibly different) exhausting sequence. Then, there exists  $m_1 \geq m_0$ , such that  $E_{m_0}^{-1}h \subseteq \tilde{E}_m$ , for all  $m \geq m_1$ . On the other hand,

since  $\{E_m\}_m$  is an exhausting sequence, for every  $m \geq m_1$ , there exists  $k_m \in \mathbb{N}$ , such that for all  $k \geq k_m$ ,  $\tilde{E}_m \subseteq E_k$ . Therefore, by Lemma 4.1, for every  $m \geq m_1$  and every  $k \geq k_m$ ,

$$\left\| \phi_{E_k}^{\tau_h} - \phi_{\tilde{E}_m}^{\tau_h} \right\|_{\infty} \leq \sum_{g \in G \setminus \tilde{E}_m} \left\| \phi \circ \tau_{hg^{-1}}^{-1} - \phi \right\|_{\infty}.$$

Moreover, since  $E_{m_0}^{-1}h \subseteq \tilde{E}_m$ , we obtain that  $G \setminus \tilde{E}_m \subseteq G \setminus E_{m_0}^{-1}h$ , so that

$$\begin{aligned} \sum_{g \in G \setminus \tilde{E}_m} \left\| \phi \circ \tau_{hg^{-1}}^{-1} - \phi \right\|_{\infty} &\leq \sum_{g \in G \setminus E_{m_0}^{-1}h} \left\| \phi \circ \tau_{hg^{-1}}^{-1} - \phi \right\|_{\infty} \\ &= \sum_{g \in G \setminus E_{m_0}^{-1}} \left\| \phi \circ \tau_{g^{-1}}^{-1} - \phi \right\|_{\infty} \\ &= \sum_{m \geq m_0} \sum_{g \in E_{m+1}^{-1} \setminus E_m^{-1}} \left\| \phi \circ \tau_{g^{-1}}^{-1} - \phi \right\|_{\infty} \\ &\leq \sum_{m \geq m_0} \sum_{g \in E_{m+1}^{-1} \setminus E_m^{-1}} \delta_{E_m}(\phi) \\ &= \sum_{m \geq m_0} |E_{m+1}^{-1} \setminus E_m^{-1}| \delta_{E_m}(\phi) < \epsilon. \end{aligned}$$

Therefore, for every  $\epsilon > 0$ , there exists  $m_1 \geq m_0$ , such that for every  $m \geq m_1$ , there exists  $k_m$ , such that for every  $k \geq k_m$ ,

$$\left\| \phi_{E_k}^{\tau_h} - \phi_{\tilde{E}_m}^{\tau_h} \right\|_{\infty} < \epsilon.$$

Notice that, in the particular case that  $\{\tilde{E}_m\}_m$  is the same as  $\{E_m\}_m$ , one just needs to take  $k_m = m$  and the same inequality would follow. This proves that  $\{\phi_{\tilde{E}_m}^{\tau_h}\}_m$  is a Cauchy sequence for any  $\tau_h \in \mathcal{E}_{\{h\}}$ , which implies that the uniform limit  $\phi_*^{\tau_h} = \lim_{m \rightarrow \infty} \phi_{\tilde{E}_m}^{\tau_h}$  exists. On the other hand, if  $\{\tilde{E}_m\}_m$  is another exhausting sequence, this proves that  $\phi_*^{\tau_h} = \lim_{m \rightarrow \infty} \phi_{\tilde{E}_m}^{\tau_h}$ , that is, the limit is independent of the exhausting sequence, provided  $\phi$  has summable variation according to some exhausting sequence.

Now, let's consider a general  $K \in \mathcal{F}(G)$  and write  $K = \{h_1, \dots, h_{|K|}\}$ . Then, for each  $m \in \mathbb{N}$ ,

$$\begin{aligned} \phi_{E_m} \circ \tau_K^{-1} - \phi_{E_m} &= \sum_{i=0}^{|K|-1} \left( \phi_{E_m} \circ \tau_{\{h_1, \dots, h_{i+1}\}}^{-1} - \phi_{E_m} \circ \tau_{\{h_1, \dots, h_i\}}^{-1} \right) \\ &= \sum_{i=0}^{|K|-1} \phi_{E_m}^{\tau_{h_{i+1}}} \circ \tau_{\{h_1, \dots, h_i\}}^{-1}, \end{aligned}$$

where we regard  $\tau_{\emptyset}$  as the identity, so the first equality follows from the fact that the considered sum is telescopic. Therefore, by considering the uniform convergence for singletons,

$$\begin{aligned} \lim_{m \rightarrow \infty} \phi_{E_m}^{\tau_K} &= \lim_{m \rightarrow \infty} \sum_{i=0}^{|K|-1} \phi_{E_m}^{\tau_{h_{i+1}}} \circ \tau_{\{h_1, \dots, h_i\}}^{-1} \\ &= \sum_{i=0}^{|K|-1} \lim_{m \rightarrow \infty} \phi_{E_m}^{\tau_{h_{i+1}}} \circ \tau_{\{h_1, \dots, h_i\}}^{-1} \\ &= \sum_{i=0}^{|K|-1} \phi_*^{\tau_{h_{i+1}}} \circ \tau_{\{h_1, \dots, h_i\}}^{-1}, \end{aligned}$$

which concludes the result. □

**Corollary 4.3.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for all  $K \in \mathcal{F}(G)$  and for all  $\tau_K \in \mathcal{E}_K$ ,*

$$\phi_*^{\tau_K}(g \cdot x) = \phi_*^{\tau_{Kg}}(x),$$

for all  $g \in G$  and  $x \in X$ .

**Proof.** Notice that, given  $g \in G$  and  $x \in X$ , we have that  $\tau_K^{-1}(g \cdot x) = g \cdot \tau_{Kg}^{-1}(x)$ , so that

$$\phi_*^{\tau_K}(g \cdot x) = \lim_{m \rightarrow \infty} \phi_{E_m}^{\tau_K}(g \cdot x) = \lim_{m \rightarrow \infty} \phi_{E_m g}^{\tau_{Kg}}(x) = \phi_*^{\tau_{Kg}}(x),$$

since  $\{E_m g\}_m$  is also an exhausting sequence. □

**Proposition 4.4.** *Let  $\phi: X \rightarrow \mathbb{R}$  be a potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for every  $F \in \mathcal{F}(G)$  and  $\tau$  in  $\mathcal{E}_F$ ,*

$$\|\phi_*^\tau - \phi_F^\tau\|_\infty \leq V_F(\phi).$$

**Proof.** Let  $F \in \mathcal{F}(G)$ . From Lemma 4.1, we know that

$$\|\phi_{E_m}^{\tau_F} - \phi_F^{\tau_F}\|_\infty \leq \sum_{g \in G \setminus F} \left\| \phi \circ \tau_{Fg^{-1}}^{-1} - \phi \right\|_\infty,$$

for every  $m \in \mathbb{N}$ , such that  $F \subseteq E_m$ . Therefore, by Theorem 4.2,

$$\|\phi_*^{\tau_F} - \phi_F^{\tau_F}\|_\infty = \lim_{m \rightarrow \infty} \|\phi_{E_m}^{\tau_F} - \phi_F^{\tau_F}\|_\infty \leq \sum_{g \in G \setminus F} \left\| \phi \circ \tau_{Fg^{-1}}^{-1} - \phi \right\|_\infty.$$

Now, given  $m \in \mathbb{N}$ , notice that  $g \in (E_m^{-1}F)^c \iff Fg^{-1} \cap E_m = \emptyset$ , so that  $\left\| \phi \circ \tau_{Fg^{-1}}^{-1} - \phi \right\|_\infty \leq \delta_{E_m}(\phi)$ . Considering this, we have that

$$\begin{aligned} \sum_{g \in G \setminus F} \left\| \phi \circ \tau_{Fg^{-1}}^{-1} - \phi \right\|_\infty &= \sum_{m=1}^\infty \sum_{g \in E_{m+1}^{-1}F \setminus E_m^{-1}F} \left\| \phi \circ \tau_{Fg^{-1}}^{-1} - \phi \right\|_\infty \\ &\leq \sum_{m=1}^\infty |E_{m+1}^{-1}F \setminus E_m^{-1}F| \cdot \delta_{E_m}(\phi) \\ &= V_F(\phi). \end{aligned}$$

□



### 4.2. Specifications

This section tackles results about specifications, a concept related to DLR measures. More precisely, DLR measures can be defined using a special kind of specification, but here, we begin by presenting some more general results.

Let  $\mathcal{B}$  be the Borel  $\sigma$ -algebra, that is, the  $\sigma$ -algebra generated by the cylinder sets, and, for each  $K \in \mathcal{F}(G)$ , let  $\mathcal{B}_K$  be the  $\sigma$ -algebra generated by cylinder sets  $[w]$ , with  $w \in X_K$ . Now, a **specification** in our context, will mean a family  $\gamma = (\gamma_K)_{K \in \mathcal{F}(G)}$  of maps  $\gamma_K : \mathcal{B} \times X \rightarrow [0, 1]$ , such that

- i) for each  $x \in X$ , the map  $B \mapsto \gamma_K(B, x)$  is a probability measure on  $\mathcal{M}(X)$ ;
- ii) for each  $B \in \mathcal{B}$ , the map  $x \mapsto \gamma_K(B, x)$  is  $\mathcal{B}_{K^c}$ -measurable;
- iii) (proper) for every  $B \in \mathcal{B}$  and  $C \in \mathcal{B}_{K^c}$ ,  $\gamma_K(B \cap C, \cdot) = \gamma_K(B, \cdot) \mathbb{1}_C$ ; and
- iv) if  $F \subseteq K$ , then  $\gamma_K \gamma_F = \gamma_K$ , where  $\gamma_K \gamma_F(B, x) = \int \gamma_K(dy, x) \gamma_F(B, y)$ , for  $B \in \mathcal{B}$  and  $x \in X$ .

In other words,  $\gamma$  is a particular family of proper probability kernels that satisfies consistency condition (iv). An element  $\gamma_K$  in the specification maps each  $\mu \in \mathcal{M}(X)$  to  $\mu \gamma_K \in \mathcal{M}(X)$ , where

$$\mu \gamma_K(B) = \int \gamma_K(B, x) d\mu(x),$$

and each  $\mathcal{B}$ -measurable function  $h : X \rightarrow \mathbb{R}$  to a  $\mathcal{B}_{K^c}$ -measurable function  $\gamma_K h : X \rightarrow \mathbb{R}$  given by

$$\gamma_K h(y) = \int h(y) \gamma_K(dy, x) d\mu(x).$$

It can be checked that  $(\mu \gamma_K)(h) = \mu(\gamma_K h)$ . The probability measures on the set

$$\mathcal{G}(\gamma) = \{ \mu \in \mathcal{M}(X) : \mu(B | \mathcal{B}_{K^c}) = \gamma_K(B, \cdot) \text{ } \mu\text{-a.s. (almost surely), for all } B \in \mathcal{B} \text{ and } K \in \mathcal{F}(G) \}$$

are said to be **admitted** by the specification  $\gamma$ .

**Lemma 4.5** [30, Remark 1.24]. *Let  $\gamma$  be a specification and  $\mu \in \mathcal{M}(X)$ . Then,  $\mu \in \mathcal{G}(\gamma)$  if, and only if,  $\mu \gamma_K = \mu$ , for all  $K \in \mathcal{F}(G)$ .*

Now, we restrict ourselves to a particular kind of specification. Namely, given an exhausting sequence of finite sets  $\{E_m\}_m$  and  $\phi : X \rightarrow \mathbb{R}$  an exp-summable potential with summable variation according to  $\{E_m\}_m$ , consider  $\gamma = (\gamma_K)_{K \in \mathcal{F}(G)}$  the specification coming from  $\phi$ , where each  $\gamma_K : \mathcal{B} \times X \rightarrow [0, 1]$  is given by

$$\gamma_K(B, x) := \lim_{m \rightarrow \infty} \frac{\sum_{w \in X_K} \exp(\phi_{E_m}(wx_{K^c})) \mathbb{1}_{\{wx_{K^c} \in B\}}}{\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c}))}, \tag{4.2}$$

for each  $B \in \mathcal{B}$  and  $x \in X$ . The collection  $\gamma$  is a (*Gibbsian*) *specification*. The expression in equation (4.2) is well-defined due to the following proposition.

**Proposition 4.6.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $K \in \mathcal{F}(G)$ , the limit*

$$\gamma_K([w], x) = \lim_{m \rightarrow \infty} \frac{\exp(\phi_{E_m}(wx_{K^c}))}{\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c}))}$$

*exists for each  $w \in X_K$ , uniformly on  $X$ . Furthermore, for every  $B \in \mathcal{B}$  and every  $x \in X$ , it holds that*

$$\gamma_K(B, x) = \sum_{w \in X_K} \gamma_K([w], x) \mathbb{1}_{\{wx_{K^c} \in B\}}. \tag{4.3}$$

In order to prove Proposition 4.6, we require two lemmas, which we state and prove next.

**Lemma 4.7.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for any  $K \in \mathcal{F}(G)$  and for any  $m \in \mathbb{N}$ , such that  $K \subseteq E_m$ ,*

$$|\phi_{E_m}^{\tau_{w,v}}(wx_{K^c}) - (\sup \phi_K[v] - \sup \phi_K[w])| \leq \Delta_K(\phi) + V_K(\phi)$$

*for every  $v, w \in X_K$  and  $x \in X$ .*

**Proof.** Let  $K \in \mathcal{F}(G)$  and  $x, y \in X$  be such that  $x_{G \setminus K} = y_{G \setminus K}$ . Notice that for any  $g \in G$ ,  $(g \cdot x)_{G \setminus Kg^{-1}} = (g \cdot y)_{G \setminus Kg^{-1}}$ . In addition, given  $m \in \mathbb{N}$ , we have that  $g \in (E_m^{-1}K)^c \iff Kg^{-1} \cap E_m = \emptyset$ . In particular, if  $g \in (E_m^{-1}K)^c$ , we have that  $|\phi(g \cdot x) - \phi(g \cdot y)| \leq \delta_{E_m}(\phi)$ . Considering this, we obtain that

$$\begin{aligned} \sum_{g \in G \setminus K} |\phi(g \cdot x) - \phi(g \cdot y)| &= \sum_{m=1}^{\infty} \sum_{g \in E_{m+1}^{-1}K \setminus E_m^{-1}K} |\phi(g \cdot x) - \phi(g \cdot y)| \\ &\leq \sum_{m=1}^{\infty} \sum_{g \in E_{m+1}^{-1}K \setminus E_m^{-1}K} \delta_{E_m}(\phi) \\ &= \sum_{m=1}^{\infty} |E_{m+1}^{-1}K \setminus E_m^{-1}K| \cdot \delta_{E_m}(\phi) \\ &= V_K(\phi). \end{aligned}$$

Now, let  $m_0 \in \mathbb{N}$  be the smallest index, such that  $K \subseteq E_{m_0}$ . Then, for every  $m \geq m_0$ , every  $x \in X$ , and every  $v, w \in X_K$ , we have that

$$\begin{aligned} \phi_{E_m}^{\tau_{w,v}}(wx_{K^c}) &= \phi_{E_m}(vx_{K^c}) - \phi_{E_m}(wx_{K^c}) \\ &\leq \phi_K(vx_{K^c}) - \phi_K(wx_{K^c}) + \sum_{g \in G \setminus K} |\phi(g \cdot (vx_{K^c})) - \phi(g \cdot (wx_{K^c}))| \\ &\leq \phi_K(vx_{K^c}) - \phi_K(wx_{K^c}) + V_K(\phi) \\ &\leq \sup \phi_K[v] - \sup \phi_K[w] + \Delta_K(\phi) + V_K(\phi), \end{aligned}$$

and, similarly,

$$\phi_{E_m}^{\tau_{w,v}}(wx_{K^c}) \geq \sup \phi_K[v] - \sup \phi_K[w] - \Delta_K(\phi) - V_K(\phi),$$

so we conclude that

$$|\phi_{E_m}^{\tau_{w,v}}(wx_{K^c}) - (\sup \phi_K[v] - \sup \phi_K[w])| \leq \Delta_K(\phi) + V_K(\phi). \quad \square$$

**Lemma 4.8.** *Let  $\phi : X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for any  $K \in \mathcal{F}(G)$  and  $w \in X_K$ ,*

$$0 < \sum_{v \in X_K} \exp(\phi_*^{\tau_{w,v}}(wx_{K^c})) = \lim_{m \rightarrow \infty} \sum_{v \in X_K} \exp(\phi_{E_m}^{\tau_{w,v}}(wx_{K^c})),$$

uniformly on  $X$ .

**Proof.** Given  $K \in \mathcal{F}(G)$ ,  $w \in X_K$ , and  $x \in X$ , consider the sequence of functions  $f_m : X_K \rightarrow \mathbb{R}$  given by  $f_m(v) := \exp(\phi_{E_m}^{\tau_{w,v}}(wx_{K^c}))$ . By Theorem 4.2, we have that  $\{f_m\}_m$  converges pointwise (in  $v$ ) to  $\exp(\phi_*^{\tau_{w,v}}(wx_{K^c}))$ , uniformly on  $X$ . In addition, by Lemma 4.7, there exist  $m_0 \in \mathbb{N}$  and a constant  $C = \exp(\Delta_K(\phi) + V_K(\phi)) > 0$ , such that for every  $m \geq m_0$  and for every  $v \in X_K$ ,

$$C^{-1} \cdot h(v) \leq f_m(v) \leq C \cdot h(v),$$

where  $h(v) := \exp(-\sup \phi_K[w]) \cdot \exp(\sup \phi_K[v])$ . Notice that

$$\sum_{v \in X_K} h(v) = \exp(-\sup \phi_K[w]) \cdot Z_K(\phi),$$

so  $h$  (and, therefore,  $C \cdot h$ ) is integrable with respect to the counting measure in  $X_K$ . Therefore, by the Dominated Convergence Theorem, it follows that

$$\begin{aligned} \sum_{v \in X_K} \exp(\phi_*^{\tau_{w,v}}(wx_{K^c})) &= \sum_{v \in X_K} \lim_m \exp(\phi_{E_m}^{\tau_{w,v}}(wx_{K^c})) \\ &= \lim_m \sum_{v \in X_K} \exp(\phi_{E_m}^{\tau_{w,v}}(wx_{K^c})) \\ &\geq \lim_m \sum_{v \in X_K} C^{-1} \cdot h(v) \\ &= C^{-1} \cdot \exp(-\sup \phi_K[w]) \cdot Z_K(\phi) > 0. \quad \square \end{aligned}$$

**Proof (of Proposition 4.6).** First, note that for any given  $K \in \mathcal{F}(G)$ ,

$$\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c})) > 0,$$

for all  $m \in \mathbb{N}$  and, due to Lemma 4.8, the left-hand side is bounded away from zero uniformly in  $m$ . Furthermore, for each  $w \in X_K$ ,

$$\begin{aligned} \frac{\exp(\phi_{E_m}(wx_{K^c}))}{\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c}))} &= \frac{1}{\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c}) - \phi_{E_m}(wx_{K^c}))} \\ &= \frac{1}{\sum_{v \in X_K} \exp(\phi_{E_m}^{\tau_{w,v}}(vx_{K^c}))}. \end{aligned}$$

Therefore, uniformly on  $X$ ,

$$\begin{aligned} \lim_{m \rightarrow \infty} \frac{\exp(\phi_{E_m}(wx_{K^c}))}{\sum_{v \in X_K} \exp(\phi_{E_m}(vx_{K^c}))} &= \frac{1}{\lim_{m \rightarrow \infty} \sum_{v \in X_K} \exp(\phi_{E_m}^{\tau_{w,v}}(vx_{K^c}))} \\ &= \frac{1}{\sum_{v \in X_K} \exp(\phi_*^{\tau_{w,v}}(vx_{K^c}))}, \end{aligned}$$

again, due to Lemma 4.8. Now, let  $B \in \mathcal{B}$  and  $x \in X$ . Then, uniformly on  $X$ ,

$$\begin{aligned} &\sum_{w \in X_K} \gamma_K([w], x) \mathbb{1}_{\{wx_{K^c} \in B\}} \\ &= \sum_{w \in X_K} \lim_{m \rightarrow \infty} \frac{\exp(\phi_{E_m}(wx_{K^c})) \mathbb{1}_{\{wx_{K^c} \in [w]\}} \mathbb{1}_{\{wx_{K^c} \in B\}}}{\sum_{v' \in X_K} \exp(\phi_{E_m}(v'x_{K^c}))} \\ &= \lim_{m \rightarrow \infty} \frac{\sum_{w \in X_K} \exp(\phi_{E_m}(wx_{K^c})) \mathbb{1}_{\{wx_{K^c} \in [w]\}} \mathbb{1}_{\{wx_{K^c} \in B\}}}{\sum_{v' \in X_K} \exp(\phi_{E_m}(v'x_{K^c}))} \\ &= \lim_{m \rightarrow \infty} \frac{\sum_{w \in X_K} \exp(\phi_{E_m}(wx_{K^c})) \mathbb{1}_{\{wx_{K^c} \in B\}}}{\sum_{v' \in X_K} \exp(\phi_{E_m}(v'x_{K^c}))} \\ &= \gamma_K(B, x), \end{aligned}$$

where the exchange of the limit and the sum follows from Lemma 4.8. □

**Proposition 4.9.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for every  $K \in \mathcal{F}(G)$ ,  $w \in X_K$ , and  $x \in X$ , the equation*

$$\gamma_K([w], x) = \frac{\exp(\phi_*^{\tau_{w,v}}(vx_{K^c}))}{\sum_{w' \in X_K} \exp(\phi_*^{\tau_{w',v}}(vx_{K^c}))}$$

holds for every  $v \in X_K$ .

**Proof.** Let  $K \in \mathcal{F}(G)$ ,  $w \in X_K$ , and  $x \in X$ . Then, for any  $v \in X_K$ ,

$$\begin{aligned} \lim_{m \rightarrow \infty} \frac{\exp(\phi_{E_m}(wx_{K^c}))}{\sum_{w' \in X_K} \exp(\phi_{E_m}(w'x_{K^c}))} &= \lim_{m \rightarrow \infty} \frac{\exp(\phi_{E_m} \circ \tau_{w,v}^{-1} - \phi_{E_m})(vx_{K^c})}{\sum_{w' \in X_K} \exp(\phi_{E_m} \circ \tau_{w',v}^{-1} - \phi_{E_m})(vx_{K^c})} \\ &= \frac{\lim_{m \rightarrow \infty} \exp(\phi_{E_m} \circ \tau_{w,v}^{-1} - \phi_{E_m})(vx_{K^c})}{\sum_{w' \in X_K} \lim_{m \rightarrow \infty} \exp(\phi_{E_m} \circ \tau_{w',v}^{-1} - \phi_{E_m})(vx_{K^c})} \end{aligned}$$

$$\begin{aligned}
 &= \frac{\exp\left(\lim_{m \rightarrow \infty} (\phi_{E_m} \circ \tau_{w,v}^{-1} - \phi_{E_m})(vx_{K^c})\right)}{\sum_{w' \in X_K} \exp\left(\lim_{m \rightarrow \infty} (\phi_{E_m} \circ \tau_{w',v}^{-1} - \phi_{E_m})(vx_{K^c})\right)} \\
 &= \frac{\exp\left(\phi_*^{\tau_{w,v}}(vx_{K^c})\right)}{\sum_{w' \in X_K} \exp\left(\phi_*^{\tau_{w',v}}(vx_{K^c})\right)},
 \end{aligned}$$

where the last equality follows from Theorem 4.2. Also, if  $m_0 \in \mathbb{N}$  is such that  $K \subseteq E_{m_0}$ , the exchange of limit and sum in the denominator from the first to the second line follows from Lemma 4.8. □

**Corollary 4.10.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for every  $K \in \mathcal{F}(G)$ ,  $\gamma_K$  is  $G$ -invariant, that is, for every  $w \in X_K$ ,  $x \in X$ , and  $g \in G$ , it holds that*

$$\gamma_{Kg^{-1}}(g \cdot [w], g \cdot x) = \gamma_K([w], x).$$

**Proof.** Let  $K \in \mathcal{F}(G)$ . Given  $v \in X_K$ , let  $y^v \in X$  be arbitrary and such that  $y_K^v = v$ . Then,

$$\begin{aligned}
 \gamma_{Kg^{-1}}(g \cdot [w], g \cdot x) &= \gamma_{Kg^{-1}}([(g \cdot y^w)_{Kg^{-1}}], g \cdot x) \\
 &= \frac{\exp\left(\phi_*^{\tau_{Kg^{-1}}}((g \cdot y^w)_{Kg^{-1}}(g \cdot x)_{(Kg^{-1})^c})\right)}{\sum_{w' \in X_K} \exp\left(\phi_*^{\tau_{Kg^{-1}}}((g \cdot y^{w'})_{Kg^{-1}}(g \cdot x)_{(Kg^{-1})^c})\right)} \\
 &= \frac{\exp\left(\phi_*^{\tau_{Kg^{-1}}}(g \cdot (y_K^w x_{K^c}))\right)}{\sum_{w' \in X_K} \exp\left(\phi_*^{\tau_{Kg^{-1}}}(g \cdot (y_K^{w'} x_{K^c}))\right)} \\
 &= \frac{\exp\left(\phi_*^{\tau_K}(y_K^w x_{K^c})\right)}{\sum_{w' \in X_K} \exp\left(\phi_*^{\tau_K}(y_K^{w'} x_{K^c})\right)} \\
 &= \gamma_K([w], x),
 \end{aligned}$$

where we have used the property of  $\phi_*^\tau$  from Corollary 4.3. □

**Definition 4.3.** A potential  $h: X \rightarrow \mathbb{R}$  is **local** if  $h$  is  $\mathcal{B}_K$ -measurable for some  $K \in \mathcal{F}(G)$ . For each  $K \in \mathcal{F}(G)$ , denote by  $\mathcal{L}_K$  the linear space of all bounded  $\mathcal{B}_K$ -measurable potentials and  $\mathcal{L} = \bigcup_{K \in \mathcal{F}(G)} \mathcal{L}_K$ .

A potential  $h: X \rightarrow \mathbb{R}$  is **quasiloca**l if there exists a sequence  $\{\phi_n\}_n$  of local potentials, such that  $\lim_{n \rightarrow \infty} \|h - \phi_n\|_\infty = 0$ . Note that  $\overline{\mathcal{L}}$  is the linear space of all bounded quasilocal potentials, where  $\overline{\mathcal{L}}$  is the uniform closure of  $\mathcal{L}$  on the linear space of bounded  $\mathcal{B}$ -measurable potentials.

**Remark 4.11** [30, Remark 2.21]. A potential  $h: X \rightarrow \mathbb{R}$  is quasilocal if, and only if, for all exhausting sequences of finite subsets  $\{E_m\}_m$  of  $G$ ,  $\lim_{m \rightarrow \infty} \sup_{\substack{x, y \in X \\ x_{E_m} = y_{E_m}}} |h(x) - h(y)| = 0$ .

**Definition 4.4.** A specification  $\gamma = (\gamma_K)_{K \in \mathcal{F}(G)}$  is quasilocal if, for each  $K \in \mathcal{F}(G)$  and  $h \in \overline{\mathcal{L}}$ , it holds that  $\gamma_K h \in \overline{\mathcal{L}}$ , where

$$\gamma_K h(x) = \sum_{w \in X_K} \gamma_K(w, x) h(wx_{K^c}).$$

**Remark 4.12.** In order to verify that a specification is quasilocal, it suffices to prove that  $\gamma_K h \in \overline{\mathcal{L}}$ , for  $K \in \mathcal{F}(G)$  and  $h \in \mathcal{L}$  (see [30], page 32).

**Theorem 4.13.** Let  $\phi : X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $\gamma = \{\gamma_K\}_{K \in \mathcal{F}(G)}$  is defined as in equation (4.2), then  $\gamma$  is quasilocal.

**Proof.** Let  $h \in \mathcal{L}$ , and let  $\epsilon > 0$ . Given any  $K \in \mathcal{F}(G)$ , first notice that

$$|\gamma_K h(x)| \leq \sum_{w \in X_K} \gamma_K(w, x) |h(wx_{K^c})| \leq \|h\|_\infty \sum_{w \in X_K} \gamma_K(w, x) = \|h\|_\infty,$$

so  $\|\gamma_K h\|_\infty \leq \|h\|_\infty$ . In addition, if  $x, y \in X$  are such that  $x_{E_n} = y_{E_n}$  for  $n$  to be determined, we have that

$$\begin{aligned} & |\gamma_K h(x) - \gamma_K h(y)| \\ & \leq \sum_{w \in X_K} |\gamma_K(w, x) h(wx_{K^c}) - \gamma_K(w, y) h(wy_{K^c})| \\ & = \sum_{w \in X_K} \gamma_K(w, x) \left| h(wx_{K^c}) - \frac{\gamma_K(w, y)}{\gamma_K(w, x)} h(wy_{K^c}) \right| \\ & \leq \sum_{w \in X_K} \gamma_K(w, x) |h(wx_{K^c}) - e^{\pm 2\epsilon} h(wy_{K^c})| \\ & \leq \sum_{w \in X_K} \gamma_K(w, x) |h(wx_{K^c}) - h(wy_{K^c})| + \sum_{w \in X_K} \gamma_K(w, x) (1 - e^{\pm 2\epsilon}) |h(wy_{K^c})| \\ & \leq \sum_{w \in X_K} \gamma_K(w, x) |h(wx_{K^c}) - h(wy_{K^c})| + \sum_{w \in X_K} \gamma_K(w, x) (1 - e^{\pm 2\epsilon}) \|h\|_\infty \\ & \leq \sup_{x', y' : x'_{E_n} = y'_{E_n}} |h(x') - h(y')| + (1 - e^{\pm 2\epsilon}) \|h\|_\infty. \end{aligned}$$

To justify the second inequality, first observe that, for every  $w, v \in X_K$ ,  $\phi_*^{\tau_{v,w}}$  is uniformly continuous, since it is a uniform limit of uniformly continuous potentials, namely,  $\phi_{E_m}$ . Then, there exists  $n_0 \in \mathbb{N}$ , such that for every  $n \geq n_0$ , every  $w, v \in X_K$ , and every  $x, y \in X$  with  $x_{E_n} = y_{E_n}$ ,

$$|\phi_*^{\tau_{v,w}}(wx_{K^c}) - \phi_*^{\tau_{v,w}}(wy_{K^c})| < \epsilon,$$

so

$$\gamma_K(w, y) = \frac{\exp(\phi_*^{\tau_{w,w}}(wy_{K^c}))}{\sum_{v \in X_K} \exp(\phi_*^{\tau_{v,w}}(wy_{K^c}))} \leq \frac{\exp(\phi_*^{\tau_{w,w}}(wx_{K^c}) + \epsilon)}{\sum_{v \in X_K} \exp(\phi_*^{\tau_{v,w}}(wx_{K^c}) - \epsilon)} = e^{2\epsilon} \gamma_K(w, x).$$

Now, since  $h$  is local, we have that  $\lim_{n \rightarrow \infty} \sup_{\substack{x, y \in X \\ x_{E_n} = y_{E_n}}} |h(x) - h(y)| = 0$ , so that there exists  $n_1 \in \mathbb{N}$ , such that for all  $n \geq n_1$ ,  $\sup_{\substack{x, y \in X \\ x_{E_n} = y_{E_n}}} |h(x) - h(y)| < \epsilon$ . Taking  $n = \max\{n_0, n_1\}$ , we obtain that

$$|\gamma_K h(x) - \gamma_K h(y)| \leq \epsilon + (1 - e^{\pm 2\epsilon}) \|h\|_\infty,$$

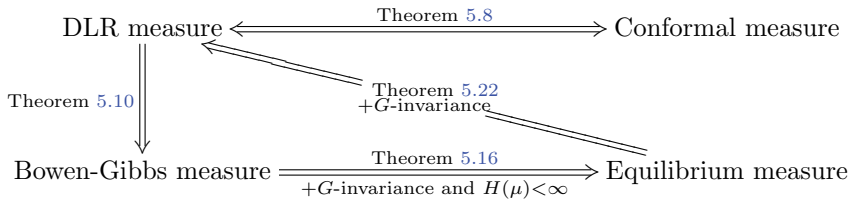
and since  $\epsilon$  was arbitrary, we conclude. □

### 5. Equivalences of different notions of Gibbs measures

In this section, we introduce the four notions of Gibbs measures to be considered, namely, DLR, conformal, Bowen-Gibbs, and equilibrium measures, and prove the equivalence among them provided extra conditions. We mainly assume that  $G$  is a countable amenable group, the configuration space is  $X = \mathbb{N}^G$ , and  $\phi : X \rightarrow \mathbb{R}$  is an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ .

We proceed to describe the content of each subsection: in Section 5.1, we provide a rigorous definition of each kind of measure and results about entropy and pressure; in Section 5.2, we establish that the set of DLR measures and the set of conformal measures coincide; in Section 5.3, we prove that every DLR measure is a Bowen-Gibbs measure; in Section 5.4, we show the existence of a conformal measure; in Section 5.5, we prove that a  $G$ -invariant Bowen-Gibbs measure with finite entropy is an equilibrium measure; and finally, in Section 5.6, we prove that if a measure is an equilibrium measure, then it is also a DLR measure.

Below, we provide a diagram of the main results of this section, including extra assumptions needed.



**Remark 5.1.** We are not aware whether it is possible to prove that a Bowen-Gibbs measure is necessarily a DLR measure without the finite entropy assumption. In fact, we do not know if  $G$ -invariance is a necessary assumption for that implication.

#### 5.1. Definitions of Gibbs measures

We start by giving the definitions of DLR, conformal, and Bowen-Gibbs measures.

**Definition 5.1.** Let  $\phi : X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . A measure  $\mu \in \mathcal{M}(X)$  is a **DLR measure (for  $\phi$ )** if

$$\mu(B | \mathcal{B}_{K^c})(x) = \gamma_K(B, x) \quad \mu(x)\text{-a.s.},$$

for every  $K \in \mathcal{F}(G)$ ,  $B \in \mathcal{B}$ , and  $x \in X$ , where  $\gamma_K$  is defined as in equation (4.2). We denote the set of DLR measures for  $\phi$  by  $\mathcal{G}(\phi)$ .

**Definition 5.2.** Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . A measure  $\mu \in \mathcal{M}(X)$  is a **conformal measure (for  $\phi$ )** if

$$\frac{d(\mu \circ \tau^{-1})}{d\mu} = \exp(\phi_*^T) \quad \mu(x)\text{-a.s.}, \tag{5.1}$$

for every  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\tau \in \mathcal{E}_{K,A}$ .

**Definition 5.3.** Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . A measure  $\mu \in \mathcal{M}(X)$  is a **Bowen-Gibbs measure (for  $\phi$ )** if there exists  $p \in \mathbb{R}$ , such that, for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that, for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  and  $x \in X$ ,

$$\exp(-\epsilon \cdot |F|) \leq \frac{\mu([x_F])}{\exp(\phi_F(x) - p|F|)} \leq \exp(\epsilon \cdot |F|). \tag{5.2}$$

**Remark 5.2.** Notice that, in Definition 5.3, we can replace  $\phi_F(x)$  by  $\sup \phi_F([x_F])$  in an equivalent way, so that we have

$$\exp(-\epsilon \cdot |F|) \leq \frac{\mu([x_F])}{\exp(\sup \phi_F([x_F]) - p|F|)} \leq \exp(\epsilon \cdot |F|).$$

**Proposition 5.3.** Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, if  $\mu$  is a Bowen-Gibbs measure for  $\phi$ , the constant  $p$  is necessarily  $p(\phi)$ .

**Proof.** Indeed, given  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$  so that

$$\exp(-\epsilon \cdot |F|) \exp(\phi_F(x)) \leq \mu([x_F]) \exp(p|F|) \leq \exp(\epsilon \cdot |F|) \exp(\phi_F(x))$$

for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  and every  $x \in X$ . Since  $x$  is arbitrary, we have that

$$\exp(-\epsilon \cdot |F|) \exp(\sup \phi_F[x_F]) \leq \mu([x_F]) \exp(p|F|) \leq \exp(\epsilon \cdot |F|) \exp(\sup \phi_F[x_F]),$$

and, since  $\mu$  is a probability measure, adding over all  $x_F \in X_F$ , we get

$$\exp(-\epsilon \cdot |F|) Z_F(\phi) \leq \exp(p|F|) \leq \exp(\epsilon \cdot |F|) Z_F(\phi).$$

Then, if we take logarithms and divide by  $|F|$ , we obtain that

$$-\epsilon + \frac{\log Z_F(\phi)}{|F|} \leq p \leq \frac{\log Z_F(\phi)}{|F|} + \epsilon,$$

so, taking the limit as  $F$  becomes more and more invariant, we obtain that

$$-\epsilon + p(\phi) \leq p \leq p(\phi) + \epsilon,$$

and since  $\epsilon$  was arbitrary, we conclude that  $p = p(\phi)$ . □



Consider the canonical partition of  $X$  given by  $\{[a]\}_{a \in \mathbb{N}}$ . This is a countable partition that generates the Borel  $\sigma$ -algebra  $\mathcal{B}$  under the shift dynamic. Given a measure  $\nu \in \mathcal{M}(X)$ , the **Shannon entropy** of the canonical partition associated with  $\nu$  is given by

$$H(\nu) := - \sum_{a \in \mathbb{N}} \nu([a]) \log \nu([a]).$$

Now, for each  $F \in \mathcal{F}(G)$ , let  $\{[w]\}_{w \in X_F}$  be the  $F$ -refinement of the canonical partition, and consider its corresponding Shannon entropy, which is given by

$$H_F(\nu) := - \sum_{w \in X_F} \nu([w]) \log \nu([w]).$$

We have the following proposition.

**Proposition 5.4.** *Let  $\phi : X \rightarrow \mathbb{R}$  be an exp-summable and continuous potential with finite oscillation. If  $\nu \in \mathcal{M}(X)$  is such that  $\int \phi d\nu > -\infty$ , then  $H(\nu) < \infty$ . Furthermore, if  $\nu$  is  $G$ -invariant, then, for every  $F \in \mathcal{F}(G)$ ,  $H_F(\nu) < \infty$ .*

**Proof.** Let  $\{A_n\}_n$  be an exhausting sequence of finite alphabets and  $F \in \mathcal{F}(G)$ . Consider  $X^{F,n} = \{x \in X : x_F \in A_n^F\} \in \mathcal{B}_F$ . Since  $\phi$  is exp-summable, then it is bounded from above. Without loss of generality, suppose that it is bounded from above by 0. Thus, so is  $\phi_F$ . Define

$$\phi^{F,n}(x) = \begin{cases} \phi_F(x), & x \in X^{F,n}; \\ 0, & \text{otherwise.} \end{cases}$$

Notice that, for every  $x \in X$ ,  $\phi_F(x) = \lim_{n \rightarrow \infty} \phi^{F,n}(x)$  and, for every  $n \in \mathbb{N}$ ,  $\phi_F(x) \leq \phi^{F,n+1}(x) \leq \phi^{F,n}(x)$ . Therefore, by the Monotone Convergence Theorem, we can conclude that

$$\int \phi_F d\nu = \lim_{n \rightarrow \infty} \int \phi^{F,n}(x) d\nu.$$

For each  $n \in \mathbb{N}$ , let  $H_{F,n}(\nu) = - \sum_{w \in A_n^F} \nu([w]) \log \nu([w])$ . Then,  $\lim_{n \rightarrow \infty} H_{F,n}(\nu) = H_F(\nu)$ . Also, for each  $n \in \mathbb{N}$  and  $F \in \mathcal{F}(G)$ , notice that  $\phi^{F,n} \leq \sum_{w \in A_n^F} 1_{[w]} \sup \phi_F([w])$ . Therefore, for every  $n \in \mathbb{N}$  and  $F \in \mathcal{F}(G)$ ,

$$\begin{aligned} H_{F,n}(\nu) + \int \phi^{F,n} d\nu &= - \sum_{w \in A_n^F} \nu([w]) \log \nu([w]) + \int \phi^{F,n} d\nu \\ &\leq - \sum_{w \in A_n^F} \nu([w]) \log \nu([w]) + \sum_{w \in A_n^F} \nu([w]) \sup \phi_F([w]) \\ &= \sum_{w \in A_n^F} \nu([w]) \log \left( \frac{\exp(\sup \phi_F([w]))}{\nu([w])} \right) \\ &\leq \log \left( \sum_{w \in A_n^F} \exp \sup \phi_F([w]) \right) \\ &= \log Z_F(A_n, \phi), \end{aligned}$$

where we assume that all the sums involved are over cylinder sets with positive measure. The second inequality follows from Jensen’s inequality. In addition, notice that, in the case that  $\nu$  is  $G$ -invariant, it follows that

$$\begin{aligned} H_F(\nu) &= \lim_{n \rightarrow \infty} H_{F,n}(\nu) \\ &\leq \lim_{n \rightarrow \infty} \left( \log Z_F(A_n, \phi) - \int \phi^{F,n} d\nu \right) \\ &= \log Z_F(\phi) - \int \phi_F d\nu \\ &\leq |F| \left( \log Z_{1_G}(\phi) - \int \phi d\nu \right), \end{aligned}$$

where we have used that  $\log Z_F(\phi) \leq |F| \log Z_{1_G}(\phi)$  and  $\int \phi_F d\nu = |F| \int \phi d\nu$ . Therefore,  $H_F(\nu) < \infty$  and, in particular,  $H(\nu) = H_{\{1_G\}}(\nu) < \infty$ . □

Through a standard argument (for example, for the case  $G = \mathbb{Z}$ , see [24]; the general case is analogous), it can be justified that if the canonical partition has finite Shannon entropy, the **Kolmogorov-Sinai entropy** of  $\nu$  can be written as

$$h(\nu) = \lim_{F \rightarrow G} \frac{1}{|F|} H_F(\nu).$$

**Definition 5.4.** Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . A measure  $\mu \in \mathcal{M}_G(X)$  is an **equilibrium measure (for  $\phi$ )** if  $\int \phi d\mu > -\infty$  and

$$h(\mu) + \int \phi d\mu = \sup \left\{ h(\nu) + \int \phi d\nu : \nu \in \mathcal{M}_G(X), \int \phi d\nu > -\infty \right\}. \tag{5.3}$$

Notice that it is not clear whether the supremum in equation (5.3) is achieved. The answer to this problem is intimately related to the concept of Gibbs measures in its various forms and their equivalences, which we address throughout this section.

**Remark 5.5.** Notice that, in light of Proposition 5.4, any measure  $\nu \in \mathcal{M}_G(X)$ , such that the  $\int \phi d\nu > -\infty$  has finite entropy, that is,  $h(\nu) < \infty$ , provided that  $\phi$  is exp-summable and has finite oscillation. Thus, in the particular case that  $\phi$  is an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ , we obtain that  $h(\nu) < \infty$ .

**5.2. Equivalence between DLR and conformal measures**

This section is dedicated to proving that the notions of DLR measure and conformal measure coincide in the full shift with countable alphabet over a countable amenable group context. Nevertheless, before proving this major result, notice that for  $B \in \mathcal{B}$ ,  $K \in \mathcal{F}(G)$ , and  $x \in X$ ,

$$\mu(B | \mathcal{B}_{K^c})(x) = \sum_{w \in X_K} \mu([w] | \mathcal{B}_{K^c})(x) \mathbb{1}_{\{wx_{K^c} \in B\}}. \tag{5.4}$$

Indeed, it can be checked that  $\mathbb{1}_{\{wx_{K^c} \in B\}}(x)$  is  $\mathcal{B}_{K^c}$ -measurable, so  $\mu(x)$ -a.s.,

$$\begin{aligned} \sum_{w \in X_K} \mu([w] | \mathcal{B}_{K^c})(x) \mathbb{1}_{\{wx_{K^c} \in B\}}(x) &= \mu \left( \sum_{w \in X_K} \mathbb{1}_{[w]} \mathbb{1}_{\{wx_{K^c} \in B\}} \middle| \mathcal{B}_{K^c} \right) (x) \\ &= \mu \left( \sum_{w \in X_K} \mathbb{1}_{[w]} \mathbb{1}_B \middle| \mathcal{B}_{K^c} \right) (x) \\ &= \mu(B | \mathcal{B}_{K^c})(x). \end{aligned}$$

This observation will allow us to reduce our calculations from arbitrary Borel sets  $B \in \mathcal{B}$  to cylinder sets of the form  $[w]$ . Next, we have the following result.

**Corollary 5.6.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . A measure  $\mu \in \mathcal{M}(X)$  is a DLR measure for  $\phi$  if, and only if, for every  $K \in \mathcal{F}(G)$ ,  $w \in X_K$  and  $x \in X$ , then it holds that*

$$\mu([w] | \mathcal{B}_{K^c})(x) = \frac{\exp(\phi_*^{\tau w, v}(vx_{K^c}))}{\sum_{w' \in X_K} \exp(\phi_*^{\tau w', v}(vx_{K^c}))} \quad \mu(x)\text{-a.s.}, \tag{5.5}$$

for every  $v \in X_K$ .

**Proof.** If  $\mu$  is a DLR measure for  $\phi$ , then for every  $K \in \mathcal{F}(G)$ ,  $B \in \mathcal{B}$ , and  $x \in X$ ,

$$\mu(B | \mathcal{B}_{K^c})(x) = \gamma_K(B, x) \quad \mu(x)\text{-a.s.}$$

Thus, in particular, if  $w \in X_K$ , it holds that

$$\mu([w] | \mathcal{B}_{K^c})(x) = \gamma_K([w], x) \quad \mu(x)\text{-a.s.},$$

and the result follows from Proposition 4.9.

On the other hand, if we assume that for every  $K \in \mathcal{F}(G)$ ,  $w \in X_K$ , and  $x \in X$ , equation (5.5) holds  $\mu(x)$ -almost surely for every  $v \in X_K$ , then, from equation (5.4) and Proposition 4.9,  $\mu(x)$ -a.s., it holds that

$$\mu(B | \mathcal{B}_{K^c})(x) = \sum_{w \in X_K} \mu([w] | \mathcal{B}_{K^c})(x) \mathbb{1}_{\{wx_{K^c} \in B\}} = \sum_{w \in X_K} \gamma_K([w], x) \mathbb{1}_{\{wx_{K^c} \in B\}} = \gamma_K(B, x).$$

□

In order to relate the functions  $\gamma_K$  that appear in the definition of DLR measures with the permutations involved in the definition of conformal measures, we have the following lemma.

**Lemma 5.7.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, for every  $K \in \mathcal{F}(G)$ ,  $v, w \in X_K$  and  $\tau \in \mathcal{E}_K$ , such that  $\tau^{-1}([v]) = [w]$ ,*

$$\gamma_K([w], x) = \exp(\phi_*^\tau(vx_{K^c})) \gamma_K([v], x).$$

**Proof.** Indeed, by Proposition 4.9, for every  $x \in X$ ,

$$\begin{aligned} \gamma_K([w], x) &= \frac{\exp(\phi_*^\tau(vx_{K^c}))}{\sum_{w' \in X_K} \exp(\phi_*^{\tau_{w',v}}(vx_{K^c}))} \\ &= \frac{\exp(\phi_*^{\tau_{v,v}}(vx_{K^c}))}{\sum_{w' \in X_K} \exp(\phi_*^{\tau_{w',v}}(vx_{K^c}))} \cdot \frac{\exp(\phi_*^\tau(vx_{K^c}))}{\exp(\phi_*^{\tau_{v,v}}(vx_{K^c}))} \\ &= \gamma_K([v], x) \exp(\phi_*^\tau(vx_{K^c}) - \phi_*^{\tau_{v,v}}(vx_{K^c})). \end{aligned}$$

Now, notice that  $\phi_*^\tau(vx_{K^c}) - \phi_*^{\tau_{v,v}}(vx_{K^c}) = \phi_*^\tau(vx_{K^c})$ , and the result follows. □

Now we can prove the main result of this subsection. The proof is a slight adaptation of the proof of [46, Theorem 3.3], and we include it here for completeness.

**Theorem 5.8.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, a measure  $\mu \in \mathcal{M}(X)$  is a DLR measure for  $\phi$  if, and only if,  $\mu$  is a conformal measure for  $\phi$ .*

**Proof.** Suppose, first, that  $\mu \in \mathcal{M}(X)$  is a conformal measure for  $\phi$ , and let  $K \in \mathcal{F}(G)$ . Begin by noticing that if  $B \in \mathcal{B}_{K^c}$  and, for some  $w \in X_K$  and  $x \in X$ ,  $wx_{K^c} \in B$ , then  $vx_{K^c} \in B$ , for every  $v \in X_K$ . As a consequence, we have that, for all  $\tau \in \mathcal{E}_K$  and all  $B \in \mathcal{B}_{K^c}$ ,  $B = \tau^{-1}(B)$ .

For  $w, v \in X_K$ , consider  $\tau_{w,v} \in \mathcal{E}_K$ . Thus,  $\tau_{w,v}^{-1}([v]) = [w]$  and, for every  $B \in \mathcal{B}_{K^c}$ ,  $\tau_{w,v}^{-1}([v] \cap B) = \tau_{w,v}^{-1}([v]) \cap \tau_{w,v}^{-1}(B) = [w] \cap B$ . Furthermore,

$$\begin{aligned} \int_B \mathbb{1}_{[w]}(x) d\mu(x) &= \int_B \mathbb{1}_{[v]}(x) d(\mu \circ \tau_{w,v}^{-1})(x) \\ &= \int_B \mathbb{1}_{[v]}(x) \exp \phi_*^{\tau_{w,v}}(x) d\mu(x) \\ &= \int_B \mathbb{1}_{[v]}(x) \exp \phi_*^{\tau_{w,v}}(vx_{K^c}) d\mu(x) \\ &= \int_B \mu(\mathbb{1}_{[v]}(x) \exp \phi_*^{\tau_{w,v}}(vx_{K^c}) | \mathcal{B}_{K^c})(x) d\mu(x) \\ &= \int_B \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \exp \phi_*^{\tau_{w,v}}(vx_{K^c}) d\mu(x). \end{aligned}$$

On the other hand,

$$\int_B \mathbb{1}_{[w]}(x) d\mu(x) = \int_B \mu(\mathbb{1}_{[w]} | \mathcal{B}_{K^c})(x) d\mu(x).$$

Therefore, for any  $w, v \in X_K$ ,  $\mu(x)$ -almost surely it holds that

$$\mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \exp \phi_*^{\tau_{w,v}}(vx_{K^c}) = \mu(\mathbb{1}_{[w]} | \mathcal{B}_{K^c})(x). \tag{5.6}$$

Now, let  $A \in \mathcal{F}(\mathbb{N})$  be a finite alphabet and  $v \in A^K$ . For any  $w' \in A^K$ , we have that  $\tau_{w',v} \in \mathcal{E}_{K,A}$ . Summing equation (5.6) over all  $w' \in A^K$ , we obtain that  $\mu(x)$ -almost surely

it holds that

$$\mu(\mathbb{1}_{A^K \times X_{K^c}} | \mathcal{B}_{K^c})(x) = \sum_{w' \in A^K} \mu(\mathbb{1}_{[w']} | \mathcal{B}_{K^c})(x) \tag{5.7}$$

$$= \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \sum_{w' \in A^K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}). \tag{5.8}$$

If  $\{A_n\}_n$  is an exhausting sequence of finite alphabets, then  $\bigcap_{n \geq 1} (A_n^K \times X_{K^c})^c = \emptyset$ . Moreover, for each  $n \in \mathbb{N}$ ,

$$\int (1 - \mathbb{1}_{A_n^K \times X_{K^c}})^2 d\mu = \int |1 - \mathbb{1}_{A_n^K \times X_{K^c}}| d\mu = \int \mathbb{1}_{(A_n^K \times X_{K^c})^c} d\mu.$$

Therefore,  $\int (1 - \mathbb{1}_{A_n^K \times X_{K^c}})^2 d\mu \rightarrow 0$  as  $n \rightarrow \infty$ . Since conditional expectation given  $\mathcal{B}_{K^c}$  is a continuous linear operator on  $L^2(\mu)$ , we have  $\mu(\mathbb{1}_{A_n^K \times X_{K^c}} | \mathcal{B}_{K^c}) \rightarrow \mu(\mathbb{1} | \mathcal{B}_{K^c})$ ,  $\mu(x)$ -almost surely in  $L^2(\mu)$  as  $n \rightarrow \infty$ . Therefore, for any fixed  $v \in X_K$ , there exists  $n_0 \in \mathbb{N}$  such that  $v \in A_{n_0}^K$  and, consequently,  $v \in A_n^K$ , for all  $n \geq n_0$ . Therefore,  $\mu(x)$ -almost surely it holds that

$$\begin{aligned} 1 &= \mu(\mathbb{1} | \mathcal{B}_{K^c})(x) \\ &= \lim_{n \rightarrow \infty} \mu(\mathbb{1}_{A_n^K \times X_{K^c}} | \mathcal{B}_{K^c})(x) \\ &= \lim_{n \rightarrow \infty} \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \sum_{w \in A_n^K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}) \\ &= \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \lim_{n \rightarrow \infty} \sum_{w' \in A_n^K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}) \\ &= \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \sum_{w \in X_K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}). \end{aligned}$$

Moreover, equation (5.6) yields that, for any  $w \in X_K$ ,  $\mu(x)$ -almost surely

$$1 = \mu(\mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) \sum_{w' \in X_K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}) = \frac{\mu(\mathbb{1}_{[w]} | \mathcal{B}_{K^c})(x)}{\exp \phi_*^{\tau_{w'}, v}(vx_{K^c})} \sum_{w' \in X_K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c}),$$

so that, for any  $w \in X_K$ ,  $\mu(x)$ -almost surely it holds that

$$\mu([w] | \mathcal{B}_{K^c})(x) = \frac{\exp(\phi_*^{\tau_{w'}, v}(vx_{K^c}))}{\sum_{w' \in X_K} \exp \phi_*^{\tau_{w'}, v}(vx_{K^c})}.$$

Therefore, due to Corollary 5.6,  $\mu$  is a DLR measure.

Conversely, suppose that  $\mu \in \mathcal{M}(X)$  is a DLR measure for  $\phi$ , and let  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\tau \in \mathcal{E}_{K,A}$ . For any  $v \in X_K$  and  $w = [\tau^{-1}([v])]_K$ , due to Lemma 5.7, we obtain

$$\begin{aligned}
 \mu \circ \tau^{-1}([v]) &= \mu([w]) = \int \mu([w] | \mathcal{B}_{K^c})(x) d\mu(x) \\
 &= \int \exp \phi_*^\tau(vx_{K^c}) \mu([v] | \mathcal{B}_{K^c})(x) d\mu(x) \\
 &= \int \mu(\exp \phi_*^\tau(vx_{K^c}) \mathbb{1}_{[v]} | \mathcal{B}_{K^c})(x) d\mu(x) \\
 &= \int \exp \phi_*^\tau(vx_{K^c}) \mathbb{1}_{[v]}(x) d\mu(x) \\
 &= \int_{[v]} \exp \phi_*^\tau(vx_{K^c}) d\mu(x),
 \end{aligned}$$

which concludes the result. □

### 5.3. DLR measures are Bowen-Gibbs measures

This subsection is dedicated to proving that, provided some conditions, any DLR measure for a potential  $\phi$  is a Bowen-Gibbs measure for  $\phi$ .

**Proposition 5.9.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $\mu \in \mathcal{M}(X)$  is a DLR measure for  $\phi$ , then, for every  $F \in \mathcal{F}(G)$ ,  $w \in X_F$  and  $y \in X$ , it holds  $\mu(x)$ -almost surely that*

$$\exp(-2V_F(\phi) - 3\Delta_F(\phi)) \leq \frac{\mu([w] | \mathcal{B}_{F^c})(x)}{\exp(\phi_F(wy_{F^c}) - \log Z_F(\phi))} \leq \exp(2V_F(\phi) + 3\Delta_F(\phi)).$$

**Proof.** Let  $F \in \mathcal{F}(G)$  and  $\tau \in \mathcal{E}_F$ . From Proposition 4.4, we have that for every  $x \in X$ ,

$$|\phi_*^\tau(x) - \phi_F^\tau(x)| \leq V_F(\phi), \tag{5.9}$$

which, in particular, yields that, for every  $x \in X$ ,

$$0 < \exp(-V_F(\phi)) \exp \phi_*^\tau(x) \leq \exp \phi_F^\tau(x) \leq \exp(V_F(\phi)) \exp \phi_*^\tau(x). \tag{5.10}$$

For a fixed  $v \in X_F$  and for every  $w' \in X_F$ , the map  $\tau_{w',v}$  belongs to  $\mathcal{E}_F$ . Thus, inequality (5.10) holds for any such  $\tau_{w',v}$  and, summing over all those such maps, we obtain that, for every  $x \in X$ ,

$$\exp(-V_F(\phi)) \sum_{w' \in X_F} \exp \phi_*^{\tau_{w',v}}(x) \leq \sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(x) \leq \exp(V_F(\phi)) \sum_{w' \in X_F} \exp \phi_*^{\tau_{w',v}}(x).$$

Therefore, for every  $F \in \mathcal{F}(G)$ ,  $v \in X_F$ , and  $x \in X$ , we have

$$\exp(-V_F(\phi)) \leq \frac{\sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(x)}{\sum_{w' \in X_F} \exp \phi_*^{\tau_{w',v}}(x)} \leq \exp(V_F(\phi)). \tag{5.11}$$

On the other hand, inequality (5.9) also yields that for every  $F \in \mathcal{F}(G)$ ,  $w, v \in X_F$ , and  $x \in X$ ,

$$\exp(-V_F(\phi)) \leq \frac{\exp \phi_*^{\tau_{w,v}}(x)}{\exp \phi_F^{\tau_{w,v}}(x)} \leq \exp(V_F(\phi)). \tag{5.12}$$

Then, from inequalities (5.11) and (5.12), we obtain that, for every  $F \in \mathcal{F}(G)$ ,  $w, v \in X_F$ , and  $x \in X$ ,

$$\exp(-2V_F(\phi)) \leq \frac{\sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(x)}{\sum_{w' \in X_F} \exp \phi_*^{\tau_{w',v}}(x)} \cdot \frac{\exp \phi_*^{\tau_{w,v}}(x)}{\exp \phi_F^{\tau_{w,v}}(x)} \leq \exp(2V_F(\phi)). \tag{5.13}$$

So, if  $x \in [v]$ , inequality (5.13) can be rewritten as

$$\exp(-2V_F(\phi)) \leq \frac{\sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(vx_{F^c})}{\sum_{w' \in X_F} \exp \phi_*^{\tau_{w',v}}(vx_{F^c})} \cdot \frac{\exp \phi_*^{\tau_{w,v}}(vx_{F^c})}{\exp \phi_F^{\tau_{w,v}}(vx_{F^c})} \leq \exp(2V_F(\phi)). \tag{5.14}$$

Since  $\mu$  is a DLR measure for  $\phi$ , from Corollary 5.6, we obtain that  $\mu(x)$ -almost surely it holds that

$$\exp(-2V_F(\phi)) \leq \mu([w] | \mathcal{B}_{F^c})(x) \frac{\sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(vx_{F^c})}{\exp \phi_F^{\tau_{w,v}}(vx_{F^c})} \leq \exp(2V_F(\phi)). \tag{5.15}$$

Furthermore, notice that

$$\frac{\sum_{w' \in X_F} \exp \phi_F^{\tau_{w',v}}(vx_{F^c})}{\exp \phi_F^{\tau_{w,v}}(vx_{F^c})} = \frac{\sum_{w' \in X_F} \exp \phi_F(w'x_{F^c})}{\exp \phi_F(wx_{F^c})},$$

so that inequality (5.15) can be rewritten as

$$\exp(-2V_F(\phi)) \leq \mu([w] | \mathcal{B}_{F^c})(x) \frac{\sum_{w' \in X_F} \exp \phi_F(w'x_{F^c})}{\exp \phi_F(wx_{F^c})} \leq \exp(2V_F(\phi)). \tag{5.16}$$

For  $F \in \mathcal{F}(G)$  and  $x \in X$ , define the following auxiliary probability measure over  $X_F$ :

$$\pi_F^x(w) := \frac{\exp \phi_F(wx_{F^c})}{\sum_{w' \in X_F} \exp \phi_F(w'x_{F^c})}, \quad \text{for } w \in X_F.$$

Thus, inequality (5.16) yields that  $\mu(x)$ -almost surely it holds that

$$\exp(-2V_F(\phi)) \pi_F^x(w) \leq \mu([w] | \mathcal{B}_{F^c})(x) \leq \exp(2V_F(\phi)) \pi_F^x(w).$$

Now, given  $y \in X$ , notice that the tail configuration  $x_{F^c}$  can be replaced by  $y_{F^c}$  with a penalty of  $2\Delta_F(\phi)$  as follows

$$\pi_F^y(w) \exp(-2\Delta_F(\phi)) \leq \pi_F^x(w) \leq \pi_F^y(w) \exp(2\Delta_F(\phi)),$$

so that

$$\exp(-2(V_F(\phi) + \Delta_F(\phi))) \leq \frac{\mu([w] | \mathcal{B}_{F^c})(x)}{\pi_F^y(w)} \leq \exp(2(V_F(\phi) + \Delta_F(\phi))). \tag{5.17}$$

Moreover, it is easy to verify that

$$\exp(-\Delta_F(\phi)) \leq \frac{\pi_F^y(w)}{\exp(\phi_F(wy_{F^c}) - \log Z_F(\phi))} \leq \exp(\Delta_F(\phi)).$$

Therefore, for every  $w \in X_F, y \in X$ , it holds  $\mu(x)$ -almost surely that

$$\begin{aligned} \mu([w] | \mathcal{B}_{F^c})(x) &\geq \exp(-2(V_F(\phi) + \Delta_F(\phi))) \exp(\phi_F(wy_{F^c}) - \log Z_F(\phi) - \Delta_F(\phi)) \\ &= \exp(-2V_F(\phi) - 3\Delta_F(\phi)) \exp(\phi_F(wy_{F^c}) - \log Z_F(\phi)) \end{aligned}$$

and that

$$\begin{aligned} \mu([w] | \mathcal{B}_{F^c})(x) &\leq \exp(2(V_F(\phi) + \Delta_F(\phi))) \exp(\phi_F(wy_{F^c}) - \log Z_F(\phi) + \Delta_F(\phi)) \\ &= \exp(2V_F(\phi) + 3\Delta_F(\phi)) \exp(\phi_F(wy_{F^c}) - \log Z_F(\phi)). \end{aligned}$$

Thus,

$$\exp(-2V_F(\phi) - 3\Delta_F(\phi)) \leq \frac{\mu([w] | \mathcal{B}_{F^c})(x)}{\exp(\phi_F(wy_{F^c}) - \log Z_F(\phi))} \leq \exp(2V_F(\phi) + 3\Delta_F(\phi)),$$

concluding the proof. □

We now state the main theorem of this subsection.

**Theorem 5.10.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $\mu$  is a DLR measure for  $\phi$ , then, for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F$  and  $x \in X$ , it holds  $\mu(x)$ -almost surely that*

$$\exp(-\epsilon \cdot |F|) \leq \frac{\mu([w] | \mathcal{B}_{F^c})(x)}{\exp(\phi_F(x) - p(\phi) \cdot |F|)} \leq \exp(\epsilon \cdot |F|).$$

*In particular,  $\mu$  is a Bowen-Gibbs measure for  $\phi$ .*

**Proof.** Indeed, for every  $\epsilon > 0$ , we obtain, from Proposition 2.3, Lemma 2.4, and Theorem 3.9, that there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that, for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ ,

$$\Delta_F(\phi) \leq \epsilon \cdot |F|, \quad V_F(\phi) \leq \epsilon \cdot |F|, \quad \text{and} \quad |\log Z_F(\phi) - p(\phi)|F|| \leq \epsilon \cdot |F|,$$

respectively. Considering a sufficiently large  $K$  and sufficiently small  $\delta$  so that the three conditions are satisfied at the same time, we obtain from Proposition 5.9 that

$$\exp(-\epsilon \cdot |F|) \leq \frac{\mu([w] | \mathcal{B}_{F^c})(x)}{\exp(\phi_F(x) - p(\phi) \cdot |F|)} \leq \exp(\epsilon \cdot |F|).$$

Integrating this inequality with respect to  $d\mu(x)$ , it follows that  $\mu$  is a Bowen-Gibbs measure for  $\phi$ . □

#### 5.4. Existence of conformal measures

In order to guarantee that the equivalences we prove here are nontrivial, we prove the existence of a conformal measure for an exp-summable potential with summable variation in the context of a countably infinite state space over an amenable group. The strategy is to apply a version of Prokhorov’s theorem.



**Definition 5.5.** A sequence of probability measures  $\{\mu_n\}_n$  in  $\mathcal{M}(X)$  is **tight** if for every  $\epsilon > 0$ , there exists a compact set  $K_\epsilon \subseteq X$ , such that

$$\mu_n(K_\epsilon) > 1 - \epsilon \quad \text{for all } n \in \mathbb{N}.$$

We now state a version of Prokhorov’s theorem (see [8, 47]).

**Theorem 5.11.** *Every tight sequence of probability measures in  $\mathcal{M}(X)$  has a weak convergent subsequence.*

Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Consider  $A \subseteq \mathbb{N}$  a finite alphabet. Then,  $\phi|_{A^G}$  is also an exp-summable potential with summable variation according to  $\{E_m\}_m$ , and the specification defined by equation (4.2) is quasilocal. Moreover, the set of Borel probability measures on  $A^G$  is compact. Then, following [30, Comment (4.18)], for all  $x \in A^G$ , any accumulation point of the sequence  $\{\gamma_{E_m}(\cdot, x)\}_m$  will be a DLR measure  $\mu$ . Finally, if we want to obtain a  $G$ -invariant DLR measure, for each  $g \in G$ , let  $g\mu$  be given by  $g\mu(A) = \mu(g^{-1} \cdot A)$ , for any  $A \in \mathcal{B}$ . Notice that, for every  $g \in G$ , the measure  $g\mu$  is also a DLR measure for  $\phi|_{A^G}$  due to the  $G$ -invariance of  $\gamma$  (see Corollary 4.10). Then, it suffices to consider any accumulation point of the sequence  $\left\{ \frac{1}{|F_n|} \sum_{g \in F_n} g\mu \right\}_n$ , for a Følner sequence  $\{F_n\}_n$ .

Now, let  $\{A_n\}_n$  in  $\mathcal{F}(\mathbb{N})$  be a fixed exhaustion of  $\mathbb{N}$  and, for each  $n \in \mathbb{N}$ , denote the set of DLR measures and  $G$ -invariant DLR measures for  $\phi^n = \phi|_{A_n^G}$  by  $\mathcal{G}_n(\phi)$  and  $\mathcal{G}_n^I(\phi)$ , respectively. For each  $n \in \mathbb{N}$  and each  $\mu_n \in \mathcal{G}_n^I(\phi)$ , consider its extension  $\tilde{\mu}_n \in \mathcal{M}(X)$  given by

$$\tilde{\mu}_n(\cdot) = \mu_n(\cdot \cap A_n^G).$$

The next result establishes that  $\{\tilde{\mu}_n\}_n$  is tight, and the reader can compare this to [45, Lemma 5.15].

**Lemma 5.12.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to some exhausting sequence  $\{E_m\}_m$ . Then, for any sequence  $\{\mu_n\}_n$  with  $\mu_n \in \mathcal{G}_n^I(\phi)$ , for all  $n \in \mathbb{N}$ , the sequence of extensions  $\{\tilde{\mu}_n\}_n$  is tight.*

**Proof.** Fix some  $n \in \mathbb{N}$ . Then, for any  $a \in \mathbb{N}$  and any  $y \in A_n^{\{1_G\}^c}$ , Proposition 5.9 yields that

$$\exp(-C(\phi^n)) \leq \frac{\mu_n([a])}{\exp(\phi^n(ay) - \log Z_{E_1}(\phi^n))} \leq \exp(C(\phi^n)),$$

where  $\phi^n = \phi|_{A_n^G}$  and  $C(\phi^n) = 2V_{E_1}(\phi^n) + 3\delta(\phi^n)$ . Furthermore,  $0 < Z_{E_1}(\phi^n) \leq Z_{E_1}(\phi^{n+1}) < \infty$  and  $\{Z_{E_1}(\phi^n)\}_n$  converges monotonically to  $Z_{E_1}(\phi)$ . In particular, there exists  $c = -\log Z_{E_1}(\phi^1)$ , such that  $c \geq -\log Z_{E_1}(\phi^n)$ , for all  $n \in \mathbb{N}$ .

If  $a \notin A_n$ , then  $\tilde{\mu}_n([a]) = 0$ . On the other hand, if  $a \in A_n$ , then for every  $y \in A_n^{\{1_G\}^c}$ ,

$$\begin{aligned} \tilde{\mu}_n([a]) &= \mu_n([a]) \leq \exp(C(\phi^n)) \exp(\phi^n(ay) - \log Z_{E_1}(\phi^n)) \\ &\leq \exp(C(\phi) + \phi(ay) + c), \end{aligned}$$

where  $C(\phi) = 2V_{E_1}(\phi) + 3\delta(\phi)$

Now, let  $\epsilon > 0$ . Since  $\phi$  is exp-summable, for each  $m \in \mathbb{N}$ , there must exist a finite alphabet  $A_{\epsilon,m} \in \mathcal{F}(\mathbb{N})$ , such that

$$\sum_{b \in \mathbb{N} \setminus A_{\epsilon,m}} \exp\left(\sup_{x \in [b]} \phi(x)\right) < \frac{\epsilon \cdot \exp(-C(\phi) - c)}{2^m |E_m \setminus E_{m-1}|}. \tag{5.18}$$

Let

$$K_\epsilon = A_{\epsilon,1}^{E_1} \times A_{\epsilon,2}^{E_2 \setminus E_1} \times A_{\epsilon,3}^{E_3 \setminus E_2} \times \dots$$

By Tychonoff's theorem (see [47]),  $K_\epsilon$  is compact. Moreover, notice that

$$K_\epsilon = \bigcap_{m=1}^\infty \bigcap_{g \in E_m \setminus E_{m-1}} \bigcup_{a \in A_{\epsilon,m}} [a^g],$$

where  $[a^g] = \{x \in X : x(g) = a\}$ . Therefore, for each  $n \in \mathbb{N}$ ,

$$\begin{aligned} \tilde{\mu}_n(X \setminus K_\epsilon) &= \tilde{\mu}_n\left(\bigcup_{m=1}^\infty \bigcup_{g \in E_m \setminus E_{m-1}} \bigcap_{a \in A_{\epsilon,m}} [a^g]^c\right) \\ &\leq \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \tilde{\mu}_n\left(\bigcap_{a \in A_{\epsilon,m}} [a^g]^c\right) \\ &= \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \tilde{\mu}_n\left(\bigsqcup_{b \in \mathbb{N} \setminus A_{\epsilon,m}} [b^g]\right) \\ &= \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \sum_{b \in \mathbb{N} \setminus A_{\epsilon,m}} \tilde{\mu}_n([b^g]). \end{aligned}$$

Since all the measures considered here are  $G$ -invariant, it follows that, for any  $y \in A_n^{\{1_G\}^c}$ ,

$$\begin{aligned} \tilde{\mu}_n(X \setminus K_\epsilon) &\leq \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \sum_{b \in \mathbb{N} \setminus A_{\epsilon,m}} \tilde{\mu}_n([b]) \\ &\leq \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \sum_{b \in \mathbb{N} \setminus A_{\epsilon,m}} \exp(C(\phi) + \phi(by) + c) \\ &= \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \exp(C(\phi) + c) \sum_{b \in \mathbb{N} \setminus A_{\epsilon,m}} \exp(\phi(by)) \\ &< \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \exp(C(\phi) + c) \frac{\epsilon \cdot \exp(-C(\phi) - c)}{2^m |E_m \setminus E_{m-1}|} \\ &= \sum_{m=1}^\infty \sum_{g \in E_m \setminus E_{m-1}} \frac{\epsilon}{2^m |E_m \setminus E_{m-1}|} \\ &= \epsilon, \end{aligned}$$

where the fifth line follows from estimate (5.18). Therefore, for all  $n \in \mathbb{N}$ ,  $\tilde{\mu}_n(X \setminus K_\epsilon) < \epsilon$ , so that  $\tilde{\mu}_n(K_\epsilon) = 1 - \tilde{\mu}_n(K_\epsilon^c) > 1 - \epsilon$ , which proves the tightness of  $\{\tilde{\mu}_n\}_n$ .  $\square$

We have proven that for each sequence  $\{\mu_n\}_n$  with  $\mu_n \in \mathcal{G}_n^I(\phi)$ , the sequence  $\{\tilde{\mu}_n\}_n$  of their extensions is tight. Then, the existence of at least one accumulation point is guaranteed by Prokhorov’s theorem. Let’s see that an arbitrary accumulation point, which we will denote by  $\tilde{\mu}$ , is conformal for  $\phi$  and, moreover, that it is  $G$ -invariant.

**Theorem 5.13.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, the set of  $G$ -invariant DLR measures for  $\phi$  is nonempty.*

**Proof.** Let  $\{\mu_n\}_n$  be such that, for each  $n \in \mathbb{N}$ ,  $\mu_n$  is a  $G$ -invariant conformal measure for  $\phi^n: A_n^G \rightarrow \mathbb{R}$  (or, equivalently,  $\mu_n$  is a  $G$ -invariant DLR measure for  $\phi^n$ ). Thus, for each  $n \in \mathbb{N}$ , any  $K \in \mathcal{F}(G)$ , and any  $\tau \in \mathcal{E}_{K, A_n}$ ,

$$\exp((\phi^n)_*^{\tau_n}) = \frac{d(\mu_n \circ (\tau_n)^{-1})}{d\mu_n}, \tag{5.19}$$

where  $\tau_n = \tau|_{A_n^G}$ . This yields that

$$\exp(\phi_*^\tau) = \frac{d(\tilde{\mu}_n \circ \tau^{-1})}{d\tilde{\mu}_n}.$$

Indeed, let  $\psi: X \rightarrow \mathbb{R}$  be a bounded continuous potential. Observe that, for  $\tau \in \mathcal{E}_{K, A_n}$ ,  $(\phi^n)_*^{\tau_n} = (\phi_*^\tau)|_{A_n^G}$ . Moreover, for every  $B \in \mathcal{B}$ , since  $\tau_n^{-1}(A_n^G) = A_n^G$  and  $\tilde{\mu}_n(X \setminus A_n^G) = 0$ , we have that  $\widetilde{\mu_n \circ \tau_n^{-1}}(B) = \tilde{\mu}_n(\tau^{-1}(B))$ . Then, we obtain

$$\begin{aligned} \int \psi d\widetilde{\mu_n \circ \tau_n^{-1}} &= \int \psi d(\widetilde{\mu_n \circ \tau_n^{-1}}) \\ &= \int \psi^n d(\widetilde{\mu_n \circ \tau_n^{-1}}) \\ &= \int \psi^n d(\mu_n \circ \tau_n^{-1}) \\ &= \int \psi^n \exp((\phi^n)_*^{\tau_n}) d\mu_n \\ &= \int \psi^n \exp((\phi^n|_{A_n^G})_*^\tau) d\mu_n \\ &= \int \psi \exp(\phi_*^\tau) d\tilde{\mu}_n, \end{aligned}$$

where  $\psi^n = \psi|_{A_n^G}$ .

Furthermore, Lemma 5.12 guarantees that the sequence of induced measures  $\{\tilde{\mu}_n\}_n$  is tight, and we can apply Prokhorov’s theorem to guarantee the existence of a limit point for some subsequence  $\{\mu_{n_k}\}_k$ , which we denote by  $\tilde{\mu}$ . Now, we are going to prove that  $\tilde{\mu}$  is

a conformal measure for  $\phi$ . For that, consider a bounded continuous potential  $\psi: X \rightarrow \mathbb{R}$ ,  $A \in \mathcal{F}(\mathbb{N})$ ,  $K \in \mathcal{F}(G)$ , and  $\tau \in \mathcal{E}_{K,A}$ . Then,

$$\begin{aligned} \int \psi d(\tilde{\mu} \circ \tau^{-1}) &= \int \psi \circ \tau d\tilde{\mu} \\ &= \lim_{k \rightarrow \infty} \int \psi \circ \tau d\tilde{\mu}_{n_k} \\ &= \lim_{k \rightarrow \infty} \int \psi d(\tilde{\mu}_{n_k} \circ \tau^{-1}) \\ &= \lim_{k \rightarrow \infty} \int \psi \exp \phi_*^\tau d\tilde{\mu}_{n_k} \\ &= \int \psi \exp \phi_*^\tau d\tilde{\mu}, \end{aligned}$$

where the fourth equality follows from the fact that for  $k$  large enough,  $A \subseteq A_{n_k}$ , and the last equality follows from weak convergence and the fact that  $\psi \exp \phi_*^\tau$  is a continuous and bounded function. Indeed, first notice that  $\phi_*^\tau$  is a uniform limit of continuous functions that are bounded from above, since  $\phi$  is exp-summable. Therefore, the same holds for  $\phi_*^\tau$ , so that  $\exp(\phi_*^\tau)$  is continuous and bounded (from above and below). Since  $A$ ,  $K$ , and  $\tau$  are arbitrary, this proves that  $\tilde{\mu}$  is conformal for  $\phi$  and, therefore, DLR for  $\phi$ .

It remains to show that  $\tilde{\mu}$  is  $G$ -invariant. For that, notice that, due to the weak convergence, for any  $B \in \mathcal{B}$ ,

$$\tilde{\mu}(g \cdot B) = \lim_{k \rightarrow \infty} \tilde{\mu}_{n_k}(g \cdot B) = \lim_{k \rightarrow \infty} \tilde{\mu}_{n_k}(B) = \mu(B),$$

where we have used that, for each  $k \in \mathbb{N}$ ,  $\tilde{\mu}_{n_k}$  is  $G$ -invariant due to  $G$ -invariance of  $A_n^G$  and to the fact that  $\mu_{n_k}$  is  $G$ -invariant. □

**5.5. Finite entropy Bowen-Gibbs measures are equilibrium measures**

Thus far, we have proven that if  $\phi: X \rightarrow \mathbb{R}$  is an exp-summable potential with summable variation, then a measure  $\mu \in \mathcal{M}(X)$  is a DLR measure if, and only if, it is a conformal measure. Also, if  $\mu$  is a DLR measure, then  $\mu$  is also a Bowen-Gibbs measure. For Bowen-Gibbs measures, we begin by exploring some equivalent hypothesis to having  $H_F(\mu) < \infty$  for every  $F \in \mathcal{F}(G)$  or, equivalently, to have finite Shannon entropy at the identity element. This will allow us to assume, indistinctly, that the energy of the potential is finite. The following lemma generalizes [43, Lemma 3.4].

**Proposition 5.14.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . Then, if  $\mu \in \mathcal{M}(X)$  is a Bowen-Gibbs measure for  $\phi$ , the following conditions are equivalent:*

- i)  $\int \phi d\mu > -\infty$ ;
- ii)  $\sum_{a \in \mathbb{N}} \sup \phi([a]) \exp(\sup \phi([a])) > -\infty$ ; and
- iii)  $H(\mu) < \infty$ .

**Proof.** Begin by noticing that, since  $\mu$  is a Bowen-Gibbs measure for  $\phi$ , we have that, in particular, for  $\epsilon = 1$ , there exist  $K \in \mathcal{F}(G)$ ,  $\delta > 0$ , and a  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  with  $1_G \in F$ , such that, for every  $x \in X$ , it holds that

$$\exp(-|F|(1 + p(\phi)) + \sup \phi_F([x_F])) \leq \mu([x_F]) \leq \exp(-|F|(-1 + p(\phi)) + \sup \phi_F([x_F])). \tag{5.20}$$

We now prove that  $i) \implies iii) \implies ii) \implies i)$ .

$[i) \implies iii)]$  Notice that, since  $\phi$  has summable variation according to  $\{E_m\}_m$ , then, in particular,  $\phi$  has finite oscillation. Therefore, the result follows directly from Proposition 5.4, disregarding whether  $\mu$  is a Bowen-Gibbs measure for  $\phi$  or not.

$[iii) \implies ii)]$  Begin by noticing that, due to standard properties of Shannon entropy,  $H(\mu) \leq H_F(\mu) \leq |F|H(\mu)$ . Then,

$$\begin{aligned} -\infty &< -H_F(\mu) \\ &= \sum_{x_F \in X_F} \mu([x_F]) \log \mu([x_F]) \\ &\leq \sum_{x_F \in X_F} \mu([x_F]) (-|F|(-1 + p(\phi)) + \sup \phi_F([x_F])) \\ &= -|F|(1 + p(\phi)) + \sum_{x_F \in X_F} \mu([x_F]) \sup \phi_F([x_F]). \end{aligned}$$

Thus,

$$\begin{aligned} -\infty &< \sum_{x_F \in X_F} \mu([x_F]) \sup \phi_F([x_F]) \\ &\leq \sum_{x_F \in X_F} \exp(-|F|(-1 + p(\phi)) + \sup \phi_F([x_F])) \cdot \sup \phi_F([x_F]) \\ &= \exp(-|F|(-1 + p(\phi))) \sum_{x_F \in X_F} \exp(\sup \phi_F([x_F])) \cdot \sup \phi_F([x_F]), \end{aligned}$$

so that

$$-\infty < \sum_{x_F \in X_F} \exp(\sup \phi_F([x_F])) \sup \phi_F([x_F]).$$

Also, for each  $x_F \in X_F$ ,

$$\sup \phi_F([x_F]) \geq \inf \phi_F([x_F]) \geq \sum_{g \in F} \inf (\phi_{\{g\}}([x_F])) \geq \sum_{g \in F} \inf (\phi_{\{g\}}([x_g])).$$

Now, due to exp-summability, without loss of generality, we can assume that  $\phi(x) \leq 0$ , for all  $x \in X$ , so  $\sup \phi_F([x_F]) \leq \sup \phi_F([x_{1_G}]) \leq \sup \phi([x_{1_G}]) \leq 0$ . Then, abbreviating  $\phi_{\{g\}}$  by  $\phi_g$ , we obtain that

$$\begin{aligned}
 -\infty &< \sum_{x_F \in X_F} \sup \phi_F([x_F]) \exp(\sup \phi_F([x_F])) \\
 &\leq \sum_{x_F \in X_F} \sup \phi_F([x_F]) \prod_{g \in F} \exp(\inf \phi_g([x_g])) \\
 &\leq \sum_{x_F \in X_F} \sup \phi_F([x_F]) \prod_{g \in F} \exp(\sup \phi_g([x_g]) - \delta(\phi)) \\
 &= \exp(-\delta(\phi)|F|) \sum_{x_F \in X_F} \sup \phi_F([x_F]) \prod_{g \in F} \exp(\sup \phi_g([x_g])) \\
 &= \exp(-\delta(\phi)|F|) \sum_{x_F \in X_F} \sup \phi_F([x_F]) \exp(\sup \phi([x_{1_G}])) \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi_g([x_g])) \\
 &\leq \exp(-\delta(\phi)|F|) \sum_{x_F \in X_F} \sup \phi_F([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi_g([x_g])) \\
 &= \exp(-\delta(\phi)|F|) \sum_{x_{1_G} \in \mathbb{N}} \sup \phi_F([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \sum_{x_{F \setminus \{1_G\}}} \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi_g([x_g])) \\
 &\leq \exp(-\delta(\phi)|F|) \sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \sum_{x_{F \setminus \{1_G\}}} \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi_g([x_g])).
 \end{aligned}$$

Moreover, notice that if  $m = |F| - 1$  and  $g_1, \dots, g_m$  is an enumeration of  $F \setminus \{1_G\}$ , then

$$\begin{aligned}
 \sum_{x_{F \setminus \{1_G\}}} \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi_g([x_g])) &= \sum_{x_{g_1}} \cdots \sum_{x_{g_m}} \exp(\sup \phi_{g_1}([x_{g_1}])) \cdots \exp(\sup \phi_{g_m}([x_{g_m}])) \\
 &= \sum_{x_{g_1}} \exp(\sup \phi_{g_1}([x_{g_1}])) \cdots \sum_{x_{g_m}} \exp(\sup \phi_{g_m}([x_{g_m}])) \\
 &= \prod_{g \in F \setminus \{1_G\}} \sum_{x_g \in X_g} \exp(\sup \phi_g([x_g])),
 \end{aligned}$$

so that

$$\begin{aligned}
 -\infty &< \sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \prod_{g \in F \setminus \{1_G\}} \sum_{x_g \in X_g} \exp(\sup \phi_g([x_g])) \\
 &= \sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \prod_{g \in F \setminus \{1_G\}} Z_g(\phi) \\
 &= \sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) \prod_{g \in F \setminus \{1_G\}} Z_{1_G}(\phi) \\
 &= \sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) Z_{1_G}(\phi)^{|F|-1}.
 \end{aligned}$$

Therefore,

$$\sum_{x_{1_G} \in \mathbb{N}} \sup \phi([x_{1_G}]) \exp(\sup \phi([x_{1_G}])) > -\infty.$$

[ii)  $\implies$  i)] Indeed,

$$\begin{aligned} \int \phi d\mu &\geq \sum_{a \in \mathbb{N}} \inf \phi([a]) \mu([a]) \\ &= \sum_{a \in \mathbb{N}} \inf \phi([a]) \sum_{x_F: x_F(1_G)=a} \mu([x_F]) \\ &\geq \sum_{a \in \mathbb{N}} \inf \phi([a]) \sum_{x_F: x_F(1_G)=a} \exp(-|F|(1+p(\phi)) + \sup \phi_F([x_F])) \\ &= \exp(-|F|(1+p(\phi))) \sum_{a \in \mathbb{N}} \inf \phi([a]) \sum_{x_F: x_F(1_G)=a} \exp(\sup \phi_F([x_F])) \\ &\geq \exp(-|F|(1+p(\phi))) \sum_{a \in \mathbb{N}} \inf \phi([a]) \sum_{x_F: x_F(1_G)=a} \exp\left(\sum_{g \in F} \sup \phi_g([x_F])\right) \\ &\geq \exp(-|F|(1+p(\phi))) \sum_{a \in \mathbb{N}} \inf \phi([a]) \sum_{x_F: x_F(1_G)=a} \prod_{g \in F} \exp(\sup \phi[x(g)]) \\ &= \exp(-|F|(1+p(\phi))) \sum_{a \in \mathbb{N}} \inf \phi([a]) \exp(\sup \phi[a]) \sum_{x_{F \setminus \{1_G\}}} \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi[x(g)]). \end{aligned}$$

Notice that, due to the same argument as in the proof of [iii)  $\implies$  ii)], we have that

$$\sum_{x_{F \setminus \{1_G\}}} \prod_{g \in F \setminus \{1_G\}} \exp(\sup \phi[x(g)]) = Z_{1_G}(\phi)^{|F|-1}.$$

Therefore, since  $\exp(-|F|(1+p(\phi))) Z_{1_G}(\phi)^{|F|-1} > 0$ , it suffices to prove that

$$\sum_{a \in \mathbb{N}} \inf \phi([a]) \exp(\sup \phi[a]) > -\infty,$$

but this is true since

$$\begin{aligned} \sum_{a \in \mathbb{N}} \inf \phi([a]) \exp(\sup \phi[a]) &\geq \sum_{a \in \mathbb{N}} (\sup \phi([a]) - \delta(\phi)) \exp(\sup \phi[a]) \\ &= \sum_{a \in \mathbb{N}} \sup \phi([a]) \exp(\sup \phi[a]) - \delta(\phi) \sum_{a \in \mathbb{N}} \exp(\sup \phi[a]) \\ &= \sum_{a \in \mathbb{N}} \sup \phi([a]) \exp(\sup \phi[a]) - \delta(\phi) \cdot Z_{1_G}(\phi) \\ &> -\infty. \end{aligned}$$

□

The next proposition is based on [45, Lemma 4.9] and gives us an upper bound in terms of the pressure for the sum of the entropy and energy of a potential according to a given measure. Sometimes this fact is known as the *Gibbs inequality*.

**Proposition 5.15.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable and uniformly continuous potential with finite oscillation. If  $\mu \in \mathcal{M}(X)$  is  $G$ -invariant and  $\int \phi d\mu > -\infty$ , then  $h(\mu) + \int \phi d\mu \leq p(\phi)$ .*

**Proof.** Since  $\phi: X \rightarrow \mathbb{R}$  is an exp-summable and uniformly continuous potential with finite oscillation, due to Theorem 3.9, the pressure  $p(\phi)$  exists. Then,

$$h(\mu) + \int \phi d\mu = \lim_{F \rightarrow G} \frac{1}{|F|} H_F(\mu) + \int \phi d\mu \leq \lim_{F \rightarrow G} \frac{1}{|F|} \log Z_F(\phi) = p(\phi). \quad \square$$

We now proceed to prove that Bowen-Gibbs measures with finite Shannon entropy at the identity are equilibrium measures.

**Theorem 5.16.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $\mu \in \mathcal{M}(X)$  is a  $G$ -invariant Bowen-Gibbs measure for  $\phi$  and  $H(\mu) < \infty$ , then  $\mu$  is an equilibrium measure for  $\phi$ .*

**Proof.** Since  $\mu$  is a Bowen-Gibbs measure for  $\phi$ , for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  and  $x \in X$ ,

$$\exp(-\epsilon \cdot |F|) \leq \frac{\mu([x_F])}{\exp(\phi_F(x) - p(\phi) \cdot |F|)} \leq \exp(\epsilon \cdot |F|). \tag{5.21}$$

Moreover, notice that, for every  $x \in X$  and  $F \in \mathcal{F}(G)$ ,

$$\sup \phi_F([x_F]) \leq \phi_F(x) + \Delta_F(\phi) = \sum_{g \in F} \phi(g \cdot x) + \Delta_F(\phi). \tag{5.22}$$

Therefore,

$$\begin{aligned} \lim_{F \rightarrow G} \frac{1}{|F|} \int \sup \phi_F([x_F]) d\mu(x) &\leq \lim_{F \rightarrow G} \frac{1}{|F|} \int \left( \sum_{g \in F} \phi(g \cdot x) + \Delta_F(\phi) \right) d\mu(x) \\ &= \lim_{F \rightarrow G} \frac{1}{|F|} \left( \sum_{g \in F} \int \phi(x) d\mu(x) \right) + \lim_{F \rightarrow G} \frac{\Delta_F(\phi)}{|F|} \\ &= \lim_{F \rightarrow G} \frac{1}{|F|} \left( |F| \int \phi d\mu \right) \\ &= \int \phi d\mu, \end{aligned}$$

where the second line follows from the  $G$ -invariance of  $\mu$  and the third line follows from Lemma 2.4.

On the other hand, after taking logarithms in equation (5.21) and dividing by  $|F|$ , we obtain

$$-\epsilon \leq \frac{\log \mu([x_F]) - \phi_F(x)}{|F|} + p(\phi) \leq \epsilon.$$

Thus, for every  $x \in X$  and every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ ,

$$p(\phi) \leq \frac{-\log \mu([x_F]) + \phi_F(x)}{|F|} + \epsilon \leq \frac{-\log \mu([x_F]) + \sup \phi_F([x_F])}{|F|} + \epsilon.$$



Integrating the last equation with respect to  $\mu$ , we get

$$\begin{aligned} p(\phi) &\leq \frac{-1}{|F|} \sum_{x_F \in X_F} \mu([x_F]) \log \mu([x_F]) + \frac{1}{|F|} \int \sup \phi_F([x_F]) d\mu + \epsilon \\ &= \frac{1}{|F|} H_F(\mu) + \frac{1}{|F|} \int \sup \phi_F([x_F]) d\mu + \epsilon. \end{aligned}$$

Therefore, if we take the limit as  $F$  becomes more and more invariant, we have that

$$p(\phi) \leq h(\mu) + \lim_{F \rightarrow G} \frac{1}{|F|} \int \sup \phi_F([x_F]) d\mu + \epsilon \leq h(\mu) + \int \phi d\mu + \epsilon,$$

where the last inequality follows from inequality (5.22). Since  $\epsilon > 0$  is arbitrary, we obtain that

$$p(\phi) \leq h(\mu) + \int \phi d\mu.$$

The reverse inequality follows from Proposition 5.15, and this concludes the proof.  $\square$

**Remark 5.17.** Notice that Theorem 5.16 together with Proposition 5.15 establish a **variational principle** for suitable potentials  $\phi$ , that is,

$$p(\phi) = \sup \left\{ h(\nu) + \int \phi d\nu : \nu \in \mathcal{M}_G(X), \int \phi d\nu > -\infty \right\}$$

for any exp-summable potential  $\phi$  with summable variation that satisfies condition ii) from Proposition 5.14. This can be checked by invoking Theorem 5.13 (which provides the existence of a conformal measure), Theorem 5.8 (which proves the equivalence between conformal and DLR measures), and Theorem 5.10 (which proves that DLR measures are Bowen-Gibbs measures).

### 5.6. Equilibrium measures are DLR measures

In Section 5.4, we proved that if  $\phi: X \rightarrow \mathbb{R}$  is an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ , then the set of  $G$ -invariant DLR measures for  $\phi$  is nonempty. Throughout this section, fix a  $G$ -invariant  $\nu \in \mathcal{G}(\phi)$ .

Given  $E \in \mathcal{F}(G)$  and  $\mu \in \mathcal{M}_G(X)$ , denote by  $f_{\mu,E}$  the Radon-Nikodym derivative of  $\mu|_E$  with respect to  $\nu|_E$ , where  $\mu|_E$  and  $\nu|_E$  denote the restrictions of  $\mu$  and  $\nu$  to  $\mathcal{B}_E$ , respectively. More precisely, for every  $x \in X$ ,

$$f_{\mu,E}(x) = \sum_{w \in X_E} \frac{\mu([w])}{\nu([w])} \mathbb{1}_{[w]}(x). \tag{5.23}$$

Notice that  $f_{\mu,E}$  is well-defined, because any DLR measure for  $\phi$ , in our context, is fully supported. Moreover, we can understand it as the pointwise limit of the simple functions  $f_{\mu,E}^n = \sum_{w \in X_E \cap A_n^G} \frac{\mu([w])}{\nu([w])} \mathbb{1}_{[w]}$ , where  $\{A_n\}_n$  is a fixed exhausting sequence of finite alphabets.

Consider the function  $\psi: [0, \infty) \rightarrow [0, \infty)$  given by  $\psi(x) = 1 - x + x \log x$ , where  $0 \log(0) = 0$ . Define, for each  $n \in \mathbb{N}$  and  $E \in \mathcal{F}(G)$ , the simple function  $I_{\mu, E}^n := \sum_{w \in X_E \cap A_n^G} \psi\left(\frac{\mu([w])}{\nu([w])}\right) \mathbb{1}_{[w]}$ . Notice that  $0 \leq I_{\mu, E}^n(x) \leq I_{\mu, E}^{n+1}(x)$ , so we can define a measurable function  $I_{\mu, E}$  by considering the pointwise limit  $I_E(x) := \lim_{n \rightarrow \infty} I_E^n(x)$  in  $[0, \infty]$ .

When there is no ambiguity, we will omit the subscript  $\mu$  from the previous notations. Observe that, by the Monotone Convergence Theorem,

$$\lim_{n \rightarrow \infty} \int I_E^n d\nu = \int \lim_{n \rightarrow \infty} I_E^n d\nu = \int I_E d\nu \in [0, \infty].$$

In addition,

$$H_E^n(\mu|\nu) := \int I_E^n d\nu = \sum_{w \in X_E \cap A_n^G} \left( \nu([w]) - \mu([w]) + \mu([w]) \log \left( \frac{\mu([w])}{\nu([w])} \right) \right),$$

so that

$$\begin{aligned} \int I_E d\nu &= \lim_{n \rightarrow \infty} \int I_E^n d\nu \\ &= \sum_{w \in X_E} \left( \nu([w]) - \mu([w]) + \mu([w]) \log \left( \frac{\mu([w])}{\nu([w])} \right) \right) \\ &= \sum_{w \in X_E} \mu([w]) \log \left( \frac{\mu([w])}{\nu([w])} \right). \end{aligned}$$

We define the **relative entropy** of a measure  $\mu \in \mathcal{M}_G(X)$  with respect to  $\nu$  to be

$$H_E(\mu|\nu) := \int I_{\mu, E} d\nu,$$

when  $E \in \mathcal{F}(G)$ , and 0 if  $E = \emptyset$ . Notice that, *a priori*,  $H_E(\mu|\nu) \in [0, \infty]$ . Also, if  $\mu \in \mathcal{M}_G(X)$ , then  $H_{Eg}(\mu|\nu) = H_E(\mu|\nu)$  for every  $g \in G$ .

**Lemma 5.18.** *Let  $E, F \in \mathcal{F}(G)$  be such that  $E \subseteq F$  and  $\mu \in \mathcal{M}(X)$ . Then, for every  $n \in \mathbb{N}$ ,  $H_E^n(\mu|\nu) \leq H_F^n(\mu|\nu)$ . Moreover,  $H_E(\mu|\nu) \leq H_F(\mu|\nu)$ .*

**Proof.** Fix  $n \in \mathbb{N}$ . First, observe that  $f_{\mu, E}^n = \nu[f_{\mu, F}^n | \mathcal{B}_E]$ . Indeed, it suffices to prove that for any  $v \in X_E$ ,

$$\int_{[v] \cap A_n^G} f_E^n d\nu = \int_{[v] \cap A_n^G} f_F^n d\nu, \tag{5.24}$$

since the supports of  $f_E^n$  and  $f_F^n$  are contained in  $A_n^G$  and  $\mathcal{B}_E$  is generated by cylinder sets of this form. If  $v \notin A_n^E$ , then both sides of equation (5.24) are 0 and the result is proven. Otherwise, if  $v \in A_n^E$ , then

$$\begin{aligned}
 \int_{[v] \cap A_n^G} f_F^n d\nu &= \int_{[v] \cap A_n^G} \sum_{w \in X_F \cap A_n^G} \frac{\mu([w])}{\nu([w])} \mathbb{1}_{[w]} d\nu \\
 &= \int_{A_n^G} \sum_{w \in X_{F \setminus E} \cap A_n^G} \frac{\mu([vw_{F \setminus E}])}{\nu([vw_{F \setminus E}])} \mathbb{1}_{[vw_{F \setminus E}]} d\nu \\
 &= \sum_{w \in X_{F \setminus E} \cap A_n^G} \frac{\mu([vw_{F \setminus E}])}{\nu([vw_{F \setminus E}])} \int_{A_n^G} \mathbb{1}_{[vw_{F \setminus E}]} d\nu \\
 &= \sum_{w \in X_{F \setminus E} \cap A_n^G} \mu([vw_{F \setminus E}]) \\
 &= \mu([v] \cap A_n^G) \\
 &= \int_{[v] \cap A_n^G} d\mu \\
 &= \int_{[v] \cap A_n^G} f_E^n d\nu.
 \end{aligned}$$

Thus,

$$\begin{aligned}
 H_E^n(\mu|\nu) &= \int f_E^n \log f_E^n d\nu \\
 &= \int \nu(f_F^n | \mathcal{B}_E) \log \nu(f_F^n | \mathcal{B}_E) d\nu \\
 &\leq \int \nu(f_F^n \log f_F^n | \mathcal{B}_E) d\nu \\
 &= H_F^n(\mu|\nu),
 \end{aligned}$$

where the inequality follows from Jensen’s inequality for conditional expectations. Finally, observe that

$$H_E(\mu|\nu) = \lim_{n \rightarrow \infty} H_E^n(\mu|\nu) \leq \lim_{n \rightarrow \infty} H_F^n(\mu|\nu) = H_F(\mu|\nu). \quad \square$$

**Proposition 5.19.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$  and  $\mu \in \mathcal{M}_G(X)$ . Then,  $H_E(\mu|\nu) < \infty$  for every  $E \in \mathcal{F}(G)$ . Moreover, if  $\int \phi d\mu > -\infty$ ,*

$$h(\mu|\nu) := \lim_{F \rightarrow G} \frac{1}{|F|} H_F(\mu|\nu) = p(\phi) - \left( h(\mu) + \int \phi d\mu \right).$$

**Proof.** Let  $E \in \mathcal{F}(G)$ . Since  $\nu$  is a DLR measure for  $\phi$ , by Theorem 5.10,  $\nu$  is a Bowen-Gibbs measure for  $\phi$ . Then, for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that for all  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$ , the following conditions hold at the same time:

$$\left| h(\mu) - \frac{H_F(\mu)}{|F|} \right| \leq \epsilon$$

and

$$\exp(-\epsilon \cdot |F|) \leq \frac{\nu([x_F])}{\exp(\sup \phi_F(x) - p(\phi) \cdot |F|)} \leq \exp(\epsilon \cdot |F|).$$

Observe that, by considering the lower bound of the equation above,

$$\begin{aligned} - \sum_{x_F \in X_F \cap A_n^G} \mu([x_F]) \log(\nu([x_F])) &\leq - \sum_{x_F \in X_F \cap A_n^G} \mu([x_F]) (\sup \phi_F([x_F]) - p(\phi)|F| - \epsilon|F|) \\ &= (p(\phi) + \epsilon)|F| - \sum_{x_F \in X_F \cap A_n^G} \mu([x_F]) \sup \phi_F([x_F]) \\ &\leq (p(\phi) + \epsilon)|F| - \int_{A_n^G} \phi_F d\mu \\ &= \left( p(\phi) + \epsilon - \int_{A_n^G} \phi d\mu \right) |F|, \end{aligned}$$

for any  $(K, \delta)$ -invariant set  $F$ . Then, we have that

$$\begin{aligned} H_F(\mu|\nu) &= \lim_{n \rightarrow \infty} (H_F^n(\mu|\nu) - H_F^n(\mu)) + \lim_{n \rightarrow \infty} H_F^n(\mu) \\ &= \lim_{n \rightarrow \infty} - \sum_{x_F \in X_F \cap A_n^G} \mu([x_F]) \log(\nu([x_F])) + H_F(\mu) \\ &\leq \lim_{n \rightarrow \infty} \left( p(\phi) + \epsilon - \int_{A_n^G} \phi d\mu \right) |F| + (h(\mu) + \epsilon)|F| \\ &= \left( p(\phi) + h(\mu) - \int \phi d\mu + 2\epsilon \right) |F| + H_F(\mu) < \infty, \end{aligned}$$

where  $H_F^n(\mu) := - \sum_{x_F \in X_F \cap A_n^G} \mu([x_F]) \log(\mu([x_F]))$ .

First, observe that for any  $E$ , we can find a  $(K, \delta)$ -invariant set  $F$ , such that  $E \subseteq F$ . Then, by Lemma 5.18,  $H_E(\mu|\nu) \leq H_E(\mu|\nu) < \infty$ . Second, for any  $(K, \delta)$ -invariant set  $F$ ,

$$\frac{H_F(\mu|\nu)}{|F|} \leq p(\phi) + \left( h(\mu) - \int \phi d\mu \right) + 2\epsilon.$$

Finally, by considering the upper bound given by the definition of Bowen-Gibbs measure and using a similar argument, we obtain that

$$\frac{H_F(\mu|\nu)}{|F|} \geq p(\phi) + \left( h(\mu) - \int \phi d\mu \right) - 2\epsilon.$$

Since  $\epsilon$  was arbitrary, we conclude that

$$\lim_{F \rightarrow G} \frac{H_F(\mu|\nu)}{|F|} = p(\phi) - \left( h(\mu) + \int \phi d\mu \right). \quad \square$$

In particular, given  $\phi: X \rightarrow \mathbb{R}$  an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ , a  $G$ -invariant measure  $\mu$  is an equilibrium measure for  $\phi$  if, and only if,  $h(\mu|\nu) = 0$ , for some (or every) DLR measure  $\nu$ . The next proposition is a generalization of step 1 in the proof of [30, Theorem 15.37].

**Proposition 5.20.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$  and  $\mu \in \mathcal{M}_G(X)$  be an equilibrium measure for  $\phi$ . Then, for every  $\alpha > 0$  and  $K \in \mathcal{F}(G)$ , there exists  $E \in \mathcal{F}(G)$ , such that  $K \subseteq E$  and*

$$0 \leq H_E(\mu|\nu) - H_{E \setminus K}(\mu|\nu) \leq \alpha.$$

**Proof.** Pick  $\delta > 0$  small enough so that every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  satisfies  $\text{Int}_K(F) \neq \emptyset$ . Consider  $0 < \epsilon < 1$  and a tiling  $\mathcal{T}$  with  $(K, \delta)$ -invariant shapes, which we can do by Theorem 3.5. Then, from Lemma 3.6, for every  $(S_{\mathcal{T}}, \epsilon)$ -invariant set  $F \in \mathcal{F}(G)$ , there exist centre sets  $C_F(S) \subseteq C(S) \in \mathcal{C}(\mathcal{T})$  for  $S \in \mathcal{S}(\mathcal{T})$ , such that

$$F \supseteq \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \quad \text{and} \quad \left| F \setminus \bigsqcup_{S \in \mathcal{S}(\mathcal{T})} SC_F(S) \right| \leq \epsilon |F|.$$

Since  $\mu$  is an equilibrium measure,  $h(\mu|\nu) = 0$ . Recall that  $S_{\mathcal{T}} = \bigcup_{S \in \mathcal{S}(\mathcal{T})} SS^{-1}$ . Then, considering Lemma 5.19, pick  $K' \supseteq S_{\mathcal{T}}$  and  $\delta' < \epsilon$  so that, for every  $(K', \delta')$ -invariant set  $F \in \mathcal{F}(G)$ , we have

$$\frac{1}{|F|} H_F(\mu|\nu) \leq \frac{\alpha(1-\epsilon)}{\max_{S \in \mathcal{S}(\mathcal{T})} |S|}.$$

Fix a  $(K', \delta')$ -invariant set  $F \in \mathcal{F}(G)$  and an arbitrary enumeration of the tiles  $\{Sc : S \in \mathcal{S}(\mathcal{T}), c \in C_F(S)\}$ , say  $T_1, \dots, T_M$ , where  $M := \sum_{S \in \mathcal{S}(\mathcal{T})} |C_F(S)|$ . Notice that  $(1-\epsilon)|F| \leq \sum_{S \in \mathcal{S}(\mathcal{T})} |S||C_F(S)| \leq M \max_{S \in \mathcal{S}(\mathcal{T})} |S|$ . Moreover, since each  $T_i$  is a  $(K, \delta)$ -invariant set, for every  $1 \leq i \leq M$ ,  $\text{Int}_K(T_i) \neq \emptyset$ , that is, there exists  $g_i \in G$ , such that  $Kg_i \subseteq T_i$ . Denote  $W(i) = \bigsqcup_{j=1}^i T_j$  for  $0 \leq i \leq M$ . Then,

$$\begin{aligned} 0 \leq \frac{1}{M} \sum_{i=1}^M (H_{W(i)}(\mu|\nu) - H_{W(i) \setminus Kg_i}(\mu|\nu)) &\leq \frac{1}{M} \sum_{i=1}^M (H_{W(i)}(\mu|\nu) - H_{W(i) \setminus T_i}(\mu|\nu)) \\ &= \frac{1}{M} H_{W(M)}(\mu|\nu) \\ &\leq \frac{|F|}{M} \frac{1}{|F|} H_F(\mu|\nu) \\ &\leq \frac{M \max_{S \in \mathcal{S}(\mathcal{T})} |S|}{M(1-\epsilon)} \frac{\alpha(1-\epsilon)}{\max_{S \in \mathcal{S}(\mathcal{T})} |S|} \\ &= \alpha, \end{aligned}$$

where the first and second inequality follow from Lemma 5.18 and, the first equality, from the fact that the sum is telescopic. Consequently, there must exist an index  $i' \in \{1, \dots, M\}$ , such that

$$H_{W(i')}(\mu|\nu) - H_{W(i') \setminus Kg_{i'}}(\mu|\nu) \leq \alpha.$$

Therefore, taking  $E = W(i')g_{i'}^{-1}$ , the result follows from the  $G$ -invariance of  $\mu$  and  $\nu$ .  $\square$

The next lemma is a version of step 2 in [30, Theorem 15.37].

**Lemma 5.21.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation with respect to an exhausting sequence  $\{E_m\}_m$  and  $\mu \in \mathcal{M}(X)$  be an equilibrium measure for  $\phi$ . Then, for every  $\epsilon > 0$ , there exists  $\alpha > 0$ , such that, if  $E \supseteq K$  and  $H_E(\mu|\nu) - H_{E \setminus K}(\mu|\nu) \leq \alpha$ , then  $\nu(|f_E - f_{E \setminus K}|) \leq \epsilon$ .*

**Proof.** Notice that, for each  $\epsilon > 0$ , there exists  $r_\epsilon > 0$ , such that

$$|x - 1| \leq r_\epsilon \psi(x) + \frac{\epsilon}{2}, \tag{5.25}$$

where  $\psi(x) = 1 - x + x \log x$ .

For a given  $\epsilon > 0$ , consider  $\alpha = \frac{\epsilon}{2r_\epsilon}$ , and let  $E, K \in \mathcal{F}(G)$  be such that  $K \subseteq E$  and  $H_E(\mu|\nu) - H_{E \setminus K}(\mu|\nu) \leq \alpha$ , which we can do by Proposition 5.20. Let  $B = \{x \in X : f_{E \setminus K}(x) \neq 0\}$ . Notice that  $B \in \mathcal{B}_{E \setminus K}$

$$\int \mathbb{1}_{X \setminus B} f_E d\nu = \int_{X \setminus B} f_E d\nu = \int_{X \setminus B} \nu(f_E | \mathcal{B}_{E \setminus K}) d\nu = \int_{X \setminus B} f_{E \setminus K} d\nu = 0.$$

Then, since  $f_E(x) \geq 0$ , we obtain that  $f_E(x) = 0$   $\nu(x)$ -almost surely on  $X \setminus B$ . Next, notice that

$$\begin{aligned} \int_B f_E \log \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu &= \int_B \log \left( \frac{f_E}{f_{E \setminus K}} \right) d\mu \\ &= \int_B \log f_E d\mu - \int_B \log f_{E \setminus K} d\mu \\ &= \int_B f_E \log f_E d\nu - \int_B f_{E \setminus K} \log f_{E \setminus K} d\nu \\ &= \int f_E \log f_E d\nu - \int f_{E \setminus K} \log f_{E \setminus K} d\nu \\ &= H_E(\mu|\nu) - H_{E \setminus K}(\mu|\nu), \end{aligned}$$

where, making an abuse of notation, we just write  $\mu$  and  $\nu$ , ignoring the restrictions. Thus,

$$H_E(\mu|\nu) - H_{E \setminus K}(\mu|\nu) = \int_B f_E \log \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu.$$

Furthermore, in  $B$ , observe that

$$\psi \left( \frac{f_E}{f_{E \setminus K}} \right) = 1 - \frac{f_E}{f_{E \setminus K}} + \frac{f_E}{f_{E \setminus K}} \log \left( \frac{f_E}{f_{E \setminus K}} \right),$$

so that

$$f_{E \setminus K} \psi \left( \frac{f_E}{f_{E \setminus K}} \right) = f_{E \setminus K} - f_E + f_E \log \left( \frac{f_E}{f_{E \setminus K}} \right).$$

Therefore,

$$\int_B f_{E \setminus K} \psi \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu = \int_B (f_{E \setminus K} - f_E) d\nu + \int_B f_E \log \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu.$$

Since  $f_{E \setminus K} = \nu(f_E | \mathcal{B}_{E \setminus K})$ , we have that  $\int_B (f_{E \setminus K} - f_E) d\nu = 0$ , so that we can rewrite

$$H_E(\mu | \nu) - H_{E \setminus K}(\mu | \nu) = \int_B f_{E \setminus K} \psi \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu.$$

Therefore, from inequality (5.25), it follows that

$$\begin{aligned} \nu(|f_E - f_{E \setminus K}|) &= \int_B |f_E - f_{E \setminus K}| d\nu + \int_{X \setminus B} |f_E - f_{E \setminus K}| d\nu \\ &= \int_B |f_E - f_{E \setminus K}| d\nu \\ &= \int_B \left| \frac{f_E}{f_{E \setminus K}} - 1 \right| f_{E \setminus K} d\nu \\ &\leq r_\epsilon \int_B f_{E \setminus K} \psi \left( \frac{f_E}{f_{E \setminus K}} \right) d\nu + \frac{\epsilon}{2} \int_B f_{E \setminus K} d\nu \\ &= r_\epsilon (H_E(\mu | \nu) - H_{E \setminus K}(\mu | \nu)) + \frac{\epsilon}{2} \int_B d\mu \\ &\leq r_\epsilon \alpha + \frac{\epsilon}{2} = \epsilon. \end{aligned} \quad \square$$

**Theorem 5.22.** *Let  $\phi: X \rightarrow \mathbb{R}$  be an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ . If  $\mu \in \mathcal{M}_G(X)$  is an equilibrium measure for  $\phi$ , then  $\mu$  is a DLR measure for  $\phi$ .*

**Proof.** Since  $\mu$  is an equilibrium measure, then  $h(\mu | \nu) = 0$ . The strategy is to prove that, for every  $K \in \mathcal{F}(G)$ ,  $\mu \gamma_K = \mu$ , where  $\gamma$  is the Gibbsian specification defined by equation (4.2). Then, by Lemma 4.5, it will follow that  $\mu$  is a DLR measure for  $\phi$ .

Let  $h: X \rightarrow \mathbb{R}$  be a bounded local function and  $\epsilon > 0$ . Since  $\gamma$  is a quasilocal specification (see Theorem 4.13), then  $\gamma_K h$  is a bounded quasilocal  $\mathcal{B}_{K^c}$ -measurable function. Thus, there exists a bounded local  $\mathcal{B}_{K^c}$ -measurable function  $\tilde{h}: X \rightarrow \mathbb{R}$ , such that  $\|\gamma_K h - \tilde{h}\|_\infty < \epsilon$ . Since  $\tilde{h}$  is a local potential, there exists  $B \in \mathcal{F}(G)$ ,  $B \supseteq K$ , such that  $\tilde{h}$  is a  $\mathcal{B}_{B \setminus K}$ -measurable. Also, since  $h$  is local, we can assume, without loss of generality, that  $h$  is  $\mathcal{B}_B$ -measurable.

Consider  $\alpha$  as in Lemma 5.21, that is, whenever  $E \supseteq B$  and  $H_E(\mu | \nu) - H_{E \setminus B}(\mu | \nu) \leq \alpha$ , then  $\nu(|f_E - f_{E \setminus B}|) \leq \epsilon$ . Now, using Proposition 5.20, fix a set  $E \in \mathcal{F}(G)$ , such that  $E \supseteq B$  and  $H_E(\mu | \nu) - H_{E \setminus B}(\mu | \nu) \leq \alpha$ . Therefore, by the monotonicity of the relative entropy, we obtain that  $H_E(\mu | \nu) - H_{E \setminus K}(\mu | \nu) \leq \alpha$ , so that  $\nu(|f_E - f_{E \setminus K}|) \leq \epsilon$ .

We now compute  $|\mu \gamma_K(h) - \mu(h)|$ . First observe that since  $\tilde{h}$  is  $\mathcal{B}_{B \setminus K}$ -measurable and  $B \subseteq E$ , then  $\tilde{h}$  is  $\mathcal{B}_{E \setminus K}$ -measurable. Therefore, recalling that  $\mu \gamma_K(h) = \mu(\gamma_K h)$ ,

$$\begin{aligned} |\mu \gamma_K(h) - \mu(h)| &\leq \left| \mu(\gamma_K h) - \mu(\tilde{h}) \right| + \left| \mu(\tilde{h}) - \nu(f_{E \setminus K} \tilde{h}) \right| + \left| \nu(f_{E \setminus K} \tilde{h}) - \nu(f_{E \setminus K}(\gamma_K h)) \right| \\ &\quad + \left| \nu(f_{E \setminus K}(\gamma_K h)) - \nu(f_{E \setminus K} h) \right| + \left| \nu(f_{E \setminus K} h) - \nu(f_E h) \right| + \left| \nu(f_E h) - \mu(h) \right| \\ &\leq \mu \left( \left| \gamma_K h - \tilde{h} \right| \right) + 0 + \nu \left( f_{E \setminus K} \left| \tilde{h} - \gamma_K h \right| \right) + 0 + \|h\|_\infty \nu(|f_{E \setminus K} - f_E|) + 0. \end{aligned}$$

We begin by justifying the terms that vanished from the first inequality to the second. Notice that  $|\mu(\tilde{h}) - \nu(f_{E \setminus K} \tilde{h})| = 0$  and  $|\nu(f_E h) - \mu(h)| = 0$ , because  $\tilde{h}$  is  $\mathcal{B}_{E \setminus K}$ -measurable and because  $h$  is  $\mathcal{B}_E$ -measurable. We also have that  $|\nu(f_{E \setminus K}(\gamma_K h)) - \nu(f_{E \setminus K} h)| = 0$ , because  $f_{E \setminus K}$  is  $\mathcal{B}_{K^c}$ -measurable and  $\gamma$  is proper, so  $\nu(f_{E \setminus K}(\gamma_K h)) = \nu(\gamma_K(f_{E \setminus K} h))$  and, in addition, since  $\nu$  is a DLR measure, we have that

$$\nu(\gamma_K(f_{E \setminus K} h)) = (\nu \gamma_K)(f_{E \setminus K} h) = \nu(f_{E \setminus K} h).$$

We now have to deal with the three other terms. Notice that

$$\mu\left(|\gamma_K h - \tilde{h}|\right) < \epsilon \quad \text{and} \quad \nu\left(f_{E \setminus K}|\tilde{h} - \gamma_K h|\right) < \epsilon,$$

because  $\left\|\gamma_K h - \tilde{h}\right\|_\infty < \epsilon$ . Lastly, since  $\nu(|f_{E \setminus K} - f_E|) \leq \epsilon$ , it follows that

$$|\mu \gamma_K(h) - \mu(h)| < 2\epsilon + \|h\|_\infty \epsilon.$$

Since  $\epsilon > 0$  and  $h: X \rightarrow \mathbb{R}$  are arbitrary, we obtain that,  $\mu \gamma_K = \mu$ , which concludes the result. □

### 6. Final considerations

In this section, we consider the case when the group is finitely generated, which includes the well-studied case  $G = \mathbb{Z}^d$  and show that our approach generalizes previous ones. Next, we present a version of Dobrushin’s uniqueness theorem adapted to our framework, and we apply it to a concrete class of examples of potentials defined in the  $G$ -full shift for any countable amenable group  $G$ .

#### 6.1. The finitely generated case

We now restrict ourselves to the case that  $G$  is a finitely generated group. The main goal is to prove that our definition of a Bowen-Gibbs measure (Definition 5.3) for a given exp-summable potential with summable variation according to an exhausting sequence is related to the standard — but more restrictive — way to define Bowen-Gibbs measures (e.g. [38, 45]). For that, we will prove that the bounds in Definition 5.3 can be replaced by a bound which involves the size of the boundary of invariant sets.

Suppose that  $G$  is finitely generated, and let  $S$  be a finite and symmetric generating set. Without loss of generality, suppose that  $1_G \in S$ . In this context, it is common to implicitly consider an exhausting sequence  $E_{m+1} = S^m$ . For example, if  $G = \mathbb{Z}^d$  and  $S$  is the set of all elements  $s \in \mathbb{Z}^d$  with  $\|s\|_\infty \leq 1$ , the sequence  $\{E_m\}_m$  recovers the notion of ‘boxes’ with sides of length  $2m + 1$  centred at the origin, which is the most usual in the literature. In particular, one recovers the more standard definition of summable variation for a potential  $\phi: X \rightarrow \mathbb{R}$ , which is given by

$$\sum_{m \geq 1} |E_{m+1}^{-1} \setminus E_m^{-1}| \cdot \delta_{E_m}(\phi) = \sum_{m \geq 0} |S^{m+1} \setminus S^m| \cdot \delta_{S^m}(\phi) = \sum_{m \geq 0} |\partial B(1_G, m)| \cdot \delta_{B(1_G, m)}(\phi),$$



where  $B(1_G, m) = S^m$  denotes the ball of radius  $m$  (according to the word metric),  $\partial F := SF \setminus F$  denotes the ‘(exterior) boundary’ of a set  $F$ , and  $|\partial B(1_G, m)|$  is proportional to  $m^{d-1}$  in the  $\mathbb{Z}^d$  case. Usually, potentials that have summable variation according to this particular exhausting sequence are called **regular** (see, for example, [38]).

Notice that when  $\{E_m\}_m$  is an exhausting sequence of the form  $S^m$ , we have that

$$|\partial(S^m F)| = |S(S^m F) \setminus S^m F| = |S^{m+1} F \setminus S^m F| \leq |S^{m+1} \setminus S^m| |\partial_{\text{int}} F|,$$

where  $\partial_{\text{int}} F = \partial F^c$  denotes the ‘interior boundary’ of  $F$ . Indeed, if  $g \in S^{m+1} F \setminus S^m F$ , there must exist  $h \in \partial_{\text{int}} F$ , such that  $d_S(g, h) = m + 1$ , where  $d_S$  denotes the word metric. In addition, we also have that  $|\partial_{\text{int}} F| \leq |S| |\partial F|$ , so

$$|\partial(S^m F)| = |S^{m+1} F \setminus S^m F| \leq |S^{m+1} \setminus S^m| |S| |\partial F|.$$

From this, it is direct that

$$V_F(\phi) = \sum_{m \geq 0} |S^{m+1} F \setminus S^m F| \cdot \delta_{S^m}(\phi) \leq \sum_{m \geq 0} |S^{m+1} \setminus S^m| |S| |\partial F| \cdot \delta_{S^m}(\phi) = V(\phi) |S| |\partial F|.$$

On the other hand, if  $x, y \in X$  are such that  $x_F = y_F$ , we have that

$$\begin{aligned} |\phi_F(x) - \phi_F(y)| &\leq \sum_{g \in F} |\phi(g \cdot x) - \phi(g \cdot y)| \\ &= \sum_{m \geq 0} \sum_{g \in \text{Int}_{S^m}(F) \setminus \text{Int}_{S^{m+1}}(F)} |\phi(g \cdot x) - \phi(g \cdot y)| \\ &\leq \sum_{m \geq 0} |\text{Int}_{S^m}(F) \setminus \text{Int}_{S^{m+1}}(F)| \cdot \delta_{S^m}(\phi). \end{aligned}$$

Notice that if  $g \in \text{Int}_{S^m}(F) \setminus \text{Int}_{S^{m+1}}(F)$ , then  $d_S(g, \partial F) = m + 1$ , that is,  $g \in S^{m+1} \partial F \setminus S^m \partial F$ , so

$$\begin{aligned} |\text{Int}_{S^m}(F) \setminus \text{Int}_{S^{m+1}}(F)| &\leq |S^{m+1} \partial F \setminus S^m \partial F| \\ &\leq |S^{m+1} \setminus S^m| |\partial_{\text{int}}(\partial F)| \\ &\leq |S^{m+1} \setminus S^m| |S| |\partial(\partial F)| \\ &\leq |S^{m+1} \setminus S^m| |S|^2 |\partial F| \end{aligned}$$

and

$$|\phi_F(x) - \phi_F(y)| \leq \sum_{m \geq 0} |S^{m+1} \setminus S^m| |S| |\partial F| \cdot \delta_{S^m}(\phi) = V(\phi) |S|^2 |\partial F|.$$

Therefore, we conclude that  $\Delta_F(\phi) \leq V(\phi) |S|^2 |\partial F|$ .

We now provide an alternative way of proving Proposition 2.3 and Lemma 2.4. Begin by noticing that a finitely generated group is amenable if, and only if,  $\lim_{F \rightarrow G} \frac{|\partial F|}{|F|} = 0$  (indeed, given  $\epsilon > 0$ , we have that  $|\partial F| \leq |SF \Delta F| < \epsilon \cdot |F|$  for every  $(S, \epsilon)$ -invariant set  $F$ ). Therefore, if  $\phi$  has summable variation, it follows that

$$0 \leq \lim_{F \rightarrow G} \frac{V_F(\phi)}{|F|} \leq V(\phi) |S| \lim_{F \rightarrow G} \frac{|\partial F|}{|F|} = 0$$

and, similarly,

$$0 \leq \lim_{F \rightarrow G} \frac{\Delta_F(\phi)}{|F|} \leq V(\phi)|S|^2 \lim_{F \rightarrow G} \frac{|\partial F|}{|F|} = 0.$$

In particular, in this context, we could alternatively have defined a Bowen-Gibbs measure as follows: if  $G$  is a finitely generated amenable group with generating set  $S$  and  $\phi: X \rightarrow \mathbb{R}$  is an exp-summable potential with summable variation according to  $\{S^m\}_m$ , a measure  $\mu \in \mathcal{M}(X)$  is a Bowen-Gibbs measure for  $\phi$  if for every  $\epsilon > 0$ , there exist  $K \in \mathcal{F}(G)$  and  $\delta > 0$ , such that for every  $(K, \delta)$ -invariant set  $F \in \mathcal{F}(G)$  and  $x \in X$ ,

$$\exp(-C|\partial F|) \leq \frac{\mu([x_F])}{\exp(\phi_F(x) - p(\phi) \cdot |F|)} \leq \exp(C|\partial F|),$$

where  $C > 0$  is a constant that we can choose to be

$$C := 5V(\phi)|S|^2 \geq 2V(\phi)|S| + 3\Delta(\phi)|S|^2.$$

This recovers the more standard definition of Bowen-Gibbs measure in terms of boundaries. Furthermore, with this choice of  $C$ , it is not difficult to check that we could mimic the proofs of Proposition 5.9 and Theorems 5.10 and 5.16, thus providing all the implications involving Bowen-Gibbs measures.

### 6.2. Dobrushin’s uniqueness theorem

From Section 5.4, we know that if  $\phi: X \rightarrow \mathbb{R}$  is an exp-summable potential with summable variation according to an exhausting sequence  $\{E_m\}_m$ , then the set of  $G$ -invariant DLR measures for  $\phi$  is nonempty. One natural question that may arise is under which conditions we have uniqueness of the DLR measure. When a specification is a Gibbsian specification, the Dobrushin’s uniqueness theorem (see [30]) addresses this question. For a detailed proof of a version of this theorem adapted to our setting, see [11].

Let  $2^{\mathbb{N}}$  be the set of all subsets of  $\mathbb{N}$ , which is a  $\sigma$ -algebra, and  $\mathcal{M}(\mathbb{N}, 2^{\mathbb{N}})$  be the set of probability measures on  $(\mathbb{N}, 2^{\mathbb{N}})$ . For  $A \in 2^{\mathbb{N}}$ ,  $w \in X$ , and  $g \in G$ , denote

$$\gamma_{\{g\}}^0(A, w)(\eta) = \gamma_{\{g\}} \left( A \times \mathbb{N}^{G \setminus \{g\}}, x \right),$$

where  $\gamma$  is a specification, notice that, for each  $x \in X$ ,  $\gamma_g^0(\cdot, x) \in \mathcal{M}(\mathbb{N}, 2^{\mathbb{N}})$ . Now, for each  $h \in G$ , the  $w_h$ -dependence of  $\gamma_{\{g\}}^0(\cdot, w)$  is estimated by the quantity

$$\rho_{gh}(\gamma) = \sup_{\substack{w, \eta \in X \\ w_{G \setminus \{h\}} = \eta_{G \setminus \{h\}}}} \left\| \gamma_{\{g\}}^0(\cdot, \eta) - \gamma_{\{g\}}^0(\cdot, w) \right\|,$$

where, for any given  $\mu, \tilde{\mu} \in \mathcal{M}(\mathbb{N}, 2^{\mathbb{N}})$ ,  $\|\mu - \tilde{\mu}\| = \max_{A \in \mathcal{E}} |\mu(A) - \tilde{\mu}(A)|$  (see [30, Section 8.1]).

The infinite matrix  $\rho(\gamma) = (\rho_{gh}(\gamma))_{g, h \in G}$  is called Dobrushin’s interdependence matrix for  $\gamma$ . When there is no ambiguity, we will omit the parameter  $\gamma$  from the notation.

**Remark 6.1.** Notice that  $\rho_{gg} = 0$ , for all  $g \in G$ .

**Definition 6.1.** Let  $\gamma$  be a specification. We say that  $\gamma$  satisfies the *Dobrushin’s condition* if  $\gamma$  is quasilocal and

$$c(\gamma) := \sup_{g \in G} \sum_{h \in G} \rho_{gh} < 1.$$

**Theorem 6.2** (Dobrushin’s uniqueness theorem). *If  $\gamma$  is a specification that satisfies the Dobrushin’s condition, then there is at most one measure that is admitted by the specification  $\gamma$ .*

We now present an example of a potential inspired by the Potts model [27, 29], such that, under some conditions to be presented, is exp-summable and has summable variation according to an exhausting sequence  $\{E_m\}_m$ . Moreover, this potential will also satisfy that, if  $\mu$  is a Bowen–Gibbs measure,  $\int \phi d\mu > -\infty$ . Another important property of this potential is that it is nontrivial, in the sense that it depends on every coordinate of  $G$ . We will also explore conditions on  $\beta > 0$ , such that the potential  $\beta\phi$  satisfies Dobrushin’s condition.

**6.2.1. Main example.** Given a countable amenable group  $G$ , consider the potential  $\phi: X \rightarrow \mathbb{R}$  given by

$$\phi(x) := - \sum_{g \in G} c(g, x(1_G)) \mathbb{1}_{\{x(1_G)=x(g)\}}, \tag{6.1}$$

with  $c: G \times \mathbb{N} \rightarrow [0, \infty)$ , such that, given an exhausting sequence  $\{E_m\}_m$  of  $G$ , it holds that

1.  $\sum_{m \geq 1} |E_{m+1} \setminus E_m| \sum_{g \in G \setminus E_m} C(g) < \infty$ , with  $C(g) := \sup_n c(g, n)$  for  $g \neq 1_G$ ; and
2. for all  $M > 0$ , there exists  $n_0 \in \mathbb{N}$ , such that for all  $n \geq n_0$ ,  $M \log(n) \leq c(1_G, n)$ .

**Lemma 6.3.** *If the potential  $\phi: X \rightarrow \mathbb{R}$  given by  $\phi(x) = - \sum_{g \in G} c(g, x(1_G)) \mathbb{1}_{\{x(1_G)=x(g)\}}$  satisfies conditions (1) and (2), then, for every  $\beta > 0$ , the potential  $\beta\phi$  is well-defined, has summable variation according to the exhausting sequence  $\{E_m\}_m$ , is exp-summable, and  $\int \phi d\mu_\beta > -\infty$  for any Bowen–Gibbs measure  $\mu_\beta \in \mathcal{M}(X)$  for  $\beta\phi$ .*

**Remark 6.4.** The set of functions  $c: G \times \mathbb{N} \rightarrow [0, \infty)$  satisfying conditions (1) and (2) is nonvacuous. For example, given an exhausting sequence  $\{E_m\}_m$ , consider  $c: G \times \mathbb{N} \rightarrow [0, \infty)$  and some constant  $L \geq 0$ , such that

- (a) for every  $m \geq 1$ ,  $0 \leq c(g, n) \leq \frac{L2^{-m-1}}{|E_{m+1}|^2}$  for every  $g \in E_{m+1} \setminus E_m$ ; and
- (b) any  $c(1_G, n)$  of polynomial order will satisfy condition (2).

Our next goal is to study under which conditions we have uniqueness of Gibbs measures for  $\beta\phi$ , where  $\beta$  can be interpreted as the inverse of the temperature of the system. For that, we use the Dobrushin’s uniqueness theorem (Theorem 6.2). In order to obtain explicit conditions on  $\beta$ , we divide the rational into claims that, for the sake of brevity, we leave their proofs to the reader.

**Claim 1.** *If  $x, y \in X$  are such that  $x_{G \setminus \{g\}} = y_{G \setminus \{g\}}$ , for some  $g \in G$ , then*

$$\sum_{h \in G} (\phi(h \cdot x) - \phi(h \cdot y))$$

*converges absolutely. Moreover,*

$$\begin{aligned} \sum_{h \in G} (\phi(h \cdot x) - \phi(h \cdot y)) &= -c(1_G, x(g)) + c(1_G, y(g)) \\ &\quad + \sum_{\substack{h \in G \\ h \neq 1_G}} (c(h, x(hg)) + c(h^{-1}, x(hg))) (-\mathbb{1}_{\{x_{hg}=x_g\}} + \mathbb{1}_{\{y_{hg}=y_g\}}). \end{aligned}$$

Now, for a fixed  $b \in \mathbb{N}$ , define, for each  $g \in G$  and  $z \in X$ , the potential  $\varphi_z^g: \mathbb{N} \rightarrow \mathbb{R}$  given by

$$\varphi_z^g(a) = \phi_*^{\tau_{a,b}}(bz_{G \setminus \{g\}}). \tag{6.2}$$

Notice that, from Claim 1,

$$\begin{aligned} \varphi_z^g(a) &= \phi_*^{\tau_{a,b}}(bz_{G \setminus \{g\}}) \\ &= \sum_{h \in G} [\phi(h \cdot (az_{G \setminus \{g\}})) - \phi(h \cdot (bz_{G \setminus \{g\}}))] \\ &= c(1_G, b) - c(1_G, a) + \sum_{\substack{h \in G \\ h \neq 1_G}} [(c(h, z_{hg}) + c(h^{-1}, z_{hg}))(\mathbb{1}_{\{z_{hg}=b\}} - \mathbb{1}_{\{z_{hg}=a\}})]. \end{aligned} \tag{6.3}$$

Now, pick  $h_0 \neq g$  and  $z, z' \in X$ , such that  $z_{G \setminus \{h_0\}} = z'_{G \setminus \{h_0\}}$  and define the function  $\varphi_{z,z'}^g: \mathbb{N} \times [0, 1] \rightarrow \mathbb{R}$  given by

$$\varphi_{z,z'}^g(a, t) := t\varphi_{z'}^g(a) + (1-t)\varphi_z^g(a) = \varphi_z^g(a) + t\Delta_{z,z'}^g(a),$$

with  $\Delta_{z,z'}^g(a) = \varphi_{z'}^g(a) - \varphi_z^g(a)$ . Notice that  $\varphi_{z,z'}^g(a, 0) = \varphi_z^g(a)$  and  $\varphi_{z,z'}^g(a, 1) = \varphi_{z'}^g(a)$ .

**Claim 2.** *Let  $g \in G$ . Then, for every  $h_0 \neq g$  and  $z, z' \in X$ , such that  $z_{G \setminus \{h_0\}} = z'_{G \setminus \{h_0\}}$ , it holds that*

$$\|\Delta_{z,z'}^g\|_\infty \leq 2(C(h_0g^{-1}) + C(gh_0^{-1})).$$

**Claim 3.** *Let  $g, h_0 \in G$  and  $z, z' \in X$  be such that  $z_{G \setminus \{h_0\}} = z'_{G \setminus \{h_0\}}$ . Then, for every  $A \in \mathcal{E}$ ,*

$$\gamma_g^0(A, z) = \gamma_g(A \times \mathbb{N}^{G \setminus \{g\}}, z) = \frac{\sum_{a \in A} \exp(\varphi_{z,z'}^g(a, 0))}{\sum_{n \in \mathbb{N}} \exp(\varphi_{z,z'}^g(n, 0))} \tag{6.4}$$

and

$$\gamma_g^0(A, z') = \gamma_g(A \times \mathbb{N}^{G \setminus \{g\}}, z') = \frac{\sum_{a \in A} \exp(\varphi_{z,z'}^g(a, 1))}{\sum_{n \in \mathbb{N}} \exp(\varphi_{z,z'}^g(n, 1))}, \tag{6.5}$$

where  $\gamma$  is the Gibbsian specification given by equation (4.2).

Now, let  $m$  be the counting measure on  $\mathbb{N}$  and, for each  $t \in [0, 1]$ ,  $g \in G$ , and  $a \in \mathbb{N}$ , consider the measure

$$\nu_t = \chi_g(\cdot, t)dm, \text{ with } \chi_g(a, t) = \frac{\exp\left(\varphi_{z, z'}^g(a, t)\right)}{\sum_{n \in \mathbb{N}} \exp\left(\varphi_{z, z'}^g(n, t)\right)}.$$

For each  $A \subseteq \mathbb{N}$ ,  $g, h_0 \in G$ , and  $z, z' \in X$ , such that  $z_{G \setminus \{h_0\}} = z'_{G \setminus \{h_0\}}$ , from Claim 3, we obtain that

$$\nu_0(A) = \frac{\sum_{a \in A} \exp\left(\varphi_{z, z'}^g(a, 0)\right)}{\sum_{n \in \mathbb{N}} \exp\left(\varphi_{z, z'}^g(n, 0)\right)} = \gamma_g^0(A, z)$$

and

$$\nu_1(A) = \frac{\sum_{a \in A} \exp\left(\varphi_{z, z'}^g(a, 1)\right)}{\sum_{n \in \mathbb{N}} \exp\left(\varphi_{z, z'}^g(n, 1)\right)} = \gamma_g^0(A, z').$$

In order to study conditions under which Theorem 6.2 holds, we need some estimates, which we calculate now. First, notice that  $\|\nu_1 - \nu_0\|_{TV} = \frac{1}{2} \int |\chi_g(a, 1) - \chi_g(a, 0)| dm$ .

**Claim 4.** For each  $a \in \mathbb{N}$  and  $g \in G$ , the map  $t \mapsto \chi_g(a, t)$  is differentiable and

$$\frac{\partial}{\partial t} \chi_g(a, t) = \chi_g(a, t) \left( \Delta_{z, z'}^g(a) - \int \Delta_{z, z'}^g(b) d\nu_t(b) \right).$$

Considering Claim 4, we have that

$$\begin{aligned} \int |\chi_g(a, 1) - \chi_g(a, 0)| dm(a) &= \int \left| \int_0^1 \left( \frac{\partial}{\partial t} \chi_g(a, t) \right) dt \right| dm(a) \\ &\leq \int_0^1 \int \left| \frac{\partial}{\partial t} \chi_g(a, t) \right| dm(a) dt \\ &= \int_0^1 \int \left| \chi_g(a, t) \left( \Delta_{z, z'}^g(a) - \int \Delta_{z, z'}^g(b) d\nu_t(b) \right) \right| dm(a) dt \\ &= \int_0^1 \int \left| \Delta_{z, z'}^g(a) - \int \Delta_{z, z'}^g(b) d\nu_t(b) \right| d\nu_t(a) dt \\ &\leq \int_0^1 \int \left( \|\Delta_{z, z'}^g\|_\infty + \int \|\Delta_{z, z'}^g\|_\infty d\nu_t(b) \right) d\nu_t(a) dt \\ &= 2\|\Delta_{z, z'}^g\|_\infty. \end{aligned}$$

Thus, by Claim 2, we have

$$\rho_{gh_0} \leq \frac{1}{2} \sup_{\substack{z, z' \in X \\ z_{G \setminus \{h_0\}} = z'_{G \setminus \{h_0\}}}} 2\|\Delta_{z, z'}^g\|_\infty \leq 2(C(h_0g^{-1}) + C(gh_0^{-1})).$$

Therefore, considering that  $\rho_{gg} = 0$ ,

$$\sum_{h \in G} \rho_{gh} \leq 2 \sum_{\substack{h \in G \\ h \neq g}} [C(hg^{-1}) + C(gh^{-1})] = 2 \sum_{\substack{h \in G \\ h \neq 1_G}} [C(h) + C(h^{-1})] = 4 \sum_{\substack{h \in G \\ h \neq 1_G}} C(h),$$

so

$$c(\gamma) = \sup_{g \in G} \sum_{h \in G} \rho_{gh}(\gamma) \leq 4 \sum_{\substack{h \in G \\ h \neq 1_G}} C(h).$$

Finally, if we consider the potential  $\beta\phi$  for  $\beta > 0$ , then by linearity, we have

$$c(\gamma^{\beta\phi}) = \sup_{g \in G} \sum_{h \in G} \rho_{gh}(\gamma^{\beta\phi}) \leq 4\beta \sum_{\substack{h \in G \\ h \neq 1_G}} C(h),$$

where  $\gamma^{\beta\phi}$  is the specification given by equation (4.2) for the potential  $\beta\phi$ . Thus, if

$$\beta < \left( 4 \sum_{\substack{h \in G \\ h \neq 1_G}} C(h) \right)^{-1},$$

Dobrushin's condition is satisfied and, by Theorem 6.2, we have at most one DLR measure for the potential  $\beta\phi$ . Furthermore, if  $\beta > 0$ , then the set of  $G$ -invariant DLR measures for  $\beta\phi$  is nonempty, so that we can guarantee that if  $\beta \in \left( 0, \frac{1}{4 \sum_{h \neq 1_G} C(h)} \right)$ , there exists exactly one DLR measure for  $\beta\phi$ .

**Acknowledgments.** Elmer R. Beltrán would like to thank the fellow program Fondo Postdoctorado Universidad Católica del Norte No 0001, 2020. Rodrigo Bissacot is supported by CNPq grants 312294/2018-2 and 408851/2018-0, by FAPESP grant 16/25053-8, and by the University Center of Excellence 'Dynamics, Mathematical Analysis and Artificial Intelligence', at the Nicolaus Copernicus University. Luísa Borsato is supported by grants 2018/21067-0 and 2019/08349-9, São Paulo Research Foundation (FAPESP). Raimundo Briceño would like to acknowledge the support of ANID/FONDECYT de Iniciación en Investigación 11200892 and ANID/FONDECYT Regular 1240508.

**Competing interest.** None.

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